# I Mina'Trentai Dos Na Liheslaturan Guahan

**Bill Log Sheet** 

			DATE	DATE	CNATE	PUBLIC	DATE	
BILL NO.	SPONSOR	TITLE	DATE INTRODUCED	DATE REFERRED	CMTE REFERRED	HEARING DATE	COMMITTEE REPORT FILED	FISCAL NOTES
- P.L. 32-051	D. G. Rodriguez, Jr. B.J.F. Cruz V. Anthony Ada Michael F.Q. San Nicolas Judith T. Won Pat, Ed.D R.J. Respicio Brant T. McCreadie Tommy Morrison T.R. Muña-Barnes	AN ACT RELATIVE TO ALCOHOL BEVERAGE CONTROL, TO BE KNOWN AS "THE RESPONSIBLE ALCOHOL SALES AND SERVICE ACT", BY ADDING NEW §§ 3123, 3124 AND 3125 TO ARTICLE 1, CHAPTER 3, 11 GCA; TO AMEND § 3207, AND ADD §§ 3212.1, 3230, 3231, AND 3232 TO ARTICLE 2, CHAPTER 3, 11 GCA; TO AMEND 11 GCA §3613; AND, ADD A NEW (h) TO §2105, ADD A NEW (c) TO § 2106, ADD A NEW (c) TO §2107, AND ADD A NEW §2111, ALL OF 3 GAR CHAPTER 2 [ALCOHOLIC BEVERAGE CONTROL BOARD].	5/16/13 9:27 a.m.	5/16/13	Committee on Aviation, Ground Transportation, Regulatory Concerns, and Future Generations	6/13/13, 5:30pm	6/17/13, 2:33pm	Fiscal Note Requested 5/21/13 Fiscal Note Received 6/19/13
R)	DATE PASSED	TITLE	TRANSM	<b>NITTED</b>	DUE DATE	DATE SIGNED BY I MAGA'LAHEN GUAHAN	PUBLIC LAW NO.	NOTES
118-32 (CO	6/24/2013	AN ACT TO <i>ADD</i> NEW §§ 3123, 3124, 3124.1 AND 3125 TO ARTICLE 1; TO <i>AMEND</i> § 3207 AND <i>ADD</i> NEW §§ 3212.1, 3230, 3231 AND 3232 OF ARTICLE 2; AND TO <i>AMEND</i> §3613 OF ARTICLE 6, ALL OF CHAPTER 3, TITLE 11, GUAM CODE ANNOTATED; AND TO <i>ADD</i> A NEW SUBSECTION (h) TO §2105, A NEW SUBSECTION (c) TO §2107, AND A NEW §2111, ALL OF CHAPTER 2, TITLE 3, GUAM ADMINISTRATIVE RULES AND REGULATIONS, RELATIVE TO ALCOHOL BEVERAGE CONTROL, AND TO BE KNOWN AS "THE RESPONSIBLE ALCOHOL SALES AND SERVICE ACT."	6/26/13	3:00pm	7/8/13	7/5/2013	32-051	As substituted by the Committee on Aviation, Ground Transportation, Regulatory Concerns and Future Generations.

EDDIE BAZA CALVO Governor



RAY TENORIO Lieutenant Governor

Office of the Governor of Guam

[JUL 0 8 2013

Honorable Judith T. Won Pat, Ed.D. Speaker *I Mina'trentai Dos Na Liheslaturan Guåhan* 155 Hesler Street Hagåtña, Guam 96910

32-13-571

Office of the Stealer Bacith T. Winn Pat. Ed. D. ceived by

Dear Madame Speaker:

Transmitted herewith is Bill No.118-32 (COR) "AN ACT TO *ADD* NEW §§ 3123, 3124, 3124.1 AND 3125 TO ARTICLE 1; TO *AMEND* § 3207 AND *ADD* NEW §§ 3212.1, 3230, 3231 AND 3232 OF ARTICLE 2; AND TO *AMEND* §3613 OF ARTICLE 6, ALL OF CHAPTER 3, TITLE 11, GUAM CODE ANNOTATED; AND TO *ADD* A NEW SUBSECTION (h) TO §2105, A NEW SUBSECTION (c) TO §2107, AND A NEW §2111, ALL OF CHAPTER 2, TITLE 3, GUAM ADMINISTRATIVE RULES AND REGULATIONS, RELATIVE TO ALCOHOL BEVERAGE CONTROL, AND TO BE KNOWN AS "THE RESPONSIBLE ALCOHOL SALES AND SERVICE ACT" which I signed into law on July 5, 2013 as Public Law 32-051.

Senseramente.

**EDDIE BAZA CALVO** I Maga'lahen Guåhan Governor of Guam

2013 JUL - 8 PM 4: 59

Attachment: copy of Bill

## I MINA 'TRENTAI DOS NA LIHESLATURAN GUÅHAN 2013 (FIRST) Regular Session

## **CERTIFICATION OF PASSAGE OF AN ACT TO I MAGA'LAHEN GUÅHAN**

This is to certify that Substitute Bill No. 118-32 (COR), "AN ACT TO *ADD* NEW §§ 3123, 3124, 3124.1 AND 3125 TO ARTICLE 1; TO *AMEND* § 3207 AND *ADD* NEW §§ 3212.1, 3230, 3231 AND 3232 OF ARTICLE 2; AND TO *AMEND* §3613 OF ARTICLE 6, ALL OF CHAPTER 3, TITLE 11, GUAM CODE ANNOTATED; AND TO *ADD* A NEW SUBSECTION (h) TO §2105, A NEW SUBSECTION (c) TO §2107, AND A NEW §2111, ALL OF CHAPTER 2, TITLE 3, GUAM ADMINISTRATIVE RULES AND REGULATIONS, RELATIVE TO ALCOHOL BEVERAGE CONTROL, AND TO BE KNOWN AS "THE RESPONSIBLE ALCOHOL SALES AND SERVICE ACT", was on the 24<sup>th</sup> day of June, 2013, duly and regularly passed.

Benjamin LF. Cruz Acting Speaker

Attested:

Thomas C. Ada Acting Legislative Secretary

This Act was received by *I Maga'lahen Guåhan* this  $36^{+1}$  day of  $30^{-1}$ , 2013, at  $3^{-1}$   $\infty$  o'clock  $1^{-1}$ .M.

Assistant Staff Officer Maga'lahi's Office

**APPROVED:** 

EDWARD J.B. CALVO I Maga'lahen Guåhan JUL 052013

Date: \_\_\_\_

Public Law No. 32-051

# I MINA 'TRENTAI DOS NA LIHESLATURAN GUÅHAN 2013 (FIRST) Regular Session

#### Bill No. 118-32 (COR)

As substituted by the Committee on Aviation, Ground Transportation, Regulatory Concerns and Future Generations.

Introduced by:

Dennis G. Rodriguez, Jr. B. J.F. Cruz V. Anthony Ada Michael F. Q. San Nicolas Judith T. Won Pat, Ed.D. R. J. Respicio Brant T. McCreadie Tommy Morrison <u>T. R. Muña Barnes</u> T. C. Ada Frank B. Aguon, Jr. Chris M. Dueñas Michael T. Limtiaco Vicente (ben) C. Pangelinan Aline A. Yamashita, Ph.D.

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#### **BE IT ENACTED BY THE PEOPLE OF GUAM:**

2 Section 1. Legislative Findings and Intent. *I Mina'Trentai Dos Na* 3 *Liheslaturan Guåhan* finds that hundreds of bars, clubs, stores and restaurants 4 across the island engage in the sale and service of alcoholic beverages thousands of 5 times a day. For the individuals and corporations that hold these licenses the sale of 6 alcoholic beverages can be a very lucrative business. These license holders also 7 play a key role in the hospitality and retailing sectors of Guam's economy, 8 providing jobs for our people and tax revenue for the government.

9 The privilege to sell alcoholic beverages also comes with certain 10 responsibilities, including adherence to the rules and regulations the government 11 enacts to protect the health and safety of all who live and visit here.

*I Mina'Trentai Dos Na Liheslaturan Guåhan* also finds that there is abundant statistical and anecdotal evidence pointing to unacceptably high levels of criminal and societal problems that can be traced to the irresponsible sale, service, and consumption of alcoholic beverages.

This evidence includes arrest data provided by GPD and the published results of unannounced and undercover enforcement actions conducted by ABC that shows there are many employees, both those who are licensed by ABC and those who are not ABC licensed, who routinely ignore, willfully violate, or fail to understand their legal responsibilities when serving or selling alcoholic beverages.

High on the list of complaints are service to minors, after hour sales, and sales to intoxicated individuals at both on-premises and off-premises licensed establishments.

*I Mina'Trentai Dos Na Liheslaturan Guåhan* finds further that there is growing public impatience with people who consume alcoholic beverages outside off-premise licensed stores and other public places where the consumption of alcoholic beverages is prohibited at all hours of the night and day. *I Mina'Trentai Dos Na Liheslaturan Guåhan* finds that there is also great public concern about persistent problems such as drunk drivers who injure and/or kill people on our roadways; intoxicated individuals involved in assaults, fights, stabbings, shootings or other crimes, and underage drinking; including instances where these problems can be traced back to the irresponsible sale and service of alcoholic beverages.

7 There have been many efforts to combat these problems from both the 8 public and private sectors of the community, but yet these problems still negatively 9 impact the quality of life for those who live here and tarnish our island's reputation 10 in the eyes of Guam's visitors.

*I Mina'Trentai Dos Na Liheslaturan Guåhan* finds that generally these alcohol enforcement programs overlook the importance of the proper education and training of point of sale employees, both servers and sellers, their supervisors and managers.

15 It is the intent of *I Mina'Trentai Dos Na Liheslaturan Guåhan* to provide for 16 the proper education and training of point of sale employees, both servers and 17 sellers, their supervisors and managers, by joining the dozens of other U.S. states 18 that mandate responsible server/seller training for workers who serve or sell 19 alcoholic beverages, their supervisors, and managers.

It is the intent of *I Mina'Trentai Dos Na Liheslaturan Guåhan* to ensure that those licensed to serve or sell alcoholic beverages to the public, in particular holders of Class 4, 5, 6, 7, 9, 10, 11, and 12 ABC Licenses, have in their employ servers and sellers appropriately trained to comply with Guam's ABC laws.

Under the law, employees who serve or sell alcoholic beverages have legally mandated responsibilities, including, but not limited to, preventing the sale of alcoholic beverages to persons under 21 years of age; recognizing falsified

identification documents; denial of service to an intoxicated or unruly person; and 1 2 the enforcement of hours of service and sale of alcoholic beverages.

The Legislature recognizes that these employees are being asked to fill a 3 4 vitally important role in keeping our island safe, and the transactions they conduct 5 can have extraordinary and even tragic consequences at the point of sale and 6 beyond, yet there is no standardized classroom training to help them understand 7 and execute their responsibilities or keep them mindful of the key role they play in 8 the upholding of community standards related to the service and sale of alcoholic 9 beverages.

10 It is the intent of I Mina'Trentai Dos Na Liheslaturan Guåhan for 11 standardized server/seller classroom training to be widely available and easily 12 accessible for employees who serve or sell alcoholic beverages, and for that 13 training to be a requirement for obtaining an ABC Alcohol Employee License.

Section 2. New §§3123, 3124, 3124.1 and 3125 are added to Article 1 of 14 15 Chapter 3, Title 11, Guam Code Annotated, to read:

"§ 3123. 16 Alcohol Employee. Alcohol employee means a person 17 employed by any on-sale or off-sale licensee who sells or serves alcoholic 18 beverages, or who supervises others who sell or serve alcoholic beverages. This includes, but is *not* limited to, bartenders, waitresses, waiters, hostesses, 19 20 hosts, cashiers, checkout clerks, supervisors, and managers of licensees who 21 are holders of Class 4, 5, 6, 7, 9, 10, 11, and 12 ABC Licenses.

22 Alcohol Server/Seller Training Program. § 3124. Alcohol 23 server/seller training program means a classroom only educational program 24 offered by a non-profit organization, an entity of the government of Guam, a 25 community college, or a university that has been approved by the 26 Administrator under regulations promulgated by the Board. Such regulations 27 *shall* include, but *not* be limited to, the content of the program, certification

of instructors of the program, and testing and methods of presentation, and
 as further provided pursuant to 3 GAR Chapter 2 [Alcoholic Beverage
 Control Board]. The Board, in drafting such regulations, *shall* consult with
 community stakeholders and *shall* comply with the Administrative
 Adjudication Act.

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#### § 3124.1. Delegation of Authority.

(a) The Administrator, with the approval of the Board, may enter into an agreement with a non-profit organization, community college, or university with an authorized program to conduct an Alcohol Server/Seller Training program on behalf of the Board, to include:

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(1) verification of qualifications;

12 (2) the collection of fees for application and licensure, as
13 provided pursuant to §3207 of this Chapter, on behalf of the Board,
14 notwithstanding 3 GAR §2101(d), which *shall not* be applicable in
15 this instance;

16 (3) the issuance of a Guam Alcohol Beverage Control
17 Alcohol Employee license on behalf of the Board; and

(4) the accounting and remittance of requisite fees collected
for application and licensure, the full sum of which *shall* be paid to
the Alcoholic Beverage Compliance Fees and Fines Fund, must be
used to promote the compliance and enforcement of liquor laws; the
act of which *shall* be deemed compliant with Title 11 Guam Code
Annotated, Chapter 3, §3515.

(b) Prior to remitting the fees collected pursuant to this Section to
 the Alcoholic Beverage Compliance Fees and Fines Fund, the authorized
 non-profit organization, community college, or university *shall* deduct and

retain from the fees collected the cost for tuition for the Alcohol
 Server/Seller Training program.

§ 3125. Manager. All Class 4 on-sale establishments *shall* have a
duly registered manager or assistant manager on the premises, in the absence
of the licensee, and during the hours from 7:00 p.m. daily until closing."

6 Section 3. §3207 of Article 2, Chapter 3, Title 11, Guam Code Annotated, is
7 *amended* to read:

"§ 3207. Licenses: Classes and Fees. The Board is authorized to 8 issue the following classes and kinds of licenses at the following fees: 9 Class 1. Manufacturer's license \$100.00 per year 10 \$250.00 per year 11 Class 2. Agent's license \$1,000.00 per year Class 3. Wholesale dealer's license 12 Class 4. Retail dealer's on-sale license: 13 \$300.00 per year 14 Beer \$1,000.00 per year General 15 \$300.00 per year 16 Wine Manager/Assistant Manager \$60.00 per 3 years 17 \$60.00 per 3 years Alcohol Employee 18 Class 5. Retail dealer's off-sale license: 19 Beer \$200.00 per year 20 \$1,000.00 per year 21 General \$60.00 per 3 years 22 Alcohol Employee 23 Class 6. Special Alcoholic license \$25.00 per year 24 Alcohol Employee \$60.00 per 3 years 25 Class 7. Temporary beer license \$ 20.00 per day 26 \$100.00 per event 27 but not to exceed

1	Alcohol Employee \$60.00 per 3 years
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3	Class 8. Public warehousemen's license \$50.00 per year
4	Class 9. Club license \$500.00 per year
5	Alcohol Employee \$60.00 per 3 years
6	Class 10. Temporary general license \$ 50.00 per day, but not to
7	exceed \$100.00 per event
8	Alcohol Employee \$60.00 per 3 years
9	Class 11. Microbrewery-pub restaurant license \$1,000.00 per year
10	Alcohol Employee \$60.00 per 3 years
11	Class 12. Mobile License:
12	(a) Limousine Service – per vehicle \$750.00 per year
13	(b) Charter Vehicle (Vans) – per vehicle
14	Up to ten passengers \$500.00 per year
15	(c) Charter Vehicle (Vans) - per vehicle
16	More than ten passengers \$750.00 per year
17	(d) Buses -per vehicle \$1,000.00 per year
18	(e) Alcohol Employee \$60.00 per 3 years
19	Class 13. Alcohol Server/Seller training program \$200.00 per year."
20	Section 4. A new §3212.1 is added to Article 2, Chapter 3, Title 11, Guam
21	Code Annotated, to read:
22	"§ 3212.1. Alcohol Employee License, Term and Portability.
23	Except as otherwise provided for a violation, as provided pursuant to this
24	Chapter, the License for an Alcohol Employee shall:
25	(a) be valid for a term of three years;
26	(b) be portable to additional or other premises;

(c) be in the possession of the employee at all times when performing any duties involving the service or sale of any class of alcohol, as provided for and defined pursuant to Article 1 of this Chapter;

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(d) include the declaration that the holder has completed an ABCBoard approved Alcohol Server/Seller Training Program; and

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(e) be issued by the Alcohol Server/Seller training program."

Section 5. New §§3230, 3231 and 3232 are *added* to Article 2 of Chapter 3,
Title 11, Guam Code Annotated, to read:

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#### "§ 3230. Alcohol Employee License.

(a) An Alcohol Employee License *shall* be required for all persons who sell or serve, supervise or manage those who sell or serve alcoholic beverages for holders of Class 4, 5, 6, 7, 9, 10, 11, and 12 ABC Licenses.

(b) A Temporary Alcohol Employee License may be issued to an
employee who has registered for an ABC Board approved Alcohol
Server/Seller Training Program. The Temporary Alcohol Employee License
expires in thirty days or when the Alcohol Employee License is issued,
whichever is sooner.

(c) A dated receipt from an ABC Board approved Alcohol
Server/Seller Training Program indicating enrollment and the scheduled
date, time, and location of training *shall* be considered a Temporary Alcohol
Employee License. The receipt *shall* also state, "Temporary Alcohol
Employee License", and *shall* contain the notation that the temporary license *shall* expire within thirty days of issuance.

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§ 3231. Manager License. An Alcohol Beverage Control Manager License *shall* be required for all managers or assistant managers. Every manager must provide the Board and their employer with proof that the manager has successfully completed an ABC Board approved Alcohol

Server/Seller Training Program. Proof for the employer *shall* be satisfied by
 the possession of a valid Alcohol Beverage Control Manager or Assistant
 Manager License, which *shall* include the declaration, that the holder has
 completed an ABC Board approved "Alcohol Server/Seller Training
 Program.

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§ 3232. Alcohol Server/Seller Training Program License. An Alcohol Server/Seller training program license *shall* be issued to alcohol server/seller training programs that have been approved by the administrator."

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Section 6. §3613 of Article 6, Chapter 3, Title 11, Guam Code Annotated, is *amended* to read:

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#### "§ 3613. Same: Hearing.

13 (a) The Board, upon receipt of the report required in §3612, *shall*,
14 as soon as practicable, hold a hearing on such report.

15 The Board shall impose a penalty on a licensee found guilty of (b)16 violating any of the provisions of this Chapter amounting to One Thousand Five Hundred Dollars (\$1,500.00) for the first offense; Two Thousand Five 17 Hundred Dollars (\$2,500.00) for the second offense; and Three Thousand 18 Five Hundred Dollars (\$3,500.00) for the third offense. For the fourth 19 20 offense, the Board may impose a penalty of up to Ten Thousand Dollars 21 (\$10,000.00), but not less than Five Thousand Dollars (\$5,000.00), and a forty-eight hour suspension for every subsequent offense. Contingent on the 22 severity of any violation, the Board may use its discretion and suspend or 23 revoke the license of any licensee found guilty of violating any of the 24 provisions of this Chapter. 25

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(c) In addition to the fines in Subsection (b) of this Section, the Board *shall* suspend, for a period of *not less than* thirty days and *no more* 

*than* ninety days, the license of any licensee found guilty of violating §3413
of this Chapter as a second offense. The Board *shall* revoke the license of
any licensee found guilty of violating §3413 of this Chapter as a third
offense.

5 (d) The Board *shall* suspend an Alcohol Employee's license for 6 seven days for a first offense of §3212.1(c) and (d), and/or §3230, of this 7 Chapter; a suspension of an Alcohol Employee's license for fourteen days 8 and a One Hundred Fifty Dollar (\$150) fine for a second offense; and 9 revocation of an Alcohol Employee's license for the third offense."

Section 7. A new Subsection (h) is *added* to §2105 of Chapter 2, Title 3,
Guam Administrative Rules and Regulations, to read:

"(h) Class 4, 5, 6, 7, 9, 10, 11 and 12 ABC Licensees *shall not* hire
or employ any employee that *does not* have a valid Alcohol Employee
License from an approved Alcohol Server/Seller Training Program twelve
months from the effective date of this Act, provided, however:

16 (1) that a current ABC License *shall* continue to be valid
17 until expiration, but the licensee *shall* be required to have taken the
18 Alcohol Server/Seller Training Program prior to license renewal.
19 Further, the unexpired license *shall not* be portable, as provided
20 pursuant to §3212.1(b) which only applies to a licensee who has
21 passed an Alcohol Server/Seller Training Program."

Section 8. A new Subsection (c) is *added* to §2107 of Chapter 2, Title 3,
Guam Administrative Rules and Regulations, to read:

"(c) The Administrator *shall* approve classroom alcohol
server/seller training programs that meet the regulations duly promulgated
by the Board pursuant to 11 Guam Code Annotated §3124."

1 Section 9. A new §2111 is added to Chapter 2, Title 3, Guam Administrative 2 Rules and Regulations, to read: **Responsibilities of Alcohol Server/Seller Training** 3 "§ 2111. 4 **Programs.** 5 (a) No alcohol server/seller training program will offer or conduct alcohol server/seller training classes until they have received written 6 7 approval from the Administrator. All alcohol server/seller training programs shall apply for 8 (b)9 approval from the Administrator by submitting their complete program, 10 including instructor manuals, student manuals, videos and any other 11 materials that are used for the program. The curriculum of an alcohol server/seller training program 12 (c)shall include, at a minimum, all of the following topics: 13 the ethics and responsibilities of serving alcoholic 14 (1)15 beverages; 16 (2)basic alcohol content among different types of drinks containing alcohol; 17 the clinical effects of alcohol, including the identification 18 (3)19 of progressive stages of intoxication and the visible signs associated 20with each stage; 21 (4)variables associated with visible intoxication, including 22 the rate of drinking, tolerance, weight, food consumption, gender, and use of other drugs; 23 24 (5)procedures for monitoring consumption, personal skills to prevent intoxication and intervention procedures; 25

1 (6)acceptable forms of personal identification, techniques for determining the validity of identification, and procedures for 2 dealing with fraudulent identification; 3 4 (8)personal skills to assess the need to ask for identification based on appearance and company policy; 5 the identification of potential third-party sales and 6 (9)furnishing of alcoholic beverages to minors by persons 21 years of 7 8 age or over; 9 (10) the understanding of possible legal, civil. and 10 administrative consequences of violations of this Act, the rules of the Board, and other pertinent laws; 11 (11) how to identify at place of employment spirits and other 12 alcoholic beverage products not intended for resale or commercial 13 distribution outside of military bases, and procedures for reporting 14 these violations to the Board; 15 (12) the understanding of Guam laws pertaining to minors 16 attempting to purchase, minors in possession, and third-party sales or 17 furnishing of alcoholic beverages by adults to minors; 18 (13) knowledge of the legal hours of alcohol service and 19 20 occupancy; (14) identification of signs of prohibited activities, such as 21 gambling, solicitation for prostitution, and drug sales; and 22 (15) any other pertinent laws as determined by the Board or 23 Administrator. 24 The alcohol server/seller training program shall administer a 25 (d) comprehensive examination to participants of the program, including 26 individuals seeking certification as instructors within the program. The 27

examination shall thoroughly test the individual's knowledge and competency in the curriculum topics required by the Board. The minimum 2 3 passing grade for the examination participants of the program shall be not less than 75%. For instructor certification, the passing grade shall be not 4 less than 85%. All tests shall be approved by the Administrator. 5

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The alcohol server/seller training program shall issue an (e) Alcohol Employee License to the student who successfully completes the program. Certification *shall* be valid for a term of three years.

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The alcohol server/seller training program shall issue an (f)10 instructor certification card to all instructors that have been certified by the program that shall be valid for a term of three years, and shall notify the 11 12 Board or Administrator. The alcohol server/seller training program shall 13 immediately notify the Board or Administrator when an instructor is no longer certified by the program. 14

15 (g) The alcohol server/seller training program *shall* make available 16 all records of students, instructors and certifications to the Board and the 17 Administrator.

The Administrator may rescind approval of any alcohol 18 (h) server/seller training program that fails to continue to meet these 19 requirements or fails to pay the yearly fee set by the Board. 20

21 (i) The Administrator *shall not* approve online alcohol server/seller training programs." 22

23 Section 10. Effective Date. This Act *shall* become effective upon 24 enactment.

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Section 11. Phased Implementation for Enforcement.

(a) The Board *shall* promulgate the requisite rules and regulations
 mandated pursuant to §3124 of Title 11, Guam Code Annotated, within one
 hundred fifty calendar days of the effective date of this Act.

4 (b) With the approval by the Administrator of a classroom alcohol 5 server/seller training program, and the actual commencement of program 6 availability, then the compliance, enforcement and penalty provisions of this Act 7 *shall* become effective within twelve months of the effective date of this Act.

8 Section 12. Severability. *If* any provision of this Act or its application to 9 any person or circumstance is found to be invalid or contrary to law, such 10 invalidity *shall not* affect other provisions or applications of this Law which can be 11 given effect without the invalid provisions or applications, and to this end the 12 provisions of this Law are severable.

# I MINA 'TRENTAI DOS NA LIHESLATURAN GUÅHAN 2013 (FIRST) Regular Session

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Beniamin LF. Cruz Acting Speaker

Attested:

Thomas C. Ada Acting Legislative Secretary

This Act was received by I Maga'lahen Guåhan this	北下	day of June
2013, at $3'$ $\mathcal{N}$ o'clock		, and or,

tant Staff Officer

Maga'lahi's Office

**APPROVED:** 

EDWARD J.B. CALVO I Maga'lahen Guåhan

Date: \_\_\_\_\_

Public Law No. \_\_\_\_\_

## I MINA 'TRENTAI DOS NA LIHESLATURAN GUÅHAN 2013 (FIRST) Regular Session

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Introduced by:

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This evidence includes arrest data provided by GPD and the published results of unannounced and undercover enforcement actions conducted by ABC that shows there are many employees, both those who are licensed by ABC and those who are not ABC licensed, who routinely ignore, willfully violate, or fail to understand their legal responsibilities when serving or selling alcoholic beverages.

High on the list of complaints are service to minors, after hour sales, and sales to intoxicated individuals at both on-premises and off-premises licensed establishments.

*I Mina'Trentai Dos Na Liheslaturan Guåhan* finds further that there is growing public impatience with people who consume alcoholic beverages outside off-premise licensed stores and other public places where the consumption of alcoholic beverages is prohibited at all hours of the night and day. *I Mina'Trentai Dos Na Liheslaturan Guåhan* finds that there is also great public concern about persistent problems such as drunk drivers who injure and/or kill people on our roadways; intoxicated individuals involved in assaults, fights, stabbings, shootings or other crimes, and underage drinking; including instances where these problems can be traced back to the irresponsible sale and service of alcoholic beverages.

7 There have been many efforts to combat these problems from both the 8 public and private sectors of the community, but yet these problems still negatively 9 impact the quality of life for those who live here and tarnish our island's reputation 10 in the eyes of Guam's visitors.

*I Mina'Trentai Dos Na Liheslaturan Guåhan* finds that generally these alcohol enforcement programs overlook the importance of the proper education and training of point of sale employees, both servers and sellers, their supervisors and managers.

15 It is the intent of *I Mina'Trentai Dos Na Liheslaturan Guåhan* to provide for 16 the proper education and training of point of sale employees, both servers and 17 sellers, their supervisors and managers, by joining the dozens of other U.S. states 18 that mandate responsible server/seller training for workers who serve or sell 19 alcoholic beverages, their supervisors, and managers.

It is the intent of *I Mina'Trentai Dos Na Liheslaturan Guåhan* to ensure that those licensed to serve or sell alcoholic beverages to the public, in particular holders of Class 4, 5, 6, 7, 9, 10, 11, and 12 ABC Licenses, have in their employ servers and sellers appropriately trained to comply with Guam's ABC laws.

Under the law, employees who serve or sell alcoholic beverages have legally mandated responsibilities, including, but not limited to, preventing the sale of alcoholic beverages to persons under 21 years of age; recognizing falsified

identification documents; denial of service to an intoxicated or unruly person; and 1 2 the enforcement of hours of service and sale of alcoholic beverages.

3 The Legislature recognizes that these employees are being asked to fill a 4 vitally important role in keeping our island safe, and the transactions they conduct 5 can have extraordinary and even tragic consequences at the point of sale and 6 beyond, yet there is no standardized classroom training to help them understand 7 and execute their responsibilities or keep them mindful of the key role they play in the upholding of community standards related to the service and sale of alcoholic 8 9 beverages.

10 It is the intent of I Mina'Trentai Dos Na Liheslaturan Guåhan for 11 standardized server/seller classroom training to be widely available and easily 12 accessible for employees who serve or sell alcoholic beverages, and for that 13 training to be a requirement for obtaining an ABC Alcohol Employee License.

14 Section 2. New §§3123, 3124, 3124.1 and 3125 are added to Article 1 of 15 Chapter 3, Title 11, Guam Code Annotated, to read:

16 "§ 3123. Alcohol Employee. Alcohol employee means a person 17 employed by any on-sale or off-sale licensee who sells or serves alcoholic 18 beverages, or who supervises others who sell or serve alcoholic beverages. 19 This includes, but is *not* limited to, bartenders, waitresses, waiters, hostesses, 20 hosts, cashiers, checkout clerks, supervisors, and managers of licensees who 21 are holders of Class 4, 5, 6, 7, 9, 10, 11, and 12 ABC Licenses.

22 § 3124. Alcohol Server/Seller Training Program. Alcohol 23 server/seller training program means a classroom only educational program 24 offered by a non-profit organization, an entity of the government of Guam, a 25 community college, or a university that has been approved by the Administrator under regulations promulgated by the Board. Such regulations 26 shall include, but not be limited to, the content of the program, certification 27

1 of instructors of the program, and testing and methods of presentation, and 2 as further provided pursuant to 3 GAR Chapter 2 [Alcoholic Beverage 3 Control Board]. The Board, in drafting such regulations, *shall* consult with 4 community stakeholders and *shall* comply with the Administrative 5 Adjudication Act.

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### § 3124.1. Delegation of Authority.

(a) The Administrator, with the approval of the Board, may enter into an agreement with a non-profit organization, community college, or university with an authorized program to conduct an Alcohol Server/Seller Training program on behalf of the Board, to include:

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(1) verification of qualifications;

12 (2) the collection of fees for application and licensure, as
13 provided pursuant to §3207 of this Chapter, on behalf of the Board,
14 notwithstanding 3 GAR §2101(d), which *shall not* be applicable in
15 this instance;

16 (3) the issuance of a Guam Alcohol Beverage Control
17 Alcohol Employee license on behalf of the Board; and

(4) the accounting and remittance of requisite fees collected
for application and licensure, the full sum of which *shall* be paid to
the Alcoholic Beverage Compliance Fees and Fines Fund, must be
used to promote the compliance and enforcement of liquor laws; the
act of which *shall* be deemed compliant with Title 11 Guam Code
Annotated, Chapter 3, §3515.

(b) Prior to remitting the fees collected pursuant to this Section to
the Alcoholic Beverage Compliance Fees and Fines Fund, the authorized
non-profit organization, community college, or university *shall* deduct and

retain from the fees collected the cost for tuition for the Alcohol
 Server/Seller Training program.

§ 3125. Manager. All Class 4 on-sale establishments *shall* have a
duly registered manager or assistant manager on the premises, in the absence
of the licensee, and during the hours from 7:00 p.m. daily until closing."

6 Section 3. §3207 of Article 2, Chapter 3, Title 11, Guam Code Annotated, is
7 *amended* to read:

Licenses: Classes and Fees. The Board is authorized to 8 **"§ 3207.** 9 issue the following classes and kinds of licenses at the following fees: 10 Class 1. Manufacturer's license \$100.00 per year 11 Class 2. Agent's license \$250.00 per year \$1,000.00 per year 12 Class 3. Wholesale dealer's license 13 Class 4. Retail dealer's on-sale license: 14 Beer \$300.00 per year \$1,000.00 per year 15 General 16 \$300.00 per year Wine 17 Manager/Assistant Manager \$60.00 per 3 years 18 Alcohol Employee \$60.00 per 3 years 19 Class 5. Retail dealer's off-sale license: 20 Beer \$200.00 per year 21 General \$1,000.00 per year 22 \$60.00 per 3 years Alcohol Employee 23 Class 6. Special Alcoholic license 24 \$25.00 per year Alcohol Employee \$60.00 per 3 years 25 26 Class 7. Temporary beer license \$ 20.00 per day \$100.00 per event 27 but not to exceed

1	Alcohol Employee \$60.00 per 3 years
2	
3	Class 8. Public warehousemen's license \$50.00 per year
4	Class 9. Club license \$500.00 per year
5	Alcohol Employee \$60.00 per 3 years
6	Class 10. Temporary general license \$ 50.00 per day, but not to
7	exceed \$100.00 per event
8	Alcohol Employee \$60.00 per 3 years
9	Class 11. Microbrewery-pub restaurant license \$1,000.00 per year
10	Alcohol Employee \$60.00 per 3 years
11	Class 12. Mobile License:
12	(a) Limousine Service – per vehicle \$750.00 per year
13	(b) Charter Vehicle (Vans) – per vehicle
14	Up to ten passengers \$500.00 per year
15	(c) Charter Vehicle (Vans) - per vehicle
16	More than ten passengers \$750.00 per year
17	(d) Buses -per vehicle \$1,000.00 per year
18	(e) Alcohol Employee \$60.00 per 3 years
19	Class 13. Alcohol Server/Seller training program \$200.00 per year."
20	Section 4. A new §3212.1 is added to Article 2, Chapter 3, Title 11, Guam
21	Code Annotated, to read:
22	"§ 3212.1. Alcohol Employee License, Term and Portability.
23	Except as otherwise provided for a violation, as provided pursuant to this
24	Chapter, the License for an Alcohol Employee shall:
25	(a) be valid for a term of three years;
26	(b) be portable to additional or other premises;

1 be in the possession of the employee at all times when (c)2 performing any duties involving the service or sale of any class of alcohol, 3 as provided for and defined pursuant to Article 1 of this Chapter;

4 5

(d) include the declaration that the holder has completed an ABC Board approved Alcohol Server/Seller Training Program; and

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(e) be issued by the Alcohol Server/Seller training program."

Section 5. New §§3230, 3231 and 3232 are added to Article 2 of Chapter 3, 7 8 Title 11, Guam Code Annotated, to read:

9

"§ 3230. **Alcohol Employee License.** 

10 An Alcohol Employee License *shall* be required for all persons (a) 11 who sell or serve, supervise or manage those who sell or serve alcoholic beverages for holders of Class 4, 5, 6, 7, 9, 10, 11, and 12 ABC Licenses. 12

A Temporary Alcohol Employee License may be issued to an 13 (b)14 employee who has registered for an ABC Board approved Alcohol 15 Server/Seller Training Program. The Temporary Alcohol Employee License expires in thirty days or when the Alcohol Employee License is issued, 16 17 whichever is sooner.

A dated receipt from an ABC Board approved Alcohol 18 (c) Server/Seller Training Program indicating enrollment and the scheduled 19 20 date, time, and location of training *shall* be considered a Temporary Alcohol 21 The receipt *shall* also state, "Temporary Alcohol Employee License. 22 Employee License", and *shall* contain the notation that the temporary license shall expire within thirty days of issuance. 23

24

§ 3231. Manager License. An Alcohol Beverage Control Manager License shall be required for all managers or assistant managers. 25 26 Every manager must provide the Board and their employer with proof that 27 the manager has successfully completed an ABC Board approved Alcohol Server/Seller Training Program. Proof for the employer *shall* be satisfied by
 the possession of a valid Alcohol Beverage Control Manager or Assistant
 Manager License, which *shall* include the declaration, that the holder has
 completed an ABC Board approved "Alcohol Server/Seller Training
 Program.

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§ 3232. Alcohol Server/Seller Training Program License. An Alcohol Server/Seller training program license *shall* be issued to alcohol server/seller training programs that have been approved by the administrator."

10Section 6. §3613 of Article 6, Chapter 3, Title 11, Guam Code11Annotated, is *amended* to read:

12

#### "§ 3613. Same: Hearing.

13 (a) The Board, upon receipt of the report required in §3612, *shall*,
14 as soon as practicable, hold a hearing on such report.

15 The Board shall impose a penalty on a licensee found guilty of (b)16 violating any of the provisions of this Chapter amounting to One Thousand Five Hundred Dollars (\$1,500.00) for the first offense; Two Thousand Five 17 18 Hundred Dollars (\$2,500.00) for the second offense; and Three Thousand 19 Five Hundred Dollars (\$3,500.00) for the third offense. For the fourth offense, the Board may impose a penalty of up to Ten Thousand Dollars 20 (\$10,000.00), but not less than Five Thousand Dollars (\$5,000.00), and a 21 forty-eight hour suspension for every subsequent offense. Contingent on the 22 23 severity of any violation, the Board may use its discretion and suspend or 24 revoke the license of any licensee found guilty of violating any of the provisions of this Chapter. 25

26 (c) In addition to the fines in Subsection (b) of this Section, the
27 Board *shall* suspend, for a period of *not less than* thirty days and *no more*

*than* ninety days, the license of any licensee found guilty of violating §3413
of this Chapter as a second offense. The Board *shall* revoke the license of
any licensee found guilty of violating §3413 of this Chapter as a third
offense.

(d) The Board *shall* suspend an Alcohol Employee's license for
seven days for a first offense of §3212.1(c) and (d), and/or §3230, of this
Chapter; a suspension of an Alcohol Employee's license for fourteen days
and a One Hundred Fifty Dollar (\$150) fine for a second offense; and
revocation of an Alcohol Employee's license for the third offense."

Section 7. A new Subsection (h) is *added* to §2105 of Chapter 2, Title 3,
Guam Administrative Rules and Regulations, to read:

"(h) Class 4, 5, 6, 7, 9, 10, 11 and 12 ABC Licensees *shall not* hire
or employ any employee that *does not* have a valid Alcohol Employee
License from an approved Alcohol Server/Seller Training Program twelve
months from the effective date of this Act, provided, however:

16 (1) that a current ABC License *shall* continue to be valid
17 until expiration, but the licensee *shall* be required to have taken the
18 Alcohol Server/Seller Training Program prior to license renewal.
19 Further, the unexpired license *shall not* be portable, as provided
20 pursuant to §3212.1(b) which only applies to a licensee who has
21 passed an Alcohol Server/Seller Training Program."

Section 8. A new Subsection (c) is *added* to §2107 of Chapter 2, Title 3,
Guam Administrative Rules and Regulations, to read:

24 "(c) The Administrator *shall* approve classroom alcohol
25 server/seller training programs that meet the regulations duly promulgated
26 by the Board pursuant to 11 Guam Code Annotated §3124."

Section 9. A new §2111 is added to Chapter 2, Title 3, Guam Administrative 1 2 Rules and Regulations, to read: **Responsibilities of Alcohol Server/Seller Training** 3 **"§ 2111.** 4 **Programs.** 5 No alcohol server/seller training program will offer or conduct (a) alcohol server/seller training classes until they have received written 6 7 approval from the Administrator. All alcohol server/seller training programs shall apply for 8 (b)9 approval from the Administrator by submitting their complete program, 10 including instructor manuals, student manuals, videos and any other 11 materials that are used for the program. The curriculum of an alcohol server/seller training program 12 (c) 13 *shall* include, at a minimum, all of the following topics: 14 (1)the ethics and responsibilities of serving alcoholic 15 beverages; 16 basic alcohol content among different types of drinks (2)17 containing alcohol; 18 the clinical effects of alcohol, including the identification (3)19 of progressive stages of intoxication and the visible signs associated 20 with each stage; 21 (4)variables associated with visible intoxication, including 22 the rate of drinking, tolerance, weight, food consumption, gender, and 23 use of other drugs; 24 (5)procedures for monitoring consumption, personal skills 25 to prevent intoxication and intervention procedures;

acceptable forms of personal identification, techniques 1 (6)2 for determining the validity of identification, and procedures for 3 dealing with fraudulent identification; personal skills to assess the need to ask for identification 4 (8)5 based on appearance and company policy; 6 the identification of potential third-party sales and (9) 7 furnishing of alcoholic beverages to minors by persons 21 years of 8 age or over; 9 (10) the understanding of possible legal, civil, and 10 administrative consequences of violations of this Act, the rules of the 11 Board, and other pertinent laws; (11) how to identify at place of employment spirits and other 12 13 alcoholic beverage products *not* intended for resale or commercial distribution outside of military bases, and procedures for reporting 14 15 these violations to the Board; 16 (12) the understanding of Guam laws pertaining to minors 17 attempting to purchase, minors in possession, and third-party sales or 18 furnishing of alcoholic beverages by adults to minors; 19 (13) knowledge of the legal hours of alcohol service and 20 occupancy; (14) identification of signs of prohibited activities, such as 21 gambling, solicitation for prostitution, and drug sales; and 22 23 (15) any other pertinent laws as determined by the Board or 24 Administrator. The alcohol server/seller training program shall administer a 25 (d)comprehensive examination to participants of the program, including 26 individuals seeking certification as instructors within the program. 27 The examination *shall* thoroughly test the individual's knowledge and
competency in the curriculum topics required by the Board. The minimum
passing grade for the examination participants of the program *shall* be *not less than* 75%. For instructor certification, the passing grade *shall* be *not less than* 85%. All tests *shall* be approved by the Administrator.

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(e) The alcohol server/seller training program *shall* issue an Alcohol Employee License to the student who successfully completes the program. Certification *shall* be valid for a term of three years.

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9 (f) The alcohol server/seller training program *shall* issue an 10 instructor certification card to all instructors that have been certified by the 11 program that *shall* be valid for a term of three years, and *shall* notify the 12 Board or Administrator. The alcohol server/seller training program *shall* 13 immediately notify the Board or Administrator when an instructor is no 14 longer certified by the program.

(g) The alcohol server/seller training program *shall* make available
all records of students, instructors and certifications to the Board and the
Administrator.

(h) The Administrator may rescind approval of any alcohol
server/seller training program that fails to continue to meet these
requirements or fails to pay the yearly fee set by the Board.

21 (i) The Administrator *shall not* approve online alcohol server/seller
22 training programs."

23 Section 10. Effective Date. This Act *shall* become effective upon
24 enactment.

25

Section 11. Phased Implementation for Enforcement.

(a) The Board *shall* promulgate the requisite rules and regulations
 mandated pursuant to §3124 of Title 11, Guam Code Annotated, within one
 hundred fifty calendar days of the effective date of this Act.

4 (b) With the approval by the Administrator of a classroom alcohol 5 server/seller training program, and the actual commencement of program 6 availability, then the compliance, enforcement and penalty provisions of this Act 7 *shall* become effective within twelve months of the effective date of this Act.

8 Section 12. Severability. *If* any provision of this Act or its application to 9 any person or circumstance is found to be invalid or contrary to law, such 10 invalidity *shall not* affect other provisions or applications of this Law which can be 11 given effect without the invalid provisions or applications, and to this end the 12 provisions of this Law are severable.

# LEGISLATIVE SESSION I MINA'TRENTAI DOS NA LIHESLATURAN 2013 (FIRST) Regular Session Voting Sheet

Speaker Antonio R. Unipingco Legislative Session Hall

# S.Bill No: 118-32 (COR)

NAME	Yea	Nay	Not Voting/ Abstained	Out During Roll Call	Absent
Senator Thomas "Tom" C. ADA	V				
Senator V. Anthony "Tony" ADA	V				
Senator Frank Blas AGUON Jr.	V				
Vice-Speaker Benjamin J.F. CRUZ	V				
Senator Christopher M. DUENAS	V				
Senator Michael LIMTIACO	V				
Senator Brant McCREADIE	$\sim$				**************************************
Senator Thomas "Tommy" MORRISON	V.				
Senator Tina Rose MUÑA BARNES	V				
Senator Vicente (ben) Cabrera PANGELINAN	V				
Senator Rory J. RESPICIO	V				
Senator Dennis G. RODRIGUEZ, Jr.	V				
Senator Michael F. Q.SAN NICOLAS	V				
Speaker Judith T. WON PAT, Ed.D.	V				
Senator Aline A. YAMASHITA, Ph.D.	V				

TOTAL	15				
	Yea	Nay	Not Voting/ Abstained	Out During Roll Call	Absent
CERTIFIED TRUE AND CORRECT:		I = Pass			
Clerk of the Legislature					



# Senator Michael F.Q. San Nicolas

Chairman - Committee on Aviation, Ground Transportation, Regulatory Concerns and Future Generations I Mina'trentai Dos Na Liheslaturan Guåhan | 32nd Guam Legislature



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# JUN 1 7 2013

The Honorable Judith T. Won Pat, Ed.D. Speaker *I Mina 'Trentai Dos na Liheslaturan Guåhan* 155 Hesler Place Hagatna, Guam 96910

VIA: The Honorable Rory J. Respicio Chairman Committee on Rules, Federal, Foreign & Micronesian Affairs, Human & Natural Resources, and Election Reform

#### RE: Committee Report on Bill No. 118-32 (COR), As Substituted by the Committee

Dear Speaker Won Pat,

*Håfa adai*! Transmitted herewith is the committee report on Bill No. 118-32 (COR), as substituted by the Committee on Aviation, Ground Transportation, Regulatory Concerns and Future Generations – "AN ACT RELATIVE TO ALCOHOL BEVERAGE CONTROL, TO BE KNOWN AS "THE RESPONSIBLE ALCOHOL SALES AND SERVICE ACT", BY ADDING NEW §§ 3123, 3124 AND 3125 TO ARTICLE 1, CHAPTER 3, 11 GCA; TO AMEND § 3207, AND ADD §§ 3212.1, 3230, 3231, AND 3232 TO ARTICLE 2, CHAPTER 3, 11 GCA; TO AMEND 11 GCA §3613; AND, ADD A NEW (h) TO §2105, ADD A NEW (c) TO § 2106, ADD A NEW (c) TO §2107, AND ADD A NEW §2111, ALL OF 3 GAR CHAPTER 2 [ALCOHOLIC BEVERAGE CONTROL BOARD]."

Committee votes are as follows:

3	TO DO PASS
	TO NOT PASS
_5	TO REPORT OUT ONLY
	TO ABSTAIN
	TO PLACE IN INACTIVE FILE

Respectfully,

MICHAEL F.Q. SAN NICOLAS



# Senator Michael F.Q. San Nicolas

Chairman - Committee on Aviation, Ground Transportation, Regulatory Concerns and Future Generations I Mina'trentai Dos Na Liheslaturan Guåhan | 32nd Guam Legislature



# **COMMITTEE REPORT**

# BILL NO. 118-32 (COR) AS SUBSTITUTED BY THE COMMITTEE

AN ACT RELATIVE TO ALCOHOL BEVERAGE CONTROL, TO BE KNOWN AS "THE RESPONSIBLE ALCOHOL SALES AND SERVICE ACT", BY ADDING NEW §§ 3123, 3124 AND 3125 TO ARTICLE 1, CHAPTER 3, 11 GCA; TO AMEND § 3207, AND ADD §§ 3212.1, 3230, 3231, AND 3232 TO ARTICLE 2, CHAPTER 3, 11 GCA; TO AMEND 11 GCA §3613; AND, ADD A NEW (h) TO §2105, ADD A NEW (c) TO § 2106, ADD A NEW (c) TO §2107, AND ADD A NEW §2111, ALL OF 3 GAR CHAPTER 2 [ALCOHOLIC BEVERAGE CONTROL BOARD].



# Senator Michael F.Q. San Nicolas

Chairman – Committee on Aviation, Ground Transportation, Regulatory Concerns and Future Generations I Mina'trentai Dos Na Liheslaturan Guåhan | 32nd Guam Legislature



# JUN 1 7 2013

#### **MEMORANDUM**

TO:

All Members Committee on Aviation, Ground Transportation, Regulatory Concerns and Future Generations

#### RE: Committee Report on Bill No. 118-32 (COR), As Substituted by the Committee

*Håfa adai*! Transmitted herewith for your consideration is the Committee Report on Bill No. 118-32 (COR), as substituted by the Committee – "AN ACT RELATIVE TO ALCOHOL BEVERAGE CONTROL, TO BE KNOWN AS "THE RESPONSIBLE ALCOHOL SALES AND SERVICE ACT", BY ADDING NEW §§ 3123, 3124 AND 3125 TO ARTICLE 1, CHAPTER 3, 11 GCA; TO AMEND § 3207, AND ADD §§ 3212.1, 3230, 3231, AND 3232 TO ARTICLE 2, CHAPTER 3, 11 GCA; TO AMEND 11 GCA §3613; AND, ADD A NEW (h) TO §2105, ADD A NEW (c) TO § 2106, ADD A NEW (c) TO §2107, AND ADD A NEW §2111, ALL OF 3 GAR CHAPTER 2 [ALCOHOLIC BEVERAGE CONTROL BOARD]."

This report includes the following:

- Committee Voting Sheet
- Report Digest
- Copy of Bill No. 118-32 (COR), As Introduced
- Copy of Bill No. 118-32 (COR), As Substituted
- Public Hearing Sign-in Sheet
- Copy of COR Referral of Bill No. 118-32 (COR)
- Request for Fiscal Note
- Notices of Public Hearing
- Copy of the Public Hearing Agenda
- Public Testimony
- Supplemental Documents

Please take the appropriate action on the attached voting sheet. Your attention to this matter is greatly appreciated. Should you have any questions or concerns, please do not hesitate to contact my office.

Respectfully, MICHAEL F.Q. SAN NICOLAS



# Senator Michael F.Q. San Nicolas

Chairman - Committee on Aviation, Ground Transportation, Regulatory Concerns and Future Generations I Mina'trentai Dos Na Liheslaturan Guåhan | 32nd Guam Legislature



# **COMMITTEE VOTING SHEET**

Bill No. 118-32 (COR), as Substituted by the Committee – "AN ACT RELATIVE TO ALCOHOL BEVERAGE CONTROL, TO BE KNOWN AS "THE RESPONSIBLE ALCOHOL SALES AND SERVICE ACT", BY ADDING NEW §§ 3123, 3124 AND 3125 TO ARTICLE 1, CHAPTER 3, 11 GCA; TO AMEND § 3207, AND ADD §§ 3212.1, 3230, 3231, AND 3232 TO ARTICLE 2, CHAPTER 3, 11 GCA; TO AMEND 11 GCA §3613; AND, ADD A NEW (b) TO §2105, ADD A NEW (c) TO § 2106, ADD A NEW (c) TO §2107, AND ADD A NEW §2111, ALL OF 3 GAR CHAPTER 2 [ALCOHOLIC BEVERAGE CONTROL BOARD]."

	SIGNATURE	TO DO PASS	TO NOT PASS	TO REPORT OUT ONLY	TO ABSTAIN	TO PLACE IN INACTIVE FILE
Senator Michael F.Q. San NicolasChairman $G(1)(S)$	mi	My				
Senator Thomas C. Ada	_					
Vice Chairman	N					
Speaker Judith T. Won Pat, Ed.D.	The					
Member	AN			~		
Vice Speaker Benjamin J.F. Cruz Member	RA	6/17/13				
Senator Tina Rose Muña Barnes Member	Min	, ,-				
Senator Vicente C. Pangelinan				1		
Member	A			$\checkmark$		
Senator Rory J. Respicio Member						
Senator V. Anthony Ada Member	$Q_{r}Q$	6/17/3				
Senator Aline A. Yamashita, Ph.D. Member	Â			6/17/13		
	0				·····	



# Senator Michael F.Q. San Nicolas

Chairman - Committee on Aviation, Ground Transportation, Regulatory Concerns and Future Generations I Mina'trentai Dos Na Liheslaturan Guåhan | 32nd Guam Legislature



# **COMMITTEE REPORT DIGEST**

# I. OVERVIEW

Bill No. 118-32 (COR) was introduced on May 16, 2013 by Senator Dennis G. Rodriguez, Jr. The bill was subsequently referred by the Committee on Rules to the Committee on Aviation, Ground Transportation, Regulatory Concerns and Future Generations on May 16, 2013.

The Committee on Aviation, Ground Transportation, Regulatory Concerns and Future Generations convened a public hearing on June 13, 2013 at 5:40 p.m. in *I Liheslatura*'s Public Hearing Room. Among the items on the hearing agenda was Bill No. 118-32 (COR). The hearing was adjourned at 8:37 a.m.

#### **Public Notice Requirements**

Public hearing notices were disseminated via electronic mail to all senators and all main media broadcasting outlets on June 6, 2013, and again on June 11, 2013. Notice was also posted on the official website of *I Liheslaturan Guåhan* beginning on June 6, 2013.

#### Senators Present

Senator Michael F.Q. San Nicolas, Chairman Senator Thomas C. Ada, Vice-Chairman Vice Speaker Benjamin J. Cruz, Committee Member Senator Rory J. Respicio, Committee Member Senator V. Anthony Ada, Committee Member Senator Aline A. Yamashita, Ph.D., Committee Member Senator Dennis G. Rodriguez, Jr. Senator Dennis G. Rodriguez, Jr. Senator Frank B. Aguon, Jr. Senator Brant McCreadie Senator Thomas Morrison Senator Michael Limtiaco Senator Christopher M. Duenas

#### **Public Testimony**

Rebecca Respicio, Department of Youth Affairs Audrey Topasna, Guam Behavioral Health and Wellness Center Phil Tydingco, Deputy Attorney General, Attorney General's Office Lucia Perez, Member, Alcoholic Beverage Control Board Steve Ignacio, Guam Police Department

#### <u>Written Testimony</u>

Fred E. Bordallo, Jr., Police Chief, Guam Police Department John P. Camacho, Director, Department of Revenue and Taxation Annette M. David, Health Partners, L.L.C.

# **II. TESTIMONY & DISCUSSION**

**Chairman Michael San Nicolas**: We do have a large turnout for Bill 87 and, in consideration for the individuals who are here for Bill 118, I would like to ask them to go first; if we could accommodate them, that way they can get their business done and we can move forward with Bill 87.I'd like to go ahead and call forward Lucia Perez, Phil Tydingco, Steve Ignacio and, with a short presentation, Rebecca Respicio and Audry Topasna. I would like to give some time to the author of the bill to go ahead and do his presentation on Bill 188. Senator Rodriguez.

Senator Dennis Rodriguez, Jr.: Thank you, Mister Chariman, and thank you for having this public hearing. The intent of this legislation is to give servers and sellers of alcoholic beverages basic knowledge and understanding of their responsibilities under the law. This legislation specifically calls for training to be conducted by non-profit organizations like GCC, for example. As an educational institution specializing in workforce training and skills development, they have a proven track record and can be trusted to but responsibility, training and public safety ahead of profits. Point of sale employees, whether at a bar, restaurant, supermarket, mom and pop store, gas station or other retailer, are the first line of defense against underage drinking, DUI and public intoxication; problems that eat up precious time of the police, prosecutors and the courts. What happens at the point of sales is, perhaps, the most crucial link in a more effective, community-wide partnership to make Guam safer and more enjoyable for all who live and visit here. To date, point of sale workers have been mostly overlooked in this effort. They certainly have not gotten the support they deserve form the rest of the community to help them do a better job. Server/seller training addresses this oversight. Server/seller training is a way to build confidence that the men and women our island has entrusted to dispense alcohol, whether by the glass or by the six pack. understand and comply with the responsibilities of their licensed occupation. Right now, because of the high number of alcohol related offenses our island experiences, especially those involving underage drinking, public intoxication and DUI, that confidence is lacking. Right now, there are different licensing standards for employees who serve at bars, clubs and restaurants then workers who sell at stores. This bill will level the playing field between these on-premise servers and off-premise sellers of alcohol by requiring all who serve and sell to be ABC-licensed alcohol employees. It will create parity among all workers who sell alcohol, no matter where they sell it. Efforts to ensure responsible sales and service are a natural fit with the existing "Drink Responsibly" campaign that various alcohol distributors promote. Point of sale employees are in a position to have substantial influence and impact on drunk driving. underage drinking and public intoxication, if they are properly educated and trained. Research has shown that training changes the attitudes of those serving or selling alcohol, and they are less likely to sell to someone who is underage or has already consumed too much. Historically, preventive education has been more effective and more cost-efficient than punitive actions, and responsible server/seller training is a proactive versus reactive or punitive approach to addressing alcohol-related problems. Additionally, the current process to get a Guam ABC card is complicated, cumbersome, time consuming, expensive and can be very frustrating. Applicants are required to get numerous government clearances that cost time and money. Yet, when all is said and done, all they receive for going through these hoops is a governmentissued card. Training creates added value to having a server/seller card. Currently, these licenses must be renewed every year. This legislation will make their licenses good for three years. Presently, licenses lack portability. The server that holds more than one job has to get a separate license for each place they work. They also have to go through the process of getting another license each time they change jobs. This legislation proposes to make licenses portable. One person, one license and it can be used to work at any establishment where alcoholic beverages are served or sold. Finally, the compliance and enforcement are not only cornerstones of successfully regulating the service and sales of alcoholic beverages but also key in efforts of ensuring a safer and more livable community. Upon development and implementation of the server/seller curriculum, and putting in the hands of a non-profit or government training entity such as those identified in this bill, ABC will be free of a purely administrative and bureaucratic task and become

better positioned to strengthen and fulfill its compliance and enforcement mission to the benefit of employees, employers, customers and the community at-large. Thank you very much, Mister Chair.

Chairman San Nicolas: Thank you, Senator Rodriguez. Miss Perez.

Ms. Lucia Perez: Good evening, Mister Chair, and Senators. I am serving as an appointed member of the Board. I do have history with the Board. I served as a former member. I took a couple of weeks off to rethink and regroup, and decide what I wanted to do and if I wanted to continue. With that decision, I'm going to continue on. One of the items we have been working on, with the previous Board and current Board and with the Senator's office and DYA and other members, is this bill. The Board members believe that this is a good bill. It also allows flexibility for our servers who will receive so, instead of becoming before the Board or before the Compliance Branch every year and having their license specific to one location, they can have it portable which gives them more opportunities to go out there and be a productive member of the community and also provide feedback to the community. There are some other items that we are looking into as already stipulated by the written testimony by the Director in terms of the number of days for implementation. We are asking that we take a look at that because it probably will take a little more than six months and, although you gave the administrator and the Board time to go through the curriculum, because of the number of the employees that are currently there. If we can complete that, then we'll be good but I would not want to say that we can stick to the timeline of the five months because when we roll out this project, I'd like to ensure that it's rolled out correctly and we give all existing and new servers the opportunity to get what is needed to come into compliance with this. From the Board members' perspective, this is a good bill. It's something that is long-awaited. It will help emphasize and help enforcement rules because then we not only leave the enforcement and compliance to just the employees of the Department of Revenue and Taxation but also all the individual employees, mangers, waitresses, servers out there. So, when you yourselves as parents, patrons or customers of these establishments go out there, or when your children who are under 21 go out to these sites, you will be more comfortable knowing that you've got servers who have been trained to spot and to know what to do and how to handle situations where alcohol is present. So, we believe this is a good bill. We would like to ask that you take a look at the implementation time so that it can be done properly but we support it.

**Chairman San Nicolas**: Thank you very much, Miss Perez. Officer Ignacio, I noticed that you check marked written testimony. Are you going to be reading that testimony for the record or do you have separate oral testimony?

Mr. Steve Ignacio: That is not necessary, sir.

Chairman San Nicolas: We will just go ahead and accept the written testimony. General Tydingco.

**Mr. Phil Tydingco**: Good evening. As you may or may not know, our office has been working with Senator Rodriguez on reviewing this bill. We support this bill. Any proactive and preventive measure is always helpful. As you may know, among our large categories and groups of cases the Office of the Attorney General has in the prosecutorial division, besides family violence, DUI is a big case group that we have. If I may share a little, I think that bills like this, which are proactive and provide the educational activities so that servers and all folks who sell alcohol, and I am especially pleased that we have identified cashiers; it's not simply the bars and restaurants. It's important that these stores and smaller establishments have that training too because, some of our evidence shows that, a great deal of those sales to folks that are clearly inebriated... for example, I have a case that I'm probably going to start in July, a homicide case in which the individual, who was in DUI court that morning, went out and got inebriated, bought the beer and killed a servicewoman, a motorcyclist going down the wrong side of the road in broad daylight. I think bills like this can have an impact and prevent, especially if we reach out to the stores where there are certain segments of our community who aren't patrons of, or not necessarily part of the working class, middle class or people of a higher social economic status, to go to those establishments and buy alcohol. A great deal of that is done in those stores and establishments and this bill reaches those people. I think it is really important so I commend the Senators who are authors of this and supporters of this and we urge you to pass this bill.

**Chairman San Nicolas**: Thank you, General. You three are good to go unless there are any questions from any members of the panel; any members for these three? No. Thank you all very much. Audrey and Rebecca, are you two ladies ready to go? If I may ask that you attempt to keep this presentation within the five minute consideration timeline, please. Thank you.

**Ms. Rebecca Respicio**: I am a program coordinator with the Department of Youth Affairs and also a EUDL coordinator, which is the Enforcing Underage Drinking Laws grant. Additionally, I am also an RBS trainer.

**Ms. Audrey Topasna**: I am the Underage Drinking Prevention coordinator with the Guam Behavioral Health and Wellness Center.

#### (Ms. Respicio and Ms. Topasna make a Power Point presentation)

Ms. Respicio: We are here for obvious reasons. We work in the field of prevention and we have been working on establishing an RBS campaign here locally. Everyone knows the data and why there is a problem on our island, and Senator Rodriguez read the need for this kind of law and mandate. We fully support this RBS campaign because we feel there is an important responsibility that businesses do have. Businesses have a role in their community beyond providing goods and services to their customers. They have a responsibility to enforce laws here on island just like us as citizens. What we did was, in an effort to bring awareness to the community about the importance of an RBS training on Guam, we have a EUDL grant which enforces underage drinking laws locally and, in an Office of Juvenile Justice and Delinquency (OJJD) report, one of the high priority strategies was to educate merchants on the techniques and responsibilities. The effectiveness of that was that there is an effect in itself but that we recognize that there must be other efforts within our community that will tie into that which is why compliance checks are very important. That is something that we do work on. One thing that we do bring to the table is where it came from and as much as what we're doing. The U.S. Department of Justice has an office of OJJD Prevention which did create an Underage Drinking Enforcement Training Center. Locally, we have seven people who are trained by the Pacific Institute for Research and Evaluation (PIRE). We have only had a couple of trainings and we'll talk about the effectiveness of the trainings and how the participants felt. We had little to zero resistance from them, seeing how important this is for our community. Everyone who is trained does get certified by the DOJ because it is endorsed and recognized and that's important.

**Ms. Topasna**: I'm going to share with you a little about the two trainings we conducted on Guam. We held one in April and one in May. This is a glimpse of the different materials that each participant and establishment receives when they attend the trainings. They receive a bar sign, a door sign, a pin and also this packet that we have here. Out of the two trainings we held, there were 36 participants were trained and certified in RBS. The 36 represented 16 different establishments. Whenever we conduct training, we collect feedback from the trainees. That helps us identify areas to improve for success and sustainability. We wanted to share with you the highlights as to why the training is needed and wanted by these sellers. We asked our trainees if the materials presented would be useful to their daily situations at work. 64% strongly agreed, 36% agreed; slightly agreed and slightly disagreed were 0%. It was all positive. We asked if they would recommend the training to anyone. 92% said they strongly agreed that they would recommend the training to anyone. 92% said they of this training. 81% said that they were very satisfied and 19% said that they were satisfied. This indicates a want and a

need for this alcohol server/seller intervention training. You are hearing straight from the individuals that will have to go and obtain the alcohol license. We wanted to point out that Guam is not the first, and definitely not the last, to mandate alcohol server/seller intervention. In the U.S., over 35% of the states already mandate this training. You will see that the blue states already mandate it. The ones in purple are mandated and have volunteer trainings. The ones in pink have volunteer trainings. Overall, 73% of the states are implementing these alcohol server/seller trainings. This information is provided by the Alcohol Policy System. It is a project of the National Institute on Alcohol Abuse and Alcoholism. To reduce alcohol abuse and underage drinking requires a multi-strategic approach such as implementing individual and environmental level interventions. Individual would be school base or family based programs. Environmental level interventions include raising the price of alcohol, increasing the minimum drinking age and including alcohol server/seller intervention. Our island has dealt with far too many alcohol related motor vehicle crashes, family violence, cancer and suicide. We must continue to improve on Guam's environmental intervention and the passage of Bill 118 would be another significant environmental impact towards having a healthier and safer community.

**Ms. Respicio**: We just wanted to let you know of the other stakeholders: DYA; the PEACE Office from GBHWC; Sanctuary, Inc.; the Office of Public Safety at DPW; community volunteers, the NCD Consortium at DPHSS. We are in full support of this. It is something we had envisioned and hoped for. This mandate is going to help us educate the community and we, as government entities, also want to provide our support with that. We are open to sitting down and discussing how we can support and launch when this gets into law. We wanted to show you a commercial that has been playing about our RBS campaign. Additionally, it is a result or spin-off of our One Nation Alcohol-free campaign. Although we are in the field of prevention and recognize the need to promote an alcohol-free lifestyle, we also recognize the need to enforce the laws created to help keep our island safe. Once again, we support this and thank you for steeping up and being brave enough to try and make changes for our community.

#### (a short video is shown)

**Chairman San Nicolas**: Thank you very much for making the time. Please provide us a copy. We appreciate you expediting it. We want to give it our full consideration. Senator Aguon.

**Senator Frank Aguon, Jr.**: Thank you, Mister Chairman. I know that you glanced through it initially and it was part of your video clip but 17.7% of adults are binge drinkers and 22.8% of teenagers under 18 have access to alcohol. Despite the excellent presentation and the support for this legislation, that says why we need to move forward with legislation such as this. When 22.8% of individuals under 18 can access alcohol, then there's a challenge out there and we need to address it.

#### Chairman San Nicolas: Vice-Speaker Cruz.

**Vice Speaker Benjamin Cruz**: I send a FOIA request for federal grants from DYA, and I noted that you have a couple hundred thousand dollars left in EUDL funds and your grant is going to expire...

Ms. Respicio: We have an extension.

**Vice Speaker Cruz**: I just wanted to make sure that you had a plan to spend that money because I don't want that money being returned to the federal government.

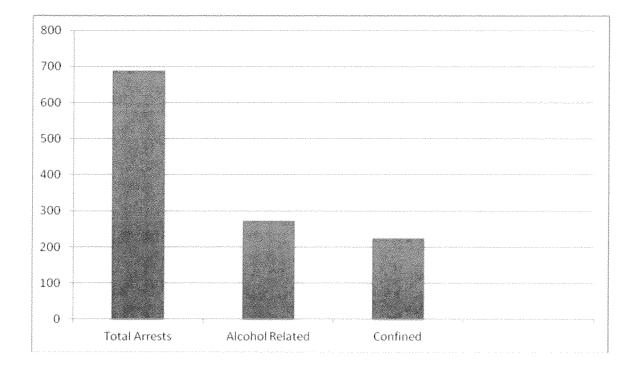
Chairman San Nicolas: Thank you. Senator Rodriguez.

**Senator Rodriguez**: I just want to thank you for coming down and doing what you're doing. Even without this measure, you are already starting it. By mandating it, it will expand it and it does what it is intended to do.

**Chairman San Nicolas**: Thank you very much and thank you to all who came to testify on Bill 118. The hearing has now concluded.

# **III. FINDINGS AND RECOMMENDATIONS**

The Committee finds that From January 1<sup>st</sup> through March 31<sup>st</sup> of this year 39% of all arrests by the Guam Police Department were alcohol related. Of all persons charged with alcohol related offenses at the time of their arrest 82% had to be confined.



#### Arrests by GPD Jan 01 through Mar 31, 2013

Total Arrests:685Total Arrests with Alcohol Related Charges:268Total Number of Those Arrestees Confined:220

The Committee further finds that varied constituencies throughout our community have acted individually and together to address alcohol linked problems.

- Lawmakers raised the drinking age to 21 and reduced hours of operations for bars on weekends;
- **Regulators** enforcement actions such as Operation Dragon have highlighted the need to follow alcohol related laws;

- Law Enforcement driver check points and DUI messaging campaigns;
- **The Judiciary** stricter sentencing, increased fines, license revocations, DUI court, and mandatory treatment programs;
- Schools classroom discussion and lesson units at all levels: elementary, middle, high school, and college;
- Public Health Officials –awareness campaigns;
- Government, Faith Based and Secular Community Organizations Working Together public awareness campaigns, counseling, programs that specifically target youth, have been aided by the Non Communicable Disease Task Force, and other community partners;
- **Private Sector** –messages about responsibility in advertising by the adult beverage industry; access to confidential evaluation and counseling through Employee Assistance Programs and insurance covered treatment options offered by employers across all industries

While all of these efforts represent a positive step forward, testimony provided at the public hearing indicates that the missing component in these efforts is standardized training for the men and women who serve and sell alcoholic beverages at bars, clubs, restaurants, supermarkets, and convenience stores.

The Committee finds that the transactions these employees conduct across a bar, at a restaurant table, or store checkout line appear simple and ordinary. But the reality is there is much more to what they do than just take an order or put items in a grocery bag and hand back some change.

Guam law requires that each of these workers be responsible for knowing the difference between real and fraudulent Identification. The law also says they are responsible for saying "no" to individuals who may already be intoxicated but want to drink more.

The transactions these store clerks, bartenders and table servers conduct can have extraordinary, unintended, and even tragic consequences at the point sale and beyond. These workers are being asked to fill a vitally important role in keeping our island safe and livable.

As such, Guam law has given these workers the power to sell or deny the sale of alcoholic beverages; allow or deny entry to establishments that serve alcoholic beverages. These workers are at ground zero, the place where efforts to combat alcohol linked criminal and social problems should begin. The workers who serve and sell alcoholic beverages must be fully included in the existing community wide effort to combat alcohol-related crime, social, and health problems. This can be achieved by requiring that all people who serve or sell alcoholic beverages and who manage or supervise those servers and sellers successfully complete an approved responsible server/seller training course.

The Committee finds that server training has been demonstrated to change the attitudes of those serving or selling alcohol, making participants less likely to sell to someone who is underage or has already consumed too much. Responsible server/seller training is a proactive vs. reactive or punitive approach to addressing alcohol related problems.

Today it is not necessary to complete an approved training course to serve or sell or alcoholic beverages in Guam, nor is it necessary to complete a training course to supervise or manage those employees. The measure as proposed will also allow trained ABC servers increased portability of their license beyond any single location. The measure will also extend the term of licenses from 1 to 3 years.

Based on the Public Hearing held for the measure, consultation with various community stakeholders and experts; the Committee has included the following revisions in Substitute Bill 118-32(COR):

- The measure has been revised to permit the operation of a qualified server training program by a government entity. (page 4, line 11)
- Community stakeholders must now be consulted in the drafting of regulations pursuant to this measure. (page 4, line 16-18)
- Licensure fees collected beyond the cost of the training program must be deposited to the Alcoholic Beverage Compliance Fees and Fines Fund. These funds *must* be used to promote the compliance and enforcement of liquor laws. (page 5, line 7-12)

The Committee on Aviation, Ground Transportation, Regulatory Concerns and Future Generations hereby reports out Bill No. 118-32(COR), as substituted by the Committee on Aviation, Ground Transportation, Regulatory Concerns and Future Generations, with the recommendation <u>To REPORT OWLY</u>.

## I MINA'TRENTAI DOS NA LIHESLATURAN GUÅHAN 2013 (FIRST) Regular Session

Bill No. 1/8-32 (cor)

**Introduced by:** 

D. G. Rodriguez, Jr. B.J.F. Cruz V. Anthony Ada Michael F.Q. San Nicolas J.T. Won Pat, Ed.D Rory J. Respiciou Brant McCredie Thomas Morrison Tina Muna-Barnes

AN ACT RELATIVE TO ALCOHOL BEVERAGE CONTROL, TO BE KNOWN AS "THE RESPONSIBLE ALCOHOL SALES AND SERVICE ACT", BY ADDING NEW §§ 3123, 3124 AND 3125 TO ARTICLE 1, CHAPTER 3, 11 GCA; TO AMEND § 3207, AND ADD §§ 3212.1, 3230, 3231, AND 3232 TO ARTICLE 2, CHAPTER 3, 11 GCA; TO AMEND 11 GCA §3613; AND, ADD A NEW (h) TO §2105, ADD A NEW (c) TO § 2106, ADD A NEW (c) TO §2107, AND ADD A NEW §2111, ALL OF 3 GAR CHAPTER 2 [ALCOHOLIC BEVERAGE CONTROL BOARD].

#### 1

# **BE IT ENACTED BY THE PEOPLE OF GUAM:**

Section 1. Legislative findings and intent. *I Mina'Trentai DOS Na Liheslaturan Guåhan* finds hundreds of bars, clubs, stores and restaurants across the island engage in the sale and service of alcoholic beverages thousands of times a day. For the individuals and corporations that hold these licenses the sale of alcoholic beverages can be a very lucrative business. These license holders also play a key role in the hospitality and retailing sectors of Guam's economy, providing jobs for our people and tax revenue for the government.

9 The privilege to sell alcoholic beverages also comes with certain 10 responsibilities, including adherence to the rules and regulations the government 11 enacts to protect the health and safety of all who live and visit here. *I Mina'Trentai DOS Na Liheslaturan Guåhan* also finds that there is abundant statistical and anecdotal evidence pointing to unacceptably high levels of criminal and societal problems that can be traced to the irresponsible sale, service, and consumption of alcoholic beverages.

This evidence includes arrest data provided by GPD and the published results of unannounced and undercover enforcement actions conducted by ABC that shows there are many employees, both those who are licensed by ABC and those who are not ABC licensed, who routinely ignore, willfully violate, or fail to understand their legal responsibilities when serving or selling alcoholic beverages.

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High on the list of complaints are service to minors, after hour sales, and sales to intoxicated individuals at both on-premises and off-premises licensed establishments.

*I Mina'Trentai DOS Na Liheslaturan Guåhan* finds further that there is growing public impatience with people who consume alcoholic beverages outside off-premise licensed stores and other public places where the consumption of alcoholic beverages is prohibited at all hours of the night and day.

*I Mina'Trentai DOS Na Liheslaturan Guåhan* finds that there is also great public concern about persistent problems such as drunk drivers who injure and/or kill people on our roadways; intoxicated individuals involved in assaults, fights, stabbings, shootings or other crimes, and underage drinking; including instances where these problems can be traced back to the irresponsible sale and service of alcoholic beverages.

There have been many efforts to combat these problems from both the public and private sectors of the community, but yet these problems still negatively impact the quality of life for those who live here and tarnish our island's reputation in the eyes of Guam's visitors.

*I Mina'Trentai DOS Na Liheslaturan Guåhan* finds that generally these alcohol enforcement programs overlook the importance of the proper education

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and training of point of sale employees, both servers and sellers, their supervisors
 and managers.

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It is the intent of *I Mina'Trentai DOS Na Liheslaturan Guåhan* to provide for the proper education and training of point of sale employees, both servers and sellers, their supervisors and managers, by joining the dozens of other U.S. states that mandate responsible server/seller training for workers who serve or sell alcoholic beverages, their supervisors, and managers.

It is the intent of *I Mina'Trentai DOS Na Liheslaturan Guåhan* to ensure that those licensed to serve or sell alcoholic beverages to the public, in particular holders of Class 4, 5, 6, 7, 9, 10, 11, and 12 ABC Licenses, have in their employ servers and sellers appropriately trained to comply with Guam's ABC laws.

Under the law employees who serve or sell alcoholic beverages have legally mandated responsibilities including but not limited to preventing the sale of alcoholic beverages to persons under 21 years of age, recognizing falsified identification documents, denial of service to intoxicated or unruly person, and the enforcement of hours of service and sale of alcoholic beverages.

The legislature recognizes that these employees are being asked to fill a vitally important role in keeping our island safe and the transactions they conduct can have extraordinary and even tragic consequences at the point of sale and beyond, yet there is no standardized classroom training to help them understand and execute their responsibilities or keep them mindful of the key role they play in the upholding of community standards related to the service and sale of alcoholic beverages.

It is the intent of *I Mina'Trentai DOS Na Liheslaturan Guåhan* for standardized server/seller classroom training to be widely available and easily accessible for employees who serve or sell alcoholic beverages workers and for that training to be a requirement for obtaining an ABC Alcohol Employee License.

Section 2. A new §3123, §3124, and §3125 are added to Article 1 of
 Chapter 3, Title 11, Guam Code Annotated, to read:

3 "§ 3123. Alcohol Employee. Alcohol employee means a person employed 4 by any on-sale or off-sale Licensee who sells or serves alcoholic beverages, or who 5 supervises others who sell or serve alcoholic beverages. This includes, but is not 6 limited to, bartenders, waitresses, waiters, hostesses, hosts, cashiers, check out 7 clerks, supervisors, and managers of holders of Class 4, 5, 6, 7, 9, 10, 11, and 12 8 ABC Licenses.

§ 3124. Alcohol Server/Seller Training Program. Alcohol server/seller training program means a classroom only educational program offered by a nonprofit organization, community college, or university that has been approved by the Administrator under regulations promulgated by the Board. Such regulations *shall* include, but not be limited to, the content of the program, certification of instructors of the program, and testing and methods of presentation, and as further provided pursuant to 3 GAR Chapter 2 [Alcoholic Beverage Control Board].

- §3124.1. Delegation of Authority. (a) The Administrator, with the
   approval of the Board, may enter into an agreement with a non-profit organization,
   community college, or university with an authorized program to conduct an
   Alcohol Server/Seller Training program on behalf of the Board, to include:
- 20 (1) Verification of qualifications;
- (2) The collection of fees for application and licensure, as provided
   pursuant to §3207 of this Chapter, on behalf of the Board,
   notwithstanding 3 GAR §2101(d), which shall not be applicable in
   this instance;
- (3) The issuance of a Guam Alcohol Beverage Control Alcohol
   Employee License on behalf of the Board; and

(4) The accounting and remittance of requisite fees collected for
 application and licensure, the full sum of which shall be paid to the
 Treasurer of Guam; the act of which shall be deemed compliant
 with 11 GCA, Chapter 3, §3515.

5 (b) Prior to remitting the fees collected pursuant to this Section to the 6 Treasurer, the authorized non-profit organization, community college, or university 7 *shall* deduct and retain from the fees collected the cost for tuition for the Alcohol 8 Server/Seller Training program.

§ 3125. Manager. All class 4 on-sale establishments shall have a duly
 registered manager or assistant manager on the premises, in the absence of the
 licensee, and during the hours from 7:00 p.m. daily until closing.

Section 3: §3207 of Article 2, Chapter 3, Title 12, Guam Code Annotated, is
 amended, to read:

14 "§ 3207. Licenses: Classes and Fees. The Board is authorized to issue the
 15 following classes and kinds of licenses at the following fees:

16	Class 1. Manufacturer's license	\$100.00 per year
17	Class 2. Agent's license	\$250.00 per year
18	Class 3. Wholesale dealer's license	\$1,000.00 per year
19	Class 4. Retail dealer's on-sale license:	
20	Beer	\$300.00 per year
21	General	\$1,000.00 per year
22	Wine	\$300.00 per year
23	Manager/Assistant Manager	\$60.00 per 3 years
24		\$25.00 per year
25	Alcohol Employee Waiter/Waitress	\$60.00 per 3 years
26		\$15.00 per year

1	Class 5. Retail dealer's off-sale license:	
2	Beer	\$200.00 per year
3	General	\$1,000.00 per year
4	Alcohol Employee	\$60.00 per 3 years
5		<b>**</b> *
6	Class 6. Special Alcoholic license	\$25.00 per year
7	Alcohol Employee	\$60.00 per 3 years
8	Class 7. Temporary beer license	\$ 20.00 per day
9	but not to exceed	\$100.00 per event
10	Alcohol Employee	\$60.00 per 3 years
11 12	Class 8. Public warehousemen's license	\$50.00 per year
13	Class 9. Club license	\$500.00 per year
14	Alcohol Employee	\$60.00 per 3 years
15	Class 10. Temporary general license \$ 50.00 per	day, but not to exceed
16	\$100.00 per event	
17	Alcohol Employee	\$60.00 per 3 years
18	Class 11. Microbrewery-pub restaurant license \$1,00	00.00 per year
19	Alcohol Employee	\$60.00 per 3 years
20	Class 12. Mobile License:	
21	(a) Limousine Service – per vehicle	\$ 750.00 per year
22	(b) Charter Vehicle (Vans) – per vehicle	
23	Up to ten (10) passengers	\$500.00 per year
24	(c) Charter Vehicle (Vans) - per vehicle	
25	More than ten (10) passengers	\$750.00 per year
26	(d) Buses -per vehicle	\$1,000.00 per year
27	(e) Alcohol Employee	\$60.00 per 3 years
28	Class 13. Alcohol Server/Seller training program	\$200.00 per year

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- Section 4: A new §3212.1 is added to Article 2, Chapter 3, Title 12, Guam I Code Annotated, is hereby amended, to read: 2
- "§ 3212.1. Alcohol Employee License, Term and Portability. Except as 3 otherwise provided for a violation as provided pursuant to this Chapter, the License 4 for an Alcohol Employee shall: 5 (a) be valid for a term of three (3) years; 6 (b) be portable to additional or other premises; 7 (c) be on the employee at all times when performing any duties 8 involving the service or sale of any class of alcohol, as provided 9 for and defined pursuant to Article 1 of this Chapter; and 10 (d) include the declaration that the holder has completed ABC Board 11 approved "Alcohol Server/Seller Training Program. 12 (e) be issued by the Alcohol Server/Seller training program." 13 Section 5: A new §3230, §3231, and §3232 are added to Article 2 of 14
- Chapter 3, Title 12, Guam Code Annotated, to read: 15
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#### "§ 3230. Alcohol Employee License.

(a) An Alcohol Employee License shall be required for all persons who sell 17 or serve, supervise or manage those who sell or serve alcoholic beverages for 18 holders of Class 4, 5, 6, 7, 9, 10, 11, and 12 ABC Licenses. 19

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(b) A Temporary Alcohol Employee License may be issued to an employee who has registered for an ABC Board approved Alcohol Server/Seller Training 21 Program. The Temporary Alcohol Employee License expires in 30 days or when 22 the Alcohol Employee License is issued, whichever is sooner. 23

(1) A dated receipt from an ABC Board approved Alcohol 24 Server/Seller Training Program indicating enrollment and the scheduled 25 date, time, and location of training shall be considered a Temporary Alcohol 26

Employee License. The receipt shall also state, "Temporary Alcohol Employee License", and shall contain the notation that the temporary license *shall* expire within thirty (30) days of issuance.

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§ 3231. Manager License. An Alcohol Beverage Control Manager License 4 shall be required for all managers or assistant managers. Every manager must 5 provide the Board and their employer with proof that the manager has successfully 6 completed an ABC Board approved Alcohol Server/Seller Training Program. 7 Proof for the employer shall be satisfied by the possession of a valid Alcohol 8 Beverage Control Manager or Assistant Manager License which shall include the 9 declaration, that the holder has completed and ABC Board approved "Alcohol 10 Server/Seller Training Program. 11

§ 3232. Alcohol Server/Seller Training Program License. An Alcohol Server/Seller training program license shall be issued to alcohol server/seller training programs that have been approved by the administrator."

SECTION 6: Section 3613 of Article 6, Chapter 3, Title 11, Guam Code
 Annotated, is amended, to read:

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"§ 3613. Same: Hearing.

(a) The Board, upon receipt of the report required in §3612, shall, as soonas practicable, hold a hearing on such report.

(b) The Board shall impose a penalty on a licensee found guilty of violating any of the provisions of this Chapter amounting to One Thousand Five Hundred Dollars (\$1,500.00) for the first offense; Two Thousand Five Hundred Dollars (\$2,500.00) for the second offense; and Three Thousand Five Hundred Dollars (\$3,500.00) for the third offense. For the fourth offense, the Board may impose a penalty of up to Ten Thousand Dollars (\$10,000.00), but not less than

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Five Thousand Dollars (\$5,000.00), and a forty-eight (48) hour suspension for every subsequent offense. Contingent on the severity of any violation, the Board may use its discretion and suspend or revoke the license of any licensee found guilty of violating any of the provisions of this Chapter.

5 (c) In addition to the aforementioned fines in Subsection (b) of this 6 Section, the Board shall suspend, for a period of not less than thirty (30) days and 7 no more than ninety (90) days, the license of any licensee found guilty of violating 8 §3413 of this Chapter as a second offense. The Board shall revoke the license of 9 any licensee found guilty of violating §3413 of this Chapter as a third offense.

(d) The Board shall suspend an Alcohol Employee's license for Seven (7)
 days for a first offense of §3212.1(c) and (d), and/or §3230, of this Chapter; A
 suspension of an Alcohol Employee's license for Fourteen (14) days and a One
 Hundred Fifty Dollar (\$150) fine for a second offense, and revocation of the
 employee's Alcohol Employee Server/Seller Training Card for the third offense."

# Section 7: A new Subsection (h) is added to §2105 of Chapter 2, Title 3, Guam Administrative Rules and Regulations, to read:

"(h) Class 4, class 5, class 6, class 7, class 9, class 10, class 11 and class 12
ABC Licensees shall not hire or employ any employee that does not have a valid
Alcohol Employee License from an approved server/seller training program twelve
(12) months from the effective date of this act, provided, however:

(1) a current, ABC License shall continue to be valid until expiration,
but licensee shall be required to have taken the Alcohol Server/Seller
training program prior to license renewal. Further, the unexpired license *shall not* be portable, as provided pursuant to §3212.1(b) which only applies
to a licensee who has passed an Alcohol Server/Seller training program."

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Section 8: A new subsection (c) is added to §2107 of Chapter 2, Title 3,
 Guam Administrative Rules and Regulations, to read:

<sup>3</sup> "(c) The Administrator shall approve classroom alcohol server/seller <sup>4</sup> training programs that meet the regulations duly promulgated by the Board <sup>5</sup> pursuant to 11 GCA §3124."

Section 9: A new Section §2111 is added to Chapter 2, Title 3, Guam
Administrative Rules and Regulations, to read:

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# "§ 2111. Responsibilities of Alcohol Server/Seller Training Programs.

9 (a) No alcohol server/seller training program will offer or conduct alcohol
 10 server/seller training classes until they have received written approval from the
 11 administrator.

(b) All alcohol server/seller training programs shall apply for approval from
 the Administrator by submitting their complete program, including instructor
 manuals, student manuals, videos and any other materials that are used for the
 program.

(c) The curriculum of an alcohol server/seller training program *shall* include, at a minimum, all of the following topics:

18 (1) The ethics and responsibilities of serving alcoholic beverages;

19 (2) Basic alcohol content among different types of drinks containing
 20 alcoholic liquor;

(3) The clinical effects of alcohol including the identification of
 progressive stages of intoxication and the visible signs associated with
 each stage;

(4) Variables associated with visible intoxication, including the rate of
 drinking, tolerance, weight, food consumption, gender, and use of
 other drugs;

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Second	(5)	Procedures for monitoring consumption, personal skills to prevent
2		intoxication and intervention procedures;
3	(6)	Acceptable forms of personal identification, techniques for
4		determining the validity of identification, and procedures for dealing
5		with fraudulent identification;
6	(8)	Personal skills to assess the need to ask for identification based on
7		appearance and company policy;
8	(9)	The identification of potential third-party sales and furnishing of
9		alcoholic liquor to minors by persons 21 years of age or over;
10	(10)	The understanding of possible legal, civil, and administrative
11		consequences of violations of this act, the rules of the Board, and
12		other pertinent laws;
13	(11)	How to identify at place of employment spirits and other alcoholic
14		beverage products not intended for resale or commercial distribution
15		outside of military bases, and procedures for reporting these violations
16		to the Board;
17	(12)	The understanding of Guam laws pertaining to minors attempting to
18		purchase, minors in possession, and third-party sales or furnishing of
19		alcoholic liquor by adults to minors;
20	(13)	Knowledge of the legal hours of alcohol service and occupancy;
21	(14)	Identification of signs of prohibited activities, such as gambling,
22		solicitation for prostitution and drug sales; and
23	(15)	Any other pertinent laws as determined by the Board or
24		Administrator;
25	(d)	The alcohol server/seller training program shall administer a

(d) The alcohol server/seller training program shall administer a
 comprehensive examination to participants of the program, including individuals
 seeking certification as instructors within the program. The examination shall
 thoroughly test the individual's knowledge and competency in the curriculum

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topics required by the board. The minimum passing grade for the examination
participants in the program shall be not less than 75%. For instructor certification
the passing grade shall be not less than 85% for instructors. All tests shall be
approved by the Administrator.

(e) The alcohol server/seller training program shall issue an Alcohol
Employee License to the student who successfully completes the program.
Certification shall be valid for term of three (3) years.

8 (f) The alcohol server/seller training program shall issue an instructor 9 certification card to all instructors that have been certified by the program that shall 10 be valid for a term of three (3) years and shall notify the board or administrator. 11 The alcohol server/seller training program shall immediately notify the Board or 12 Administrator when an instructor is no longer certified by the program.

(g) The alcohol server/seller training program shall make available all
records of students, instructors and certifications to the Board and the
Administrator.

(h) The Administrator may rescind approval of any server/seller training
 program that fails to continue to meet these requirements or fails to pay the yearly
 fee set by the Board.

(i) The administrator shall not approve online alcohol server/seller trainingprograms."

Section 10: Effective Date. This act *shall* become immediately effective
 upon enactment.

23 Section 11. Phased implementation for enforcement. (a) The Board *shall* 24 promulgate the requisite rules and regulations mandated pursuant to 11GCA §3124

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1 within one-hundred-fifty (150) calendar days of the effective date of this Act.

2 (b) With the approval by the Administrator of a classroom alcohol 3 server/seller training program, and the actual commencement of program 4 availability, *then*, the compliance, enforcement and penalty provisions of this Act 5 *shall* become effective within twelve (12) calendar months of the effective date of 6 this act.

7 Section 12: Severability. *If* any provision of this Act or its application to 8 any person or circumstance is found to be invalid or contrary to law, such 9 invalidity shall *not* affect other provisions or applications of this Law which can be 10 given effect without the invalid provisions or applications, and to this end the 11 provisions of this Law are severable.

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# *I MINA'TRENTAI DOS NA LIHESLATURAN GUÅHAN* 2013 (FIRST) Regular Session

Bill No. 118-32 (COR) As substituted by the Committee on Aviation, Ground Transportation, Regulatory Concerns and Future Generations

**Introduced by:** 

D. G. Rodriguez, Jr. B.J.F. Cruz V. Anthony Ada Michael F.Q. San Nicolas J.T. Won Pat, Ed.D Rory J. Respicio Brant McCredie Thomas Morrison Tina Muna-Barnes

# AN ACT RELATIVE TO ALCOHOL BEVERAGE CONTROL, TO BE KNOWN AS "THE RESPONSIBLE ALCOHOL SALES AND SERVICE ACT", BY ADDING NEW §§ 3123, 3124 AND 3125 TO ARTICLE 1, CHAPTER 3, 11 GCA; TO AMEND § 3207, AND ADD §§ 3212.1, 3230, 3231, AND 3232 TO ARTICLE 2, CHAPTER 3, 11 GCA; TO AMEND 11 GCA §3613; AND, ADD A NEW (h) TO §2105, ADD A NEW (c) TO § 2106, ADD A NEW (c) TO §2107, AND ADD A NEW §2111, ALL OF 3 GAR CHAPTER 2 [ALCOHOLIC BEVERAGE CONTROL BOARD].

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# BE IT ENACTED BY THE PEOPLE OF GUAM:

2 Section 1. Legislative findings and intent. *I Mina'Trentai Dos Na* 3 *Liheslaturan Guåhan* finds hundreds of bars, clubs, stores and restaurants across 4 the island engage in the sale and service of alcoholic beverages thousands of times 5 a day. For the individuals and corporations that hold these licenses the sale of 6 alcoholic beverages can be a very lucrative business. These license holders also 7 play a key role in the hospitality and retailing sectors of Guam's economy, 8 providing jobs for our people and tax revenue for the government.

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The privilege to sell alcoholic beverages also comes with certain

responsibilities, including adherence to the rules and regulations the government
enacts to protect the health and safety of all who live and visit here.

*I Mina'Trentai Dos Na Liheslaturan Guåhan* also finds that there is abundant statistical and anecdotal evidence pointing to unacceptably high levels of criminal and societal problems that can be traced to the irresponsible sale, service, and consumption of alcoholic beverages.

This evidence includes arrest data provided by GPD and the published results of unannounced and undercover enforcement actions conducted by ABC that shows there are many employees, both those who are licensed by ABC and those who are not ABC licensed, who routinely ignore, willfully violate, or fail to understand their legal responsibilities when serving or selling alcoholic beverages.

High on the list of complaints are service to minors, after hour sales, and sales to intoxicated individuals at both on-premises and off-premises licensed establishments.

*I Mina'Trentai Dos Na Liheslaturan Guåhan* finds further that there is growing public impatience with people who consume alcoholic beverages outside off-premise licensed stores and other public places where the consumption of alcoholic beverages is prohibited at all hours of the night and day.

*I Mina'Trentai Dos Na Liheslaturan Guåhan* finds that there is also great public concern about persistent problems such as drunk drivers who injure and/or kill people on our roadways; intoxicated individuals involved in assaults, fights, stabbings, shootings or other crimes, and underage drinking; including instances where these problems can be traced back to the irresponsible sale and service of alcoholic beverages.

There have been many efforts to combat these problems from both the public and private sectors of the community, but yet these problems still negatively impact the quality of life for those who live here and tarnish our island's reputation in the eyes of Guam's visitors.

*I Mina'Trentai Dos Na Liheslaturan Guåhan* finds that generally these alcohol enforcement programs overlook the importance of the proper education and training of point of sale employees, both servers and sellers, their supervisors and managers.

It is the intent of *I Mina Trentai Dos Na Liheslaturan Guåhan* to provide for the proper education and training of point of sale employees, both servers and sellers, their supervisors and managers, by joining the dozens of other U.S. states that mandate responsible server/seller training for workers who serve or sell alcoholic beverages, their supervisors, and managers.

It is the intent of *I Mina 'Trentai Dos Na Liheslaturan Guåhan* to ensure that those licensed to serve or sell alcoholic beverages to the public, in particular holders of Class 4, 5, 6, 7, 9, 10, 11, and 12 ABC Licenses, have in their employ servers and sellers appropriately trained to comply with Guam's ABC laws.

Under the law employees who serve or sell alcoholic beverages have legally mandated responsibilities including but not limited to preventing the sale of alcoholic beverages to persons under 21 years of age, recognizing falsified identification documents, denial of service to intoxicated or unruly person, and the enforcement of hours of service and sale of alcoholic beverages.

The legislature recognizes that these employees are being asked to fill a vitally important role in keeping our island safe and the transactions they conduct can have extraordinary and even tragic consequences at the point of sale and beyond, yet there is no standardized classroom training to help them understand and execute their responsibilities or keep them mindful of the key role they play in the upholding of community standards related to the service and sale of alcoholic beverages.

It is the intent of *I Mina'Trentai Dos Na Liheslaturan Guåhan* for standardized server/seller classroom training to be widely available and easily accessible for employees who serve or sell alcoholic beverages workers and for

that training to be a requirement for obtaining an ABC Alcohol Employee License. 1

Section 2. A new §3123, §3124, and §3125 are added to Article 1 of 2 Chapter 3, Title 11, Guam Code Annotated, to read: 3

"§ 3123. Alcohol Employee. Alcohol employee means a person employed 4 by any on-sale or off-sale Licensee who sells or serves alcoholic beverages, or who 5 supervises others who sell or serve alcoholic beverages. This includes, but is not 6 limited to, bartenders, waitresses, waiters, hostesses, hosts, cashiers, check out 7 clerks, supervisors, and managers of holders of Class 4, 5, 6, 7, 9, 10, 11, and 12 8 ABC Licenses. 9

§ 3124. Alcohol Server/Seller Training Program. Alcohol server/seller 10 training program means a classroom only educational program offered by a non-11 profit organization, entity of the Government of Guam, community college, or 12 university that has been approved by the Administrator under regulations 13 promulgated by the Board. Such regulations shall include, but not be limited to, 14 the content of the program, certification of instructors of the program, and testing 15 and methods of presentation, and as further provided pursuant to 3 GAR Chapter 2 16 [Alcoholic Beverage Control Board]. The Board, in drafting such regulations, 17 shall consult with community stakeholders and shall comply with the 18 Administaritve Ajudication Act. 19

Delegation of Authority. (a) The Administrator, with the **§3124.1**. 20 approval of the Board, may enter into an agreement with a non-profit organization, 21 community college, or university with an authorized program to conduct an 22 Alcohol Server/Seller Training program on behalf of the Board, to include: 23

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(1) Verification of qualifications:

(2) The collection of fees for application and licensure, as provided 25 pursuant to §3207 of this Chapter, on behalf of the Board, 26

- notwithstanding 3 GAR §2101(d), which shall not be applicable in
  this instance;
- 3 (3)The issuance of a Guam Alcohol Beverage Control Alcohol
   4 Employee License on behalf of the Board; and
- (4) The accounting and remittance of requisite fees collected for
  application and licensure, the full sum of which shall be paid to the
  Alcoholic Beverage Compliance Fees and Fines Fund, *must* be
  used to promote the compliance and enforcement of liquor laws;
  the act of which shall be deemed compliant with 11 GCA, Chapter
  3, §3515.

(b) Prior to remitting the fees collected pursuant to this Section to the Alcoholic Beverage Compliance Fees and Fines Fund, the authorized non-profit organization, community college, or university *shall* deduct and retain from the fees collected the cost for tuition for the Alcohol Server/Seller Training program.

§ 3125. Manager. All class 4 on-sale establishments shall have a duly
registered manager or assistant manager on the premises, in the absence of the
licensee, and during the hours from 7:00 p.m. daily until closing.

18 Section 3: §3207 of Article 2, Chapter 3, Title 12, Guam Code Annotated, is
19 amended, to read:

"§ 3207. Licenses: Classes and Fees. The Board is authorized to issue the
following classes and kinds of licenses at the following fees:

22	Class 1. Manufacturer's license	\$100.00 per year
23	Class 2. Agent's license	\$250.00 per year
24	Class 3. Wholesale dealer's license	\$1,000.00 per year
25	Class 4. Retail dealer's on-sale license:	
26	Beer	\$300.00 per year

1	General \$1,000.00 per year
2	Wine \$300.00 per year
3 4	Manager/Assistant Manager\$60.00 per 3 years\$25.00 per year
5 6	Alcohol Employee Waiter/Waitress \$60.00 per 3 years \$15.00 per year
7	Class 5. Retail dealer's off-sale license:
8	Beer \$200.00 per year
9	General \$1,000.00 per year
10 11	Alcohol Employee \$60.00 per 3 years
12	Class 6. Special Alcoholic license \$25.00 per year
13	Alcohol Employee \$60.00 per 3 years
14	Class 7. Temporary beer license \$20.00 per day
15	but not to exceed \$100.00 per event
16	Alcohol Employee \$60.00 per 3 years
17 18	Class 8. Public warehousemen's license \$50.00 per year
19	Class 9. Club license \$500.00 per year
20	Alcohol Employee \$60.00 per 3 years
21	Class 10. Temporary general license \$ 50.00 per day, but not to exceed
22	\$100.00 per event
23	Alcohol Employee \$60.00 per 3 years
24	Class 11. Microbrewery-pub restaurant license \$1,000.00 per year
25	Alcohol Employee \$60.00 per 3 years
26	Class 12. Mobile License:
27	(a) Limousine Service – per vehicle \$ 750.00 per year
28	(b) Charter Vehicle (Vans) – per vehicle
29	Up to ten (10) passengers \$500.00 per year

1	(c) Charter Vehicle (Vans) - per vehicle
2	More than ten (10) passengers \$750.00 per year
3	(d) Buses -per vehicle \$1,000.00 per year
4	(e) <u>Alcohol Employee</u> <u>\$60.00 per 3 years</u>
5	Class 13. Alcohol Server/Seller training program \$200.00 per year
6	Section 4: A new §3212.1 is added to Article 2, Chapter 3, Title 12, Guam
7	Code Annotated, is hereby amended, to read:
8	"§ 3212.1. Alcohol Employee License, Term and Portability. Except as
9	otherwise provided for a violation as provided pursuant to this Chapter, the License
10	for an Alcohol Employee shall:
11	(a) be valid for a term of three (3) years;
12	(b) be portable to additional or other premises;
13	(c) be on the employee at all times when performing any duties
14	involving the service or sale of any class of alcohol, as provided
15	for and defined pursuant to Article 1 of this Chapter; and
16	(d) include the declaration that the holder has completed ABC Board
17	approved "Alcohol Server/Seller Training Program.
18	(e) be issued by the Alcohol Server/Seller training program."
19	Section 5: A new §3230, §3231, and §3232 are added to Article 2 of
20	Chapter 3, Title 12, Guam Code Annotated, to read:

**"§ 3230. Alcohol Employee License.** 

(a) An Alcohol Employee License shall be required for all persons who sell
 or serve, supervise or manage those who sell or serve alcoholic beverages for
 holders of Class 4, 5, 6, 7, 9, 10, 11, and 12 ABC Licenses.

(b) A Temporary Alcohol Employee License may be issued to an employee
who has registered for an ABC Board approved Alcohol Server/Seller Training
Program. The Temporary Alcohol Employee License expires in 30 days or when
the Alcohol Employee License is issued, whichever is sooner.

8 (1) A dated receipt from an ABC Board approved Alcohol 9 Server/Seller Training Program indicating enrollment and the scheduled 10 date, time, and location of training shall be considered a Temporary Alcohol 11 Employee License. The receipt shall also state, "Temporary Alcohol 12 Employee License", and shall contain the notation that the temporary license 13 *shall* expire within thirty (30) days of issuance.

§ 3231. Manager License. An Alcohol Beverage Control Manager License 14 shall be required for all managers or assistant managers. Every manager must 15 provide the Board and their employer with proof that the manager has successfully 16 completed an ABC Board approved Alcohol Server/Seller Training Program. 17 Proof for the employer shall be satisfied by the possession of a valid Alcohol 18 Beverage Control Manager or Assistant Manager License which shall include the 19 declaration, that the holder has completed and ABC Board approved "Alcohol 20 Server/Seller Training Program. 21

§ 3232. Alcohol Server/Seller Training Program License. An Alcohol Server/Seller training program license shall be issued to alcohol server/seller training programs that have been approved by the administrator."

25 **SECTION 6:** Section 3613 of Article 6, Chapter 3, Title 11, Guam Code 26 Annotated, is amended, to read:

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# "§ 3613. Same: Hearing.

(a) The Board, upon receipt of the report required in §3612, shall, as soon
as practicable, hold a hearing on such report.

The Board shall impose a penalty on a licensee found guilty of (b)4 violating any of the provisions of this Chapter amounting to One Thousand Five 5 Hundred Dollars (\$1,500.00) for the first offense; Two Thousand Five Hundred 6 Dollars (\$2,500.00) for the second offense; and Three Thousand Five Hundred 7 Dollars (\$3,500.00) for the third offense. For the fourth offense, the Board may 8 impose a penalty of up to Ten Thousand Dollars (\$10,000.00), but not less than 9 Five Thousand Dollars (\$5,000.00), and a forty-eight (48) hour suspension for 10 every subsequent offense. Contingent on the severity of any violation, the Board 11 may use its discretion and suspend or revoke the license of any licensee found 12 guilty of violating any of the provisions of this Chapter. 13

(c) In addition to the aforementioned fines in Subsection (b) of this Section, the Board shall suspend, for a period of not less than thirty (30) days and no more than ninety (90) days, the license of any licensee found guilty of violating \$3413 of this Chapter as a second offense. The Board shall revoke the license of any licensee found guilty of violating \$3413 of this Chapter as a third offense.

(d) The Board shall suspend an Alcohol Employee's license for Seven (7)
days for a first offense of §3212.1(c) and (d), and/or §3230, of this Chapter; A
suspension of an Alcohol Employee's license for Fourteen (14) days and a One
Hundred Fifty Dollar (\$150) fine for a second offense, and revocation of the
employee's Alcohol Employee Server/Seller Training Card for the third offense."

Section 7: A new Subsection (h) is added to §2105 of Chapter 2, Title 3,
Guam Administrative Rules and Regulations, to read:

- "(h) Class 4, class 5, class 6, class 7, class 9, class 10, class 11 and class 12
  ABC Licensees shall not hire or employ any employee that does not have a valid
  Alcohol Employee License from an approved server/seller training program twelve
  (12) months from the effective date of this act, provided, however:
- (1) a current, ABC License shall continue to be valid until expiration,
  but licensee shall be required to have taken the Alcohol Server/Seller
  training program prior to license renewal. Further, the unexpired license *shall not* be portable, as provided pursuant to §3212.1(b) which only applies
  to a licensee who has passed an Alcohol Server/Seller training program."
- Section 8: A new subsection (c) is added to §2107 of Chapter 2, Title 3,
  Guam Administrative Rules and Regulations, to read:
- 12 "(c) The Administrator shall approve classroom alcohol server/seller 13 training programs that meet the regulations duly promulgated by the Board 14 pursuant to 11 GCA §3124."
- Section 9: A new Section §2111 is added to Chapter 2, Title 3, Guam
  Administrative Rules and Regulations, to read:
- 17

# "§ 2111. Responsibilities of Alcohol Server/Seller Training Programs.

(a) No alcohol server/seller training program will offer or conduct alcohol
 server/seller training classes until they have received written approval from the
 administrator.

(b) All alcohol server/seller training programs shall apply for approval from the Administrator by submitting their complete program, including instructor manuals, student manuals, videos and any other materials that are used for the program.

1 (c) The curriculum of an alcohol server/seller training program *shall* 2 include, at a minimum, all of the following topics:

- 3 (1) The ethics and responsibilities of serving alcoholic beverages;
- 4 (2) Basic alcohol content among different types of drinks containing
  5 alcoholic liquor;
- 6 (3) The clinical effects of alcohol including the identification of 7 progressive stages of intoxication and the visible signs associated with 8 each stage;
- 9 (4) Variables associated with visible intoxication, including the rate of
   10 drinking, tolerance, weight, food consumption, gender, and use of
   11 other drugs;
- 12 (5) Procedures for monitoring consumption, personal skills to prevent
   13 intoxication and intervention procedures;
- 14 (6) Acceptable forms of personal identification, techniques for
   15 determining the validity of identification, and procedures for dealing
   16 with fraudulent identification;
- 17 (8) Personal skills to assess the need to ask for identification based on
  18 appearance and company policy;
- 19(9)The identification of potential third-party sales and furnishing of20alcoholic liquor to minors by persons 21 years of age or over;
- (10) The understanding of possible legal, civil, and administrative
   consequences of violations of this act, the rules of the Board, and
   other pertinent laws;
- (11) How to identify at place of employment spirits and other alcoholic
   beverage products not intended for resale or commercial distribution
   outside of military bases, and procedures for reporting these violations
   to the Board;

- 1 (12) The understanding of Guam laws pertaining to minors attempting to 2 purchase, minors in possession, and third-party sales or furnishing of 3 alcoholic liquor by adults to minors;
- 4 (13) Knowledge of the legal hours of alcohol service and occupancy;
- (14) Identification of signs of prohibited activities, such as gambling,
   solicitation for prostitution and drug sales; and
- 7 (15) Any other pertinent laws as determined by the Board or
  8 Administrator;

(d)The alcohol server/seller training program shall administer a 9 comprehensive examination to participants of the program, including individuals 10 seeking certification as instructors within the program. The examination shall 11 thoroughly test the individual's knowledge and competency in the curriculum 12 topics required by the board. The minimum passing grade for the examination 13 participants in the program shall be not less than 75%. For instructor certification 14 the passing grade shall be not less than 85% for instructors. All tests shall be 15 approved by the Administrator. 16

- (e) The alcohol server/seller training program shall issue an Alcohol
  Employee License to the student who successfully completes the program.
  Certification shall be valid for term of three (3) years.
- (f) The alcohol server/seller training program shall issue an instructor
  certification card to all instructors that have been certified by the program that shall
  be valid for a term of three (3) years and shall notify the board or administrator.
  The alcohol server/seller training program shall immediately notify the Board or
  Administrator when an instructor is no longer certified by the program.

1 (g) The alcohol server/seller training program shall make available all 2 records of students, instructors and certifications to the Board and the 3 Administrator.

(h) The Administrator may rescind approval of any server/seller training
program that fails to continue to meet these requirements or fails to pay the yearly
fee set by the Board.

7 (i) The administrator shall not approve online alcohol server/seller training
8 programs."

9 Section 10: Effective Date. This act *shall* become immediately effective
 10 upon enactment.

Section 11. Phased implementation for enforcement. (a) The Board *shall* promulgate the requisite rules and regulations mandated pursuant to 11GCA §3124
 within one-hundred-fifty (150) calendar days of the effective date of this Act.

(b) With the approval by the Administrator of a classroom alcohol server/seller training program, and the actual commencement of program availability, *then*, the compliance, enforcement and penalty provisions of this Act *shall* become effective within twelve (12) calendar months of the effective date of this act.

19 Section 12: Severability. *If* any provision of this Act or its application to 20 any person or circumstance is found to be invalid or contrary to law, such 21 invalidity shall *not* affect other provisions or applications of this Law which can be 22 given effect without the invalid provisions or applications, and to this end the 23 provisions of this Law are severable.



I Mina'Trentai Dos na Liheslaturan Guåhan

Committee on Aviation, Ground Transportation, Regulatory Concerns, and Future Generations SENATOR MICHAEL F.Q. SAN NICOLAS

# June 13, 2013

**Bill No. 118-32 (COR), introduced by D.G. Rodriguez, Jr., B.J.F. Cruz, V. Anthony Ada, M.F. Q. San Nicolas, J.T. Won Pat, Ed.D., R.J. Respicio, B.T. McCreadie, T.A. Morrison, T.R. Muña-Barnes:** "AN ACT RELATIVE TO ALCOHOL BEVERAGE CONTROL TO BE KNOWN AS "THE RESPONSIBLE ALCOHOL SALES AND SERVICE ACT", BY ADDING NEW §§ 3123, 3124 AND 3125 TO ARTICLE 1, CHAPTER 3, 11 GCA; TO AMEND § 3207, AND ADD §§ 3212.1, 3230, 3231, AND 3232 TO ARTICLE 2, CHAPTER 3, 11 GCA; TO AMEND 11 GCA §3613; AND, ADD A NEW (h) TO §2105, ADD A NEW (c) TO § 2106, ADD A NEW (c) TO §2107, AND ADD A NEW §2111, ALL OF 3 GAR CHAPTER 2 [ALCOHOLIC BEVERAGE CONTROL BOARD]."

J	NAME	AGENCY/	CONTACT NUMBER	ORAL	WRITTEN	IN	NOT IN
	(Please print)	ORGANIZATION		TESTIMONY	TESTIMONY	FAVOR	FAVOR
	Kebucca Respicio	DyA	735-5132	X		X	
	Findrey Topasna	GBHWC	NNYN38	X		X	
Ð	This Tyding 10	AG-O.		A		d	
ĝ	Lucia Perez	A'BC Brd.		×		X	
Z.	Steve Ignaus	GPD	475-8409		×	X	
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Bill No. 118-32 (COR) Page \_\_\_\_\_

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# GUAM POLICE DEPARTMENT DIPÅTTAMENTON POLISIAN GUAHAN

Government of Guam



EDDIE BAZA CALVO Governor

RAY TENORIO Lieutenant Governor Bldg. 13-16A Mariner Avenue, Tiyan Barrigada, Guam 96913 P.O. Box 23909 Barrigada, Guam 96921 Telephone: (671) 475-8473 (Switchboard); (671) 475-8508 / 8509 / 8512 Fax: (671) 472-4036

FRED E. BORDALLO, JR. Chief of Police

MAURICE J.Q. SAYAMA, Colonel Police Commander

## Written Testimony

of

## Fred E. Bordallo, Jr. Chief of Police, Guam Police Department Re: Bill No. 118-32(COR) "An Act Relative to Alcohol Beverage Control,to be Known as "The Responsible Alcohol Sales and Service Act..."

For: The Honorable Dennis G. Rodriguez, Jr., Chairperson, and Members Committee on Health & Human Services, Health Insurance Reform, Economic Development & Senior Citizen 32<sup>nd</sup> Guam Legislature

*Buenas yan Hafa Adai!* On behalf of the men and women of the Guam Police Department (GPD), we hereby strongly support the intent of Bill 118-32(COR): to have an standardized server/seller classroom training to be widely available and easily accessible for employees who serve or sell alcoholic beverages and for that training to be a requirement for obtaining an ABC Alcohol Employee License.

Last year, the Guam Police Department, in coordination with the Department of Public Health and Social Services, had made significant progress in apprehending individuals who sell liquors to minors. GPD and ABC investigators conducted the "Operation Dragon," where investigators covertly appear at selected bars to observe if there are minors who may be consuming alcohol, verify their identification, then identify the Server/Seller. As soon as a minor is identified, the result could be a fine or temporary closure pending further investigation by the Public Health.

Today, it is a regular scene to see a licensed drinking establishment hosts a fundraiser for a community organization, a baseball team, a medical fundraiser or a class reunion and I am sure that there have been minors that patronize the establishments.

This Server/Seller training will provide trained and qualified workers at bars, night clubs, restaurants and retail stores. They will be able to spot fraudulent identifications when carding to prevent minors from purchasing alcohol at their establishments. Trained and qualified workers

Written Testimony of Fred E. Bordallo, Jr. Chief of Police, Guam Police Department Re: Bill No. 118-32(COR) June 12, 2013 Page 2 of 2

will also be able to spot signs of heavy intoxication and deny service and to follow the laws and regulations. This will surely have a tremendous impact and will have fewer impaired drivers on our road.

Lastly, Mr. Chairman, it is my recommendation that this Server/Seller training require that the students take an exam and must pass.

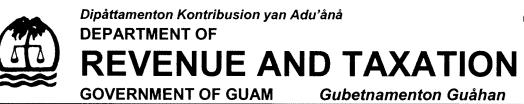
Thank you for the opportunity to provide comments on this very important and critical legislation.

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FRED E. BORDALLO, JR. Chief of Police

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JOHN P. CAMACHO, Director Direktot MARIE M. BENITO, Deputy Director Sigundo Direktot

June 12, 2013

The Honorable Michael F.Q. San Nicolas Chairman, Committee on Aviation, Ground Transportation, Regulatory Concerns, and Future Generations Thirty-Second Guam Legislature Hagatna, Guam 96910

Re: Bill No. 118-32 "AN ACT TO RELATIVE TO ALCOHOL, BEVERAGE CONTROL, TO BE KNOWN AS "THE RESPONSIBLE ALCOHOL SALES AND SERVICE ACT", BY ADDING NEW §§ 3123, 3124, AND 3125 TO ARTICLE 1, CHAPTER 3, 11 GCA; TO AMEND §3207, AND ADD §§ 3212.1, 3230, 3231, AND 3232 TO ARTICLE 2, CHAPTER 3, 11 GCA; TO AMEND 11 GCA § 3613; AND ADD A NEW (h) TO §2105, ADD A NEW (c) TO §2106, ADD ANEW (c) TO §2107, AND ADD A NEW §2111, ALL OF 3 GAR CHAPTER 2 [ALCOHOLIC BEVERAGE CONTROL BOARD]"

Dear Senator San Nicolas:

My name is John P. Camacho. I am the Director of the Department of Revenue and Taxation. I am neither in favor nor against Bill No. 118-32.

My understanding of Bill 118-32 is that this bill proposes to mandate alcohol server training for both on sale licensees and off-sale licensees. An on sale license entitles the licensee to serve customers to consume alcoholic beverage within the premises of the business establishment. An off-sale license allow consumers to buy but not to consume alcohol beverages within the premises of the business establishment.

Alcohol server training is a form of <u>occupational education</u> typically provided to servers, sellers and consumers of <u>alcohol</u> to prevent <u>intoxication</u>, <u>drunk driving</u> and <u>underage drinking</u>. Our information indicates that fourteen (14) states mandate alcohol service training. Around sixteen (16) have some form of voluntary alcohol server training. The remainder do not regulate alcohol server training at all.

Establishments that train their employees benefit by reducing risk and creating a safer, more responsible work environment. The training can be used to mitigate <u>liability</u> during a <u>lawsuit</u> involving intoxication by providing evidence of intent on the part of the establishment that serves and sells alcoholic beverages - a "reasonable efforts defense." In addition, this training can possibly lower <u>liquor</u> <u>liability</u> insurance premiums

Effective training will enhance the fundamental people skills of servers, sellers, and consumers of alcohol. At the same time, the training should help individuals understand the difference between people enjoying themselves and those who are getting into trouble with alcohol consumption. Employees and manager who participate in this training are generally able to spot underage drinkers and prevent sales to minors, recognize signs of intoxication, effectively intervene to prevent problem situations, and handle refusal situations with greater confidence.

Some states mandates alcohol server training in classroom by certified trainers. Some states allow online alcohol server training. Some require different training for employees that sell for licensees with off sale license such as restaurants, bars, hotels and night clubs and another type of training for those that sell off sale or off premises such as grocery stores, liquor stores, or convenience stores, etc. It is our observation that Bill 118-32 makes no distinction for requiring alcohol service training for those selling alcohol beverages for both on sale and off sale licenses.

Our office is not aware if there are schools, colleges or universities that provide alcohol server training. To draft regulations, conduct public hearing and have the alcohol server training regulations be approved by the Guam Legislature may take more than six (6) months. Bill 118-32 does provide a transition period. However, we are not sure if at the end of this transition period, the regulations shall be in place.

We suggest the active involvement and participation of the public high schools particularly those with culinary arts program, the Guam Community College and including the University of Guam to offer alcohol server training as an elective subject to make this program work. The reason for the high school participation is to educate the youth particularly those below twenty one (21) years old the consequences of alcoholic consumption and for those above twenty (21) years old the need for moderate consumption for health and wellness reasons.

Given this huge task, our Regulatory Division, particularly the Compliance Division – which is down to four (4) inspectors - will not be able to contribute to this program due to lack of Compliance Inspectors and equipment to conduct the inspection. For this program alone, we need at a minimum three (3) Compliance Inspectors and one motor vehicle. Otherwise, this mandate will remain just an unfunded mandate with no prescription for success.

Due to the above given reasons our office neither support nor opposes this bill.

Sincerely,

Jolp Camelo

JOHN P. CAMACHO Director



12 June, 2013

Senator Dennis Rodriguez, Jr. 32<sup>nd</sup> Guam Legislature Hagatna, Guam

# RE: Bill 118-32 (COR) – An Act relative to Alcohol Beverage Control, to be known as "The Responsible Alcohol Sales and Service Act"

Dear Senator Rodriguez,

Once again, we laud you on your ongoing leadership for our island's healthier future! We, the physicians and staff of Health Partners, LLC submit this testimony in strong support of **Bill 118-32 (COR) – An Act relative to Alcohol Beverage Control, to be known as "The Responsible Alcohol Sales and Service Act."** 

Our local data documents the high socio-economic and health costs of harmful alcohol use. Heavy alcohol use directly contributes to liver cirrhosis, the 10th leading cause of death on Guam. In addition, alcohol is implicated in some types of cancer, stroke, suicide, and motor vehicle accidents and can exacerbate diabetes – these comprise 5 of the top 10 causes of death in our island. Alcohol also contributes to 3 (liver, colon and rectum and nasopharynx for men; breast, colon and rectum and cervix for women) of the top 5 causes of cancer deaths on Guam for both males and females.

Alcohol use has been implicated in criminal arrests, motor vehicle crashes, violent crime including family violence and suicide. Alcohol-related offenses accounted for 8.6% of all juvenile arrests in 2011, up from 5.3% in 2010. Traffic accidents and "Driving under the influence" (DUI) arrests decreased from 2010 to 2011; however, the number of traffic-related fatalities increased. Alcohol was a factor in 27.3% of traffic-related fatalities in 2011 (Guam Epi Profile, 2013).

As Chair for Guam's State Epidemiological Outcomes Workgroup, I can testify that evidence-based policies to reduce harmful alcohol use work very efficiently in Guam. For instance, the adult binge-drinking rate in Guam was increasing until 2010, when it dropped for the 1st time since 2001. Likewise, the youth binge-drinking rate dropped in 2011. These positive changes followed the landmark law legislating an increase in the legal minimum age for alcohol consumption from 18 to 21 years. Guam's youth binge drinking rate also decreased in 2003, following the legislated increase in alcohol taxes (Guam Epi Profile, 2012).

The US Department of Health and Human Services in 2002 identified the following as effective community interventions to reduce the harmful use of alcohol:

- Increased enforcement of laws prescribing the minimum legal drinking age (21 years);
- Implementation, increased publicity and enforcement of other laws to reduce alcohol-impaired driving;
- Restrictions on alcohol retail density;
- Increased price and excise taxes on alcoholic beverages; and,
- Responsible beverage service policies on social and commercial settings.

Guam recently enacted laws that address the  $1^{st}$  and  $3^{rd}$  bullet points and Guam has existing laws that address the  $2^{nd}$  bullet point. Bill 118-32 addresses the  $5^{th}$  bullet point.

Research results on responsible beverage service (RBS) and sales are mixed; however, studies point out that the most effective programs are those that are mandatory, include owners and managers as well as servers, and where there is active and visible enforcement. In a review of research on strategies to reduce youth access to alcohol, the Institute of Medicine recommends, "States should require all sellers and servers of alcohol to complete state-approved training as a condition of employment" (2004).

Bill 118-32 will reinforce the existing policy interventions to reduce the tragic consequences of harmful alcohol use. Mandating it for both managers and sellers is consistent with evidence-based practice. To maximize its impact, the research recommends:

- A training curriculum that is comprehensive, with information on the social impact of alcohol as well as its impact on the body, local laws on alcohol, techniques to (1) prevent service or sales to underage persons and (2) cutting off service and refusing sales to intoxicated patrons; the curriculum should include a skills development component with role playing.
- The training should include management policy development to reduce alcoholrelated harm to patrons. For example, management can require staff to check IDs for anyone under 30 or adopt practices that promote a safer environment for the establishment such as barring intoxicated persons from entering the outlet. Drink specials and promotions such as "all you can drink," "two-fers," ladies night, happy hours and the practice of last call encourage patrons to consume higher quantities of alcohol, even to intoxication, especially if these discounts are time limited (Erenberg and Hacker, 1997). Owners can prohibit these practices in their own establishments. They can also take action to provide a safer environment in their establishment. For example, some establishments apply crowd control policies in the establishment and for those waiting to enter, adequate lighting in parking lots, restroom hallways, etc. Potential benefits and incentives include

Suite 226 ITC Building, 590 S. Marine Corps Drive Tamuning, Guam 96931 Tel. No. (671) 646-5227 or 5228 Fax No. (671) 646-5226 Website: http://www.healthpartnersguam.com safer bars, less damage/vandalism, lower economic costs, lower liability risk, reduced rates of insurance, and increased community support and patronage.

- Training should last a minimum of 4 hours, and should be offered by reputable sources. Towards this end, consider stipulating that course providers must guarantee they do not receive funding or other support from alcohol-related industries and groups. This will avoid conflicts of interest and potential credibility gaps of the RBS providers.
- Periodic refresher or booster training is ideal; consider requiring proof of annual re-training as a condition for continuation of licensure.
- Since the Behavioral Health and Wellness Center (formerly DMHSA) and the Department of Youth Affairs already have the curriculum and capacity to conduct RBS training, consider including them in the list of acceptable RBS training providers.

If legislated this bill will complement and reinforce the recent laws raising the minimum legal age for alcohol consumption and reducing the hours of sales of alcoholic beverages. For the greatest impact on our community, consistent and strong enforcement of these laws is necessary.

Thank you for your continued championship of health-related public policies. We sincerely appreciate all that you do to improve our island's health and welfare.

Sincerely,

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Annette M. David Senior partner for health consulting services, Health Partners, LLC

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#### ALCOHOLIC BEVERAGE SEVER/SELLER TRAINING FAQs

#### What is server/seller training?

Server/seller training is a proactive, preventative approach to reducing alcohol related crime and social problems. It standardizes and professionalizes alcoholic beverage sale transactions, it teaches basic understanding of ABC law including server's and seller's legal responsibilities, it also teaches skills that help workers better respond to customers at the point of sale of alcoholic beverages in bars, restaurants, and retail stores. Training involves one classroom session lasting 3-4 hours.

# Don't we already have many programs to address social and criminal problems related to alcohol? Why do we need another one?

Yes, over the years all three branches of government, community groups, and the private sector have sponsored initiatives with some limited success. But these efforts, particularly enforcement programs, have largely overlooked what happens at the point of sale. Whether serving or selling, point of sale employees and their managers are in a position to have substantial influence and impact on issues such as underage drinking, public intoxication, over-service, and DUI if they are properly educated and trained.

Historically preventative education training is shown to be more effective and more cost efficient than punitive actions. The goal of server/seller training is to have trained, qualified workers who follow the law when serving and selling alcohol; not to rely on the threat of fines, license revocations, or other sanctions as a means of achieving better compliance. Server/Seller training is a proactive and preventative approach to problem solving. It is about prevention instead of punishment.

There is evidence that training changes the attitudes of those serving alcohol and they are less likely to sell to someone who is underage or has already consumed too much. This results in fewer minors obtaining access to alcohol, reduced incidents of public intoxication, and fewer impaired drivers on the road.

#### Why is server/seller training needed?

Under Guam law, employees who serve or sell alcoholic beverages have legally mandated responsibilities, such as identifying underage buyers and recognizing false identification, denying service to intoxicated or unruly persons, observing opening and closing times, to name just some. The transactions these store clerks, bartenders, and table servers conduct can have unintended, and even tragic consequences at the point sale and, down the road.

These workers are being asked to fill a vitally important, frontline role in keeping our island safe. It is a huge responsibility. Yet they receive little to no support from the community. Standardized, formal training to help them fully understand their role in upholding both the law

Chairman, Committee on Health & Human Services, Health Insurance Reform, Economic Development, & Senior Citizens Ufisinan Todu Guam • I Mina' Trentai Dos Na Libeslaturan Guåban • 32nd Guam Legislature

176 Serenu Avenue, Suite 107, Tamuning, Guam 96931 / Telephone: 671-649-TODU (8638) / Facsimile: 671-649-0520 E-mail: senatordrodriguez@gmail.com / www.toduguam.com



#### So what does it cost?

Nothing. This legislation has been written so the cost of training comes out of the ABC licensure fee for servers and sellers.

# Doesn't server/seller training create a barrier or disincentive for people to enter the workforce?

No, and actually this legislation includes incentives for workers by making their ABC license portable, it currently is not. It also does away with annual renewal in favor of a three-year licensure period. Server/seller training teaches workers skills that are transferable beyond the hospitality or retail setting, especially when offered through a qualified workforce development entity. An example of this might be Guam Community College. Server/seller training is a means of professionalizing the hospitality and retail industry workforce to the benefit of workers and their employers.

#### Shouldn't server/seller training be voluntary?

Guam has relied on voluntary server/seller training for years, and unfortunately, it has just not worked.

- Voluntary training is sold year-round by GHRA, including at its annual PHARE. The training is contracted out to a for-profit company at a cost of \$85 per person to GHRA member employees and \$110per person to non-members.
- This year free voluntary server/seller training became available to hospitality and retail employees through the Department of Mental Health and Department of Youth Affairs. The first official training session attracted just 19 participants. This is a grant funded program with no clear sustainability or continuity plan should that funding not be renewed.

Bill 118-32 not only mandates training, but also requires that it be conducted in a classroom setting by a qualified educational institution or non-profit at minimal cost. It will be self-sustaining because the cost of training is paid for out of ABC Alcohol Employee licensure fees.

#### Why does it matter if servers and sellers are trained?

Serving/Selling alcohol is a job that almost anyone can get hired and start immediately with little to no training. Most servers are ignorant of liquor regulations and the liability and responsibility that goes with serving someone alcohol. Training can give them the knowledge and tools to be able to handle situations that occur with the service of alcohol.

Server/Seller training is a preventative approach. For example, instead of violating an alcohol employee AFTER they have already served an underage or intoxicated person, training can help reduce those incidents from ever occurring.

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it of employees because having a staff that has completed server/seller training often translates to lower liability insurance rates and helps shield business owners from third-party lawsuits. In Guam the GHRA promotes server/seller training to its membership. This legislation will formalize and standardize training for employees of on-premise and off-premise ABC license holders.

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BUREAU OF BUDGET & MANAGEMENT RESEARCH

Post Office Box 2950, Hagåtña Guam 96932

EDDIE BAZA CALVO GOVERNOR

JOHN A. RIOS DIRECTOR

RAY TENORIO LIEUTENANT GOVERNOR

## JUN 172013

Senator Rory J. Respicio Chairperson, Committee on Rules I Mina'trentai Unu na Liheslaturan Guåhan The 31<sup>st</sup> Guam Legislature 155 Hesler Place Hagåtna, Guam 96932

Hafa Adai Senator Respicio:

Transmitted herewith is Fiscal Note on the following Bill Nos.: <u>108-32(COR), 118-32(COR), and</u> Fiscal Note Waiver on the following Bill Nos.: <u>99-32(COR).</u>

If you have any question(s), please do not hesitate to call the office at 475-9412/9106.

JOHN A. RIOS Director

Enclosures

cc: Senator Vicente (ben) Pangelinan

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/ / Yes

/x / Yes

/x/ No

// No

#### Bureau of Budget & Management Research Fiscal Note of Bill No. 118-32 (COR)

AN ACT RELATIVE TO ALCOHOL BEVERAGE CONTROL TO BE KNOWN AS "THE RESPONSIBLE ALCOHOL SALES AND SERVICE ACT", BY ADDING NEW §§ 3123, 3124 AND 3125 TO ARTICLE 1, CHAPTER 3, 11 GCA; TO AMEND § 3207, AND ADD §§ 3212.1, 3230, 3231, AND 3232 TO ARTICLE 2, CHAPTER 3, 11 GCA; TO AMEND 11 GCA §3613; AND, ADD A NEW (h) TO §2105, ADD A NEW (c) TO § 2106, ADD A NEW (c) TO §2107, AND ADD A NEW §2111, ALL OF 3 GAR CHAPTER 2 [ALCOHOLIC BEVERAGE CONTROL BOARD].

Dept./Agency Affected: Department of Revenue & Taxation	Dept./Agency Head: John P. Camacho	
Department's General Fund (GF) appropriation(s) to date:		9,164,180
Department's Other Fund (Specify) appropriation(s) to date: Tax Collection Public Service Fund (\$1,390,554)	on Enhancement Fund (\$686,717) / Better	-,

Fand Source	Information of Proposed Appropriation		
	General Fund:	(Specify Special Fund):	Total:
FY 2012 Unreserved Fund Balance <sup>1</sup>		SO	\$0
FV 2013 Adopted Revenues	\$561,985,725	50	\$561,985,725
FY 2013 Appro. (P.L. 31-233)	(\$548,971,874)	\$0	(\$548,971.874)
Sub-total:	\$13,013,851	50	\$13,013,851
Less appropriation in Bill	\$0	50	
Total:	\$13,013,851	\$0	\$13.013.851

General Fund       S0       S0       S0       S0       S0       S0         (Specify Special Fund)       S0       S0       S0       S0       S0       S0       S0         Total       S0       S0       S0       S0       S0       S0       S0         Total       S0       S0       S0       S0       S0       S0       S0         1. Does the bill contain "revenue generating" provisions?       /x / Yes       //x / No       /x / Yes       // No         If Yes, see attachment       2. Is amount appropriated adequate to fund the intent of the appropriation?       /x / N/A       / / Yes       // No         If no, what is the additional amount required? \$       /x / N/A       / x / N/A       // Yes       // No		One Full Fiscal Year	For Remainder of FY 2013 (if applicable)	FY 2014	FY 2015		FY 2016	FY 2017
Fund)       S0       S0       S0       S0       S0       S0       S0         Total       S0       S0       S0       S0       S0       S0       S0         1. Does the bill contain "revenue generating" provisions?       /x / Yes       // No       /x / Yes       // No         1. Does the bill contain "revenue generating" provisions?       /x / Yes       // No         1. Somount appropriated adequate to fund the intent of the appropriation?       /x / N/A       / / Yes       // No         If no, what is the additional experimentation to 0.0       S0       S0       S0       S0       S0	General Fund	\$0	\$0	<b>S</b> 0		50	SO	
I. Does the bill contain "revenue generating" provisions?       /x / Yes       // No         If Yes, see attachment       2. Is amount appropriated adequate to fund the intent of the appropriation?       /x / N/A       / Yes       // No		\$0	<b>S</b> 0	\$0		50	SO	
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3. Does the Bill establish a new program/agency?	lf Yes, see attach 2. Is amount app If no, what is t	ment ropriated adequate he additional amou	to fund the intent of the	e appropriation?	/ x / N/A / x / N/A		/ / Yes	

4,	Will	the	enactment o	of this	Bill	require n	ew.	nhysical	facilities?
_			Child Contraction of		19111	require n	сn	puysicai	racinues:

5. Was Fiscal Note coordinated with the affected dept/agency? If no, indicate reason:

/ x / Requested agency comments not received as of the due date / / Other:

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	4/13/13	Director:	Date N 1 4 ZUJ
Michael M. Aflague, B&M Analyst IV	<u> </u>	Sonn A. Bios, Director	JON I I LOID

See attachment for revenue generating provisions.

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## Bureau of Budget & Management Research Attachment to Fiscal Note Bill No. 118-32 (COR) (for revenue generating provisions)

		Projected Mul	ti-Year Revenues		
	Year 1	Year 2	Year 3	Year 4	Year 5
General Fund	1/	\$0	\$0	\$0	<b>\$</b> 0
Special Fund [various - see comments]	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
Total	1/	\$0	\$0	\$0	\$0

Comments;

1/ The Bureau anticipates increases to the government's revenue base through applicable fees established by § 3207 of Article 2, Chapter 3, Title 12 and penalties per Sect 3613(d) of Article 6, Chapter 3, Title 11 GCA. The projected impact involves the number of businesses requiring training and applicable licenses relative to the number of employees/mangers employed by such business less tuition fee. The Bureau has contacted the Department of Revenue and Taxation; however, information has not been received as of these comments.



## **COMMITTEE ON RULES**

*I Mina'trentai Dos na Liheslaturan Guåhan* • The 32nd Guam Legislature 155 Hesler Place, Hagåtña, Guam 9<del>69</del>10 • *www.guamlegislature.com* E-mail: *roryforguam@gmail.com* • Tel: (671)472-7679 • Fax: (671)472-3547

Senator Rory J. Respicio CHAIRPERSON MAJORITY LEADER

May 21, 2013

**VIA E-MAIL** 

john.rios@bbmr.guam.gov

Senator Thomas C. Ada Vice Chairperson Assistant Majority Leader

Senator Vicente (Ben) C. Pangelinan Member

Speaker Judith T.P. Won Pat, Ed.D. Member

Senator Dennis G. Rodriguez, Jr. Member

> Vice-Speaker Benjamin J.F. Cruz Member

Legislative Secretary Tina Rose Muña Barnes Member

Senator Frank Blas Aguon, Jr. Member

Senator Michael F.Q. San Nicolas Member

> Senator V. Anthony Ada Member MINORITY LEADER

Senator Aline Yamashita Member John A. Rios Director Bureau of Budget & Management Research P.O. Box 2950 Hagåtña, Guam 96910

#### RE: Request for Fiscal Note- Bill Nos. 117- 119 (COR)

Hafa Adai Mr. Rios:

Transmitted herewith is a listing of *I Mina'trentai Dos na Liheslaturan Guåhan's* most recently introduced bills. Pursuant to 2 GCA §9103, I respectfully request the preparation of fiscal notes for the referenced bills.

Si Yu'os ma'åse' for your attention to this matter.

Very Truly Yours,

Uny J. Respicin

**Senator Rory J. Respicio** *Chairperson, Committee on Rules* 

Attachments (1)

Cc: Clerk of the Legislature

Z AVIN EIOZ ( PN 2: 0

Bill Nos.	Sponsor	Title
117-32 (COR)	T.R. Muña Barnes, J.T. Won Pat, Ed.D., Brant T. McCreadie	AN ACT TO AMEND §§3705 AND 3707 OF ARTICLE 7, CHAPTER 3, TITLE 16 GCA, RELATIVE TO EXPANDING THE DUTIES OF THE GUAM POLICE DEPARTMENT COMMUNITY ASSISTED POLICING EFFORT (CAPE) VOLUNTEERS TO INCLUDE ENFORCEMENT OF THE HANDBILLING ACT, AND FOR OTHER PURPOSES
118-32 (COR)	D.G. Rodriguez,Jr. B.J.F. Cruz V. Anthony Ada Michael F.Q. San Nicolas J.T. Won Pat, Ed.D Rory J. Respicio Brant McCredie Thomas Morrison Tina Muna-Barnes	AN ACT RELATIVE TO ALCOHOL BEVERAGE CONTROL, TO BE KNOWN AS "THE RESPONSIBLE ALCOHOL SALES AND SERVICE ACT", BY ADDING NEW §§ 3123, 3124 AND 3125 TO ARTICLE 1, CHAPTER 3, 11 GCA; TO AMEND § 3207, AND ADD §§ 3212.1, 3230, 3231, AND 3232 TO ARTICLE 2, CHAPTER 3, 11 GCA; TO AMEND 11 GCA §3613; AND, ADD A NEW (h) TO §2105, ADD A NEW (c) TO § 2106, ADD A NEW (c) TO §2107, AND ADD A NEW §2111, ALL OF 3 GAR CHAPTER 2 [ALCOHOLIC BEVERAGE CONTROL BOARD].
119-32 (COR)	V. Anthony Ada Chris M.Duenas	AN ADD A NEW ARTICLE 2 TO CHAPTER 37 OF 9 GCA RELATIVE TO HOME INVASION.

## **COMMITTEE ON RULES**



*I Mina'trentai Dos na Liheslaturan Guåhan* • The 32nd Guam Legislature 155 Hesler Place, Hagåtña, Guam 96910 • *www.guamlegislature.com* E-mail: *roryforguam@gmail.com* • Tel: (671)472-7679 • Fax: (671)472-3547

Senator Rory J. Respicio Chairperson Majority Leader

May 16, 2013

Senator Thomas C. Ada Vice Chairperson Assistant Majority Leader

Senator Vicente (Ben) C. Pangelinan Member

Speaker Judith T.P. Won Pat, Ed.D. Member

Senator Dennis G. Rodriguez, Jr. Member

> Vice-Speaker Benjamin J.F. Cruz Member

Legislative Secretary Tina Rose Muña Barnes Member

Senator Frank Blas Aguon, Jr. Member

Senator Michael F.Q. San Nicolas Member

> Senator V. Anthony Ada Member Minority Leader

Senator Aline Yamashita Member

## **MEMORANDUM**

To:Rennae MenoClerk of the Legislature

**Attorney Therese M. Terlaje** *Legislative Legal Counsel* 

 From:
 Senator Rory J. Respicio

 Majority Leader & Rules Chair

## Subject: Referral of Bill No. 118-32(COR)

As the Chairperson of the Committee on Rules, I am forwarding my referral of **Bill No. 118-32(COR)**.

Please ensure that the subject bill is referred, in my name, to the respective committee, as shown on the attachment. I also request that the same be forwarded to all members of *I Mina'trentai Dos na Liheslaturan Guåhan*.

Should you have any questions, please feel free to contact our office at 472-7679.

Si Yu'os Ma'åse!

Attachment

## I Mina'Trentai Dos Na Liheslaturan Guahan

### **Bill Log Sheet**

				r				
BILL	SPONSOR	TITLE	DATE	DATE	CMTE	PUBLIC	DATE	FISCAL NOTES
NO.			INTRODUCED	REFERRED	REFERRED	HEARING	COMMITTEE	
						DATE	<b>REPORT FILED</b>	
						DAIL		
118-32	D. G. Rodriguez, Jr.	AN ACT RELATIVE TO ALCOHOL BEVERAGE	5/16/13 9:27	5/16/13	Committee on			Fiscal Note
1		CONTROL, TO BE KNOWN AS "THE			Aviation, Ground			Requested
	V. Anthony Ada	RESPONSIBLE ALCOHOL SALES AND			Transportation,			5/21/13
	Michael F.Q. San	SERVICE ACT", BY ADDING NEW §§ 3123,			Regulatory			
	Nicolas	3124 AND 3125 TO ARTICLE 1, CHAPTER			Concerns, and			
	Judith T. Won Pat,	3, 11 GCA; TO AMEND § 3207, AND ADD			Future Generations			
	Ed.D	§§ 3212.1, 3230, 3231, AND 3232 TO						
	R.J. Respicio	ARTICLE 2, CHAPTER 3, 11 GCA; TO		a service and				
	Brant T.	AMEND 11 GCA §3613; AND, ADD A NEW						
	McCreadie	(h) TO §2105, ADD A NEW (c) TO § 2106,						
	Tommy Morrison	ADD A NEW (c) TO §2107, AND ADD A						
	T.R. Muña-Barnes	NEW §2111, ALL OF 3 GAR CHAPTER 2		and a state				
	- - -	[ALCOHOLIC BEVERAGE CONTROL						
		BOARD].						



## First Notice - 6.13.13 Public Hearing

**Senator Michael San Nicolas** <senatorsannicolas@gmail.com> To: Senator Michael San Nicolas <senatorsannicolas@gmail.com>

Thu, Jun 6, 2013 at 2:33 PM

Bcc: aperez@gpagwa.com, Duane George <dmgeorge@guampdn.com>, Dionesis Tamondong <dtamondong@guampdn.com>, Ed Davis <edavis@k57.com>, Jon Anderson <editor@mvguam.com>, Gerry Partido <gerry@mvguam.com>, gmmsinc@guam.net, info@chinesetimesguam.com, Jason Salas <jason@kuam.com>, John Davis <john@kuam.com>, Kelly Cho <kcn.kelly@gmail.com>, Korean News <koreannews@guam.net>, KPRG <kprg@guam.net>, PDN Lifestyle <life@guampdn.com>, mabuhaynews@yahoo.com, Mindy Aguon <mindy@kuam.com>, mwatanabe@guampdn.com, K57 <news@k57.com>, Patti Arroyo <parroyo@k57.com>, radioprod@kuam.com, Ray Gibson <rgibson@k57.com>, Sabrina Salas Matanane <sabrina@kuam.com>, Steve Limtiaco <slimtiaco@guampdn.com>, sports@mvguam.com, tcoffman@k57.com, dcrisost@guam.gannett.com, Maureen Maratita <publisher@glimpsesofguam.com>, weavert@pstripes.osd.mil, Pacific Daily News <news@guampdn.com>, jtyquiengco@spbguam.com, kevin@spbguam.com, hill.bruce@abc.net.au, Bruce Hill <pacificjournalist@gmail.com>, parroyo@spbguam.com, editor@saipantribune.com, Clynt Ridgell <clynt@spbguam.com>, mcpherson.kathryn@abc.net.au, cimiculka@guampdn.com, arcordoba@guampdn.com, communications@guam.gov, natalie.quinata@guam.gov, Troy Torres <troy.torres@guam.gov>, phnotice@guamlegislature.org, Jermaine Alerta <alerta.jermaine@gmail.com>, Matthew Baza <baza.matthew@gmail.com>, delisakloppenburg@gmail.com, Geraldine Castillo <geraldine@mvguam.com>, Joy White <joy@mvguam.com>, Louella Losinio <louella@mvguam.com>, david@mvguam.com, John Paul Manuel <jpmanuel@gmail.com>, josh@spbguam.com, Speaker Judi Won Pat <speaker@judiwonpat.com>, Vice Speaker Benjamin Cruz <senadotbjcruz@aol.com>, Senator Tina Muña Barnes <senator@tinamunabarnes.com>, Senator Rory Respicio <roryforguam@gmail.com>, "Senator Dennis Rodriguez, Jr." <senatordrodriguez@gmail.com>, Senator Ben Pangelinan <senbenp@guam.net>, Senator Tom Ada <office@senatorada.org>, Senator Aline Yamashita <aline4families@gmail.com>, Senator Tony Ada <tony@tonyada.com>, Senator Chris Duenas <duenasenator@gmail.com>, Brant McCreadie <brantforguam@gmail.com>, senatorbrantmccreadie@gmail.com. "Senator Frank Aguon, Jr." <aguon4guam@gmail.com>, Senator Mike Limtiaco <mike@mikelimtiaco.com>, Senator Tommy Morrison <tommy@senatormorrison.com>, mayormcdonald@hotmail.com, agatmayorsoffice@hotmail.com, asanmainamayorsoffice@yahoo.com, bmoadmin@teleguam.net, Jessy Gogue <ocp.mayor@gmail.com>, MELISSA SAVARES <melissa.savares@gmail.com>, peter\_daigo@hotmail.com, hagatnamayor@hotmail.com, Doris Lujan <mayordorisfloreslujan@gmail.com>, nblas\_mangilaomayor@yahoo.com, vicemayor allan.ungacta@yahoo.com, mayorernestc@yahoo.com, mtm\_mayors\_office@yahoo.com, pitimayor@yahoo.com, Robert Hofmann <guammayor@gmail.com>, rudyiriarte@gmail.com, talofofomayor@gmail.com, "Mayor Louise C. Rivera" <mayorlcrivera.tatuha@gmail.com>, "Vice Mayor Ken C. Santos" <vicemayorksantos.tatuha@gmail.com>, Umatac Mayor <umatacmo@gmail.com>, kones.r@gmail.com, arleen81@gmail.com, kenjoeada@yahoo.com, anghet@hotmail.com, Ken Quintanilla <kenq@kuam.com>, raymond.gibson@guam.gov, daleealvarez@gmail.com, Responsible Guam <responsibleguam@gmail.com>, christopherchenay@gmail.com, floterlaje@gmail.com, Derick Baza-Hills <derickbazahills@gmail.com>, Regine Biscoe Lee <regineb.lee@gmail.com>, chuck.ada@guamairport.net, peterroy@guamairport.net, lorilee.crisostomo@bsp.guam.gov, doagridir@yahoo.com, george.santos@dol.guam.gov, carl.dominguez@dpw.guam.gov, jay.rojas@dpw.guam.gov, eduardo.ordonez@clb.guam.gov, cgarcia@investguam.com, eric.palacios@epa.guam.gov, felixberto.dungca@grta.guam.gov, kpangelinan@visitguam.org, ndenight@visitguam.org, jbrown@portguam.com, monte.mafnas@dlm.guam.gov, david.camacho@galc.guam.gov, Michael Duenas <miduenas@ghura.org>. fcamacho@ghura.org, martin.benavente@ghc.guam.gov, alfredo.antolin@dol.guam.gov, joseph.cameron@hrra.guam.gov, cgogue@pbsguam.org, Adonis.mendiola@dya.guam.gov, jose.sanagustin@doc.guam.gov, pedro.leonguerrero@cqa.guam.gov, joey.sannicolas@gfd.guam.gov, fred.bordallo@gpd.guam.gov, chief@gpd.guam.gov, jim.mcdonald@ghs.guam.gov, benito.servino@disid.guam.gov, james.gillan@dphss.guam.gov, leo.casil@dphss.guam.gov, joseph.verga@gmha.org, john.rios@bbmr.guam.gov, benita.manglona@doa.guam.gov, anthony.blaz@doa.guam.gov, John Camacho <ipcamacho@revtax.gov.gu>. Marie Benito <mmbenito@revtax.gov.gu>, benny.m.paulino@us.army.mil, john.unpingco@gvao.guam.gov, jonfernandez@gdoe.net, mary.okada@guamcc.edu, raunderwood@uguam.uog.edu, jflores@gpagwa.com, Ed Cruz

<ed.cruz@bit.guam.gov>, law@guamag.org, rey.vega@mail.dmhsa.guam.gov, henry.taitano@guam.gov, Julian Janssen <julian.c.janssen@gmail.com>, Gerry Partido <gerrypartido@gmail.com>

Håfa adai,

The Committee on Aviation, Ground Transportation, Regulatory Concerns and Future Generations will be conducting a hearing on Thursday, June 13, 2013, 5:30 p.m. The hearing will take place in *I Liheslaturan Guåhan*'s Public Hearing Room. See attachments for more information. Thank you for your time and attention on this matter.

Regards,

## Jermaine Alerta

Committee Director Committee on Aviation, Ground Transportation, Regulatory Concerns and Future Generations Office of Senator Michael F.Q. San Nicolas I Mina' Trentai Dos na Liheslaturan Guahan 32nd Guam Legislature Tel: (671) 472-6453

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Senator Michael F.Q. San Nicolas

Chairman - Committee on Aviation, Ground Transportation, Regulatory Concerns and Future Generations I Mina'trentai Dos Na Liheslaturan Guåhan | 32<sup>nd</sup> Guam Legislature



## FIRST PUBLIC NOTICE

#### FOR IMMEDIATE RELEASE June 6, 2013

In accordance with the Open Government Law, relative to notice for public meetings, please be advised that the Committee on Aviation, Ground Transportation, Regulatory Concerns and Future Generations will be conducting a public hearing on **Thursday**, **June 13**, **2013**, **5:30** p.m., at *I Liheslaturan Guåhan's* Public Hearing Room for the following items:

Bill No. 118-32 (COR), introduced by D.G. Rodriguez, Jr., B.J.F. Cruz, V. Anthony Ada, M.F. Q. San Nicolas, J.T. Won Pat, Ed.D., R.J. Respicio, B.T. McCreadie, T.A. Morrison, T.R. Muña-Barnes: "AN ACT RELATIVE TO ALCOHOL BEVERAGE CONTROL TO BE KNOWN AS "THE RESPONSIBLE ALCOHOL SALES AND SERVICE ACT", BY ADDING NEW §§ 3123, 3124 AND 3125 TO ARTICLE 1, CHAPTER 3, 11 GCA; TO AMEND § 3207, AND ADD §§ 3212.1, 3230, 3231, AND 3232 TO ARTICLE 2, CHAPTER 3, 11 GCA; TO AMEND 11 GCA §3613; AND, ADD A NEW (h) TO §2105, ADD A NEW (c) TO § 2106, ADD A NEW (c) TO §2107, AND ADD A NEW §2111, ALL OF 3 GAR CHAPTER 2 [ALCOHOLIC BEVERAGE CONTROL BOARD]."

Bill No. 87-32 (COR), introduced by T.A. Morrison, M.F. Q. San Nicolas, C.M. Duenas, B.J.F. Cruz, T.R. Muña-Barnes: "AN ACT TO AMEND§§3305 AND 3305.1, CHAPTER 3 OF 16GCA RELATIVE TO IMPLEMENTING A UNIVERSAL HELMET LAW."

If written testimonies are to be presented at the hearings, copies should be delivered prior to the hearing date. Testimonies should be addressed to Senator Michael F.Q. San Nicolas and will be accepted via hand delivery to our office; our mailbox at the Main Legislature Building at 155 Hesler Place, Hagatna, Guam 96910; or via email to senatorsannicolas@gmail.com. In compliance with the Americans with Disabilities Act, individuals requiring special accommodations or services should contact the Office of Senator Michael F.Q. San Nicolas at 472-6453.

###

## Listserv: <u>phnotice@guamlegislature.org</u> As of April 23, 2013

aalladi@guampdn.com
action@weareguahan.com
admin@frankaguonjr.com
admin@guamrealtors.com
admin@leapguam.com
admin@weareguahan.com
aguon4guam@gmail.com
ahernandez@guamlegislature.org
ajuan@kijifm104.com
alerta.jermaine@gmail.com
aline4families@gmail.com
am800guam@gmail.com
amanda@toduguam.com
amier@mvguam.com
ang.duenas@gmail.com
angela.lgrios@gmail.com
aokada@guamlegislature.org
ataligba@gmail.com
avillaverde@guamlegislature.org
avon.guam@gmail.com
baza.matthew@gmail.com
bbautista@spbguam.com
bdydasco@yahoo.com
berthaduenas@guamlegislature.org
betsy@spbguam.com
bmkelman@guampdn.com
brantforguam@gmail.com
bruce.lloyd.media@gmail.com
carlos.pangelinan@senatorbjcruz.com
carlsonc@pstripes.osd.mil
ccastro@guamchamber.com.gu
charissatenorio@gmail.com
chechsantos@gmail.com
cheerfulcatunao@yahoo.com
cherhert senatordrodriguer@gmeil.em
cherbert.senatordrodriguez@gmail.com
chris.budasi@guamlegislature.org
chris.carillo@senatorbjcruz.com cipo@guamlegislature.org
clerks@guamlegislature.org
clerks@guamlegislature.org
clifton@toduguam.com
clynt@spbguam.com
colleenw@guamlegislature.org
committee@frankaguonjr.com
communications@guam.gov
conedera@mikelimtiaco.com
cor@guamlegislature.org
coy@senatorada.org
cyrus@senatorada.org
dcrisost@guam.gannett.com
desori623@hotmail.com
dleddy@guamchamber.com.gu
dmgeorge@guampdn.com
dtamondong@guampdn.com
duenasenator@gmail.com

ed@tonyada.com
edelynn1130@hotmail.com
editor@mvguam.com
editor@saipantribune.com
edpocaigue@judiwonpat.com
elaine@tinamunabarnes.com
emqcho@gmail.com
ewinstoni@yahoo.com
eo@guamrealtors.com
etajalle@guamlegislature.org
evelyn4families@gmail.com
faith@judiwonpat.com
fbtorres@judiwonpat.com
floterlaje@gmail.com
frank@judiwonpat.com
frank@mvguam.com
gdumat-ol@guampdn.com
gerry@mvguam.com
gerrypartido@gmail.com
gina@mvguam.com
gktv23@hotmail.com
guam@pstripes.osd.mil
guamnativesun@yahoo.com
hana@guam-shinbun.com
hill.bruce@abc.net.au
hottips@kuam.com
info@chinesetimesguam.com
janela@mvguam.com
jason@judiwonpat.com
jason@kuam.com
jblas@tinamunabarnes.com
jcamacho@senatorada.org
jean@tinamunabarnes.com
jennifer.lj.dulla@gmail.com
jennifer@mvguam.com
jimespaldon@yahoo.com
imespation@yanoo.com
jmesngon.senatordrodriguez@gmail.com joan@kuam.com
joe@toduguam.com
john.calvo@noaa.gov
john@kuam.com
jpmanuel@gmail.com
jtenorio@guamcourts.org
jtyquiengco@spbguam.com
juliette@senatorada.org
kai@spbguam.com
kcn.kelly@gmail.com
kenq@kuam.com
kevin@spbguam.com
khmg@hbcguam.net
koreannews@guam.net
koreatv@kuentos.guam.net
kstokish@gmail.com
kstonews@ite.net

## Listserv: <u>phnotice@guamlegislature.org</u> As of April 23, 2013

richdou	era@gmail.com
	ta@hitradio100.com
	co@guampdn.com
	diwonpat.com
	tkb.com
	guam@gmail.com
	nes@senatormorrison.com
	luenas@gmail.com
senator	@senatorbjcruz.com
	@tinamunabarnes.com
senator	drodriguez@gmail.com
senator	sannicolas@gmail.com
senator	tonyada@guamlegislature.org
senbenj	o@guam.net
sgflores	@tinamunabarnes.com
sgtarms	@guamlegislature.org
sitarose	2@yahoo.com
slimtiac	o@guampdn.com
	a-salas@guamlegislature.org
	@judiwonpat.com
	udiwonpat.com
	amilies@gmail.com
tcastro@	@guam.net
	ague@guam.gov
	now@guamcell.net
	10w@k57.com
	hart.writer@gmail.com
	@judiwonpat.com
	abarnes@gmail.com
	@cs.com
	natorada.org
	@senatormorrison.com
tonv@te	onyada.com
	@pstripes.osd.mil
	res@guam.gov
	@guam.net
	inamunabarnes.com
	iyada.com
will@a	liwonpat.com
winterse	natorada.org
	d@gmail.com
	d@yahoo.com
	guam.gannett.com
zita@mv	/guam.com





## Second Notice - 6.13.13 Public Hearing

Senator Michael San Nicolas <senatorsannicolas@gmail.com> To: Senator Michael San Nicolas <senatorsannicolas@gmail.com> Tue, Jun 11, 2013 at 9:41 AM

Bcc: aperez@gpagwa.com, Duane George <dmgeorge@guampdn.com>, Ed Davis <edavis@k57.com>, Jon Anderson <editor@mvguam.com>, Gerry Partido <gerry@mvguam.com>, gmmsinc@guam.net,

mwatanabe@guampdn.com, K57 <news@k57.com>, Patti Arroyo <parroyo@k57.com>, radioprod@kuam.com, Ray Gibson <rgibson@k57.com>, Sabrina Salas Matanane <sabrina@kuam.com>, Steve Limtiaco

<slimtiaco@guampdn.com>, sports@mvguam.com, tcoffman@k57.com, dcrisost@guam.gannett.com, Maureen Maratita <publisher@glimpsesofguam.com>, weavert@pstripes.osd.mil, Pacific Daily News <news@guampdn.com>, jtyquiengco@spbguam.com, kevin@spbguam.com, hill.bruce@abc.net.au, Bruce Hill <pacificjournalist@gmail.com>, parroyo@spbguam.com, editor@saipantribune.com, Clynt Ridgell <clynt@spbguam.com>,

mcpherson.kathryn@abc.net.au, cimiculka@guampdn.com, arcordoba@guampdn.com,

communications@guam.gov, Troy Torres <troy.torres@guam.gov>, phnotice@guamlegislature.org, Jermaine Alerta <alerta.jermaine@gmail.com>, Matthew Baza <baza.matthew@gmail.com>, delisakloppenburg@gmail.com, Geraldine Castillo <geraldine@mvguam.com>, Joy White <joy@mvguam.com>, Louella Losinio

<louella@mvguam.com>, david@mvguam.com, John Paul Manuel <jpmanuel@gmail.com>, josh@spbguam.com, Speaker Judi Won Pat <speaker@judiwonpat.com>, Vice Speaker Benjamin Cruz <senadotbjcruz@aol.com>, Senator Tina Muña Barnes <senator@tinamunabarnes.com>, Senator Rory Respicio <roryforguam@gmail.com>, "Senator Dennis Rodriguez, Jr." <senatordrodriguez@gmail.com>, Senator Ben Pangelinan <senbenp@guam.net>, Senator Tom Ada <office@senatorada.org>, Senator Aline Yamashita <aline4families@gmail.com>, Senator Tony Ada <tony@tonyada.com>, Senator Chris Duenas <duenasenator@gmail.com>, Brant McCreadie <brantforguam@gmail.com>, Senator Brant McCreadie <senatorbrantmccreadie@gmail.com>, "Senator Frank Aguon, Jr." <aguon4guam@gmail.com>, Senator Mike Limtiaco <mike@mikelimtiaco.com>, Senator Tommy Morrison <tommy@senatormorrison.com>, mayormcdonald@hotmail.com, agatmayorsoffice@hotmail.com>, MELISSA</a>

SAVARES <melissa.savares@gmail.com>, peter\_daigo@hotmail.com, hagatnamayor@hotmail.com, Doris Lujan <mayordorisfloreslujan@gmail.com>, nblas\_mangilaomayor@yahoo.com, vicemayor\_allan.ungacta@yahoo.com, mayorernestc@yahoo.com, mtm\_mayors\_office@yahoo.com, pitimayor@yahoo.com, Robert Hofmann

<guammayor@gmail.com>, rudyiriarte@gmail.com, talofofomayor@gmail.com, "Mayor Louise C. Rivera" <mayorlcrivera.tatuha@gmail.com>, "Vice Mayor Ken C. Santos" <vicemayorksantos.tatuha@gmail.com>, Umatac Mayor <umatacmo@gmail.com>, kones.r@gmail.com, arleen81@gmail.com, kenjoeada@yahoo.com,

anghet@hotmail.com, Ken Quintanilla <kenq@kuam.com>, raymond.gibson@guam.gov, daleealvarez@gmail.com, Responsible Guam <responsibleguam@gmail.com>, christopherchenay@gmail.com, floterlaje@gmail.com, Derick Baza-Hills <derickbazahills@gmail.com>, Regine Biscoe Lee <regineb.lee@gmail.com>,

chuck.ada@guamairport.net, peterroy@guamairport.net, lorilee.crisostomo@bsp.guam.gov, doagridir@yahoo.com, george.santos@dol.guam.gov, carl.dominguez@dpw.guam.gov, jay.rojas@dpw.guam.gov,

eduardo.ordonez@clb.guam.gov, cgarcia@investguam.com, eric.palacios@epa.guam.gov,

felixberto.dungca@grta.guam.gov, kpangelinan@visitguam.org, ndenight@visitguam.org, jbrown@portguam.com,

monte.mafnas@dlm.guam.gov, david.camacho@galc.guam.gov, Michael Duenas <mjduenas@ghura.org>,

 $fcamacho@ghura.org,\ martin.benavente@ghc.guam.gov,\ alfredo.antolin@dol.guam.gov,\ alfredo.guam.gov,\ alfred$ 

joseph.cameron@hrra.guam.gov, cgogue@pbsguam.org, Adonis.mendiola@dya.guam.gov,

jose.sanagustin@doc.guam.gov, pedro.leonguerrero@cqa.guam.gov, joey.sannicolas@gfd.guam.gov,

fred.bordallo@gpd.guam.gov, chief@gpd.guam.gov, jim.mcdonald@ghs.guam.gov, benito.servino@disid.guam.gov, james.gillan@dphss.guam.gov, leo.casil@dphss.guam.gov, joseph.verga@gmha.org, john.rios@bbmr.guam.gov, benita.manglona@doa.guam.gov, anthony.blaz@doa.guam.gov, John Camacho <jpcamacho@revtax.gov.gu>, Marie Benito <mmbenito@revtax.gov.gu>, benny.m.paulino@us.army.mil, john.unpingco@gvao.guam.gov,

jonfernandez@gdoe.net, mary.okada@guamcc.edu, raunderwood@uguam.uog.edu, jflores@gpagwa.com, Ed Cruz

<ed.cruz@bit.guam.gov>, law@guamag.org, rey.vega@mail.dmhsa.guam.gov, henry.taitano@guam.gov, Julian Janssen <julian.c.janssen@gmail.com>, Gerry Partido <gerrypartido@gmail.com>

Håfa adai,

The Committee on Aviation, Ground Transportation, Regulatory Concerns and Future Generations will be conducting a hearing on Thursday, June 13, 2013, 5:30 p.m. The hearing will take place in *I Liheslaturan Guåhan*'s Public Hearing Room. See attachments for more information. Thank you for your time and attention on this matter.

Regards,

## Jermaine Alerta

Committee Director Committee on Aviation, Ground Transportation, Regulatory Concerns and Future Generations Office of Senator Michael F.Q. San Nicolas I Mina' Trentai Dos na Liheslaturan Guahan 32nd Guam Legislature Tel: (671) 472-6453

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## 4 attachments 2 6.13.13 hearing.pdf 154K 2 6.13.13 agenda\_hearing.pdf 147K 2 Bill No. 118-32 (COR).pdf 278K ■ Bill No. 87-32 (COR) pdf

Bill No. 87-32 (COR).pdf



Senator Michael F.Q. San Nicolas

Chairman - Committee on Aviation, Ground Transportation, Regulatory Concerns and Future Generations 1 Mina'trentai Dos Na Liheslaturan Guåhan | 32nd Guam Legislature



## **SECOND PUBLIC NOTICE**

# FOR IMMEDIATE RELEASE June 11, 2013

In accordance with the Open Government Law, relative to notice for public meetings, please be advised that the Committee on Aviation, Ground Transportation, Regulatory Concerns and Future Generations will be conducting a public hearing on **Thursday**, **June 13**, **2013**, **5:30** p.m., at *I Liheslaturan Guåhan's* Public Hearing Room for the following items:

Bill No. 118-32 (COR), introduced by D.G. Rodriguez, Jr., B.J.F. Cruz, V. Anthony Ada, M.F. Q. San Nicolas, J.T. Won Pat, Ed.D., R.J. Respicio, B.T. McCreadie, T.A. Morrison, T.R. Muña-Barnes: "AN ACT RELATIVE TO ALCOHOL BEVERAGE CONTROL TO BE KNOWN AS "THE RESPONSIBLE ALCOHOL SALES AND SERVICE ACT", BY ADDING NEW §§ 3123, 3124 AND 3125 TO ARTICLE 1, CHAPTER 3, 11 GCA; TO AMEND § 3207, AND ADD §§ 3212.1, 3230, 3231, AND 3232 TO ARTICLE 2, CHAPTER 3, 11 GCA; TO AMEND 11 GCA §3613; AND, ADD A NEW (h) TO §2105, ADD A NEW (c) TO § 2106, ADD A NEW (c) TO §2107, AND ADD A NEW §2111, ALL OF 3 GAR CHAPTER 2 [ALCOHOLIC BEVERAGE CONTROL BOARD]."

**Bill No. 87-32 (COR), introduced by T.A. Morrison, M.F. Q. San Nicolas, C.M. Duenas, B.J.F. Cruz, T.R. Muña-Barnes:** "AN ACT TO AMEND§§3305 AND 3305.1, CHAPTER 3 OF 16GCA RELATIVE TO IMPLEMENTING A UNIVERSAL HELMET LAW."

If written testimonies are to be presented at the hearings, copies should be delivered prior to the hearing date. Testimonies should be addressed to Senator Michael F.Q. San Nicolas and will be accepted via hand delivery to our office; our mailbox at the Main Legislature Building at 155 Hesler Place, Hagatna, Guam 96910; or via email to senatorsannicolas@gmail.com. In compliance with the Americans with Disabilities Act, individuals requiring special accommodations or services should contact the Office of Senator Michael F.Q. San Nicolas at 472-6453.

###

## Listserv: <u>phnotice@guamlegislature.org</u> As of April 23, 2013

aalladi@guampdn.com
action@weareguahan.com
admin@frankaguonjr.com
admin@guamrealtors.com
admin@leapguam.com
admin@weareguahan.com
aguon4guam@gmail.com
ahernandez@guamlegislature.org
ajuan@kijifm104.com
alerta.jermaine@gmail.com
aline4families@gmail.com
am800guam@gmail.com
amanda@toduguam.com
amier@mvguam.com
ang.duenas@gmail.com
angela.lgrios@gmail.com
aokada@guamlegislature.org
ataligba@gmail.com
avillaverde@guamlegislature.org
avon.guam@gmail.com
baza.matthew@gmail.com
bautista@spbguam.com
bdydasco@yahoo.com
berthaduenas@guamlegislature.org
betsy@spbguam.com
bmkelman@guampdn.com
brantforguam@gmail.com
bruce.lloyd.media@gmail.com
carlos.pangelinan@senatorbjcruz.com
carlsonc@pstripes.osd.mil
ccastro@guamchamber.com.gu
charissatenorio@gmail.com
chechsantos@gmail.com
cheerfulcatunao@yahoo.com
cherbert.senatordrodriguez@gmail.com
chris.budasi@guamlegislature.org
chris.carillo@senatorbjcruz.com
cipo@guamlegislature.org
clerks@guamlegislature.org
clifton@toduguam.com
clynt@spbguam.com
colleenw@guamlegislature.org
committee@frankaguonjr.com
communications@guam.gov
conedera@mikelimtiaco.com
cor@guamlegislature.org
coy@senatorada.org
cyrus@senatorada.org
dcrisost@guam.gannett.com
desori623@hotmail.com
dleddy@guamchamber.com.gu
dmgeorge@guampdn.com
dtamondong@guampdn.com
duenasenator@gmail.com
auchasenator weinan.com

ed@tonyada.com
edelynn1130@hotmail.com
editor@mvguam.com
editor@saipantribune.com
edpocaigue@judiwonpat.com
elaine@tinamunabarnes.com
emqcho@gmail.com
ewinstoni@yahoo.com
eo@guamrealtors.com
etajalle@guamlegislature.org
evelyn4families@gmail.com
faith@judiwonpat.com
fbtorres@judiwonpat.com
floterlaje@gmail.com
frank@judiwonpat.com
frank@mvguam.com
gdumat-ol@guampdn.com
gerry@mvguam.com
gerrypartido@gmail.com
gina@mvguam.com
gktv23@hotmail.com
guam@pstripes.osd.mil
guamnativesun@yahoo.com
hana@guam-shinbun.com
hill.bruce@abc.net.au
hottips@kuam.com
info@chinesetimesguam.com
janela@mvguam.com
jason@judiwonpat.com
jason@kuam.com
jblas@tinamunabarnes.com
jcamacho@senatorada.org
jean@tinamunabarnes.com
jennifer.lj.dulla@gmail.com
jennifer@mvguam.com
jimespaldon@yahoo.com
jmesngon.senatordrodriguez@gmail.com
joan@kuam.com
joe@toduguam.com
john.calvo@noaa.gov
john@kuam.com
jpmanuel@gmail.com
jtenorio@guamcourts.org
jtyquiengco@spbguam.com
juliette@senatorada.org
kai@spbguam.com
kcn.kelly@gmail.com
kenq@kuam.com
kevin@spbguam.com
khmg@hbcguam.net
koreannews@guam.net
koreaty@layontoo min
koreatv@kuentos.guam.net
kstokish@gmail.com
kstonews@ite.net

## Listserv: <a href="mailto:phnotice@guamlegislature.org">phnotice@guamlegislature.org</a> As of April 23, 2013

life@guampdn.com
llmatthews@guampdn.com
lou4families@gmail.com
louella@mvguam.com
louise@tonyada.com
m.salaila@yahoo.com
mabuhaynews@yahoo.com
mahoquinene@guam.net
malainse@gmail.com
maria.pangelinan@gec.guam.gov
maryfejeran@gmail.com
marymaravilla19@gmail.com
mcarlson@guamlegislature.org
mcpherson.kathryn@abc.net.au
menchu@toduguam.com
mike.lidia@senatorbjcruz.com
mike@mikelimtiaco.com
mindy@kuam.com
mis@guamlegislature.org
miseke@mcvguam.com
mlwheeler2000@yahoo.com
mmafnas@guamlegislature.org
monty.mcdowell@amiguam.com
mspeps4873@gmail.com
mvariety@pticom.com
mwatanabe@guampdn.com
news@guampdn.com
news@spbguam.com
nick@kuam.com
nicole@toduguam.com
norman.aguilar@guamcc.edu
nsantos@guamlegislature.org
odngirairikl@guampdn.com
office@senatorada.org
onlyonguam@acubedink.com
pacificjournalist@gmail.com
parroyo@k57.com
pdkprg@gmail.com
pete@tonyada.com
phillipsguam@gmail.com
publisher@glimpsesofguam.com
qduenas_8@yahoo.com
rennae@guamlegislature.org
responsibleguam@gmail.com
rfteehan@yahoo.com
rgibson@k57.com

richdevera@gmail.com
ricknauta@hitradio100.com
rlimtiaco@guampdn.com
rob@judiwonpat.com
rolly@ktkb.com
roryforguam@gmail.com
ryanjames@senatormorrison.com
santos.duenas@gmail.com
senator@senatorbjcruz.com
senator@tinamunabarnes.com
senatordrodriguez@gmail.com
senatorsannicolas@gmail.com
senatortonyada@guamlegislature.org
senbenp@guam.net
sgflores@tinamunabarnes.com
sgtarms@guamlegislature.org
sitarose2@yahoo.com
slímtiaco@guampdn.com
sonedera-salas@guamlegislature.org
speaker@judiwonpat.com
steve@judiwonpat.com
tanya4families@gmail.com
tcastro@guam.net
telo.taitague@guam.gov
thebigshow@guamcell.net
thebigshow@k57.com
therese.hart.writer@gmail.com
therese@judiwonpat.com
tinamunabarnes@gmail.com
tjtaitano@cs.com
tom@senatorada.org
tommy@senatormorrison.com
tony@tonyada.com
trittent@pstripes.osd.mil
troy.torres@guam.gov
tterlaje@guam.net
uriah@tinamunabarnes.com
val@tonyada.com
wil@judiwonpat.com
will@senatorada.org
xiosormd@gmail.com
xiosormd@yahoo.com
ylee2@guam.gannett.com
zita@mvguam.com



## Senator Michael F.Q. San Nicolas

Chairman - Committee on Aviation, Ground Transportation, Regulatory Concerns and Future Generations I Mina'trentai Dos Na Liheslaturan Guåhan | 32<sup>nd</sup> Guam Legislature



## **PUBLIC HEARING**

Thursday, June 13, 2013 5:30 p.m. Public Hearing Room I Liheslaturan Guåhan

<u>AGENDA</u>

- I. Call to Order
- II. Opening Remarks/Announcements
- III. Items for Public Consideration

Bill No. 118-32 (COR), introduced by D.G. Rodriguez, Jr., B.J.F. Cruz, V. Anthony Ada, M.F. Q. San Nicolas, J.T. Won Pat, Ed.D., R.J. Respicio, B.T. McCreadie, T.A. Morrison, T.R. Muña-Barnes: "AN ACT RELATIVE TO ALCOHOL BEVERAGE CONTROL TO BE KNOWN AS "THE RESPONSIBLE ALCOHOL SALES AND SERVICE ACT", BY ADDING NEW §§ 3123, 3124 AND 3125 TO ARTICLE 1, CHAPTER 3, 11 GCA; TO AMEND § 3207, AND ADD §§ 3212.1, 3230, 3231, AND 3232 TO ARTICLE 2, CHAPTER 3, 11 GCA; TO AMEND 11 GCA §3613; AND, ADD A NEW (h) TO §2105, ADD A NEW (c) TO § 2106, ADD A NEW (c) TO §2107, AND ADD A NEW §2111, ALL OF 3 GAR CHAPTER 2 [ALCOHOLIC BEVERAGE CONTROL BOARD]."

**Bill No. 87-32 (COR), introduced by T.A. Morrison, M.F. Q. San Nicolas, C.M. Duenas, B.J.F. Cruz, T.R. Muña-Barnes:** "AN ACT TO AMEND§§3305 AND 3305.1, CHAPTER 3 OF 16GCA RELATIVE TO IMPLEMENTING A UNIVERSAL HELMET LAW."

- **IV.** Closing Remarks
- V. Adjournment

## **COMMITTEE ON RULES**



I Mina'trentai Dos na Liheslaturan Guåhan • The 32nd Guam Legislature 155 Hesler Place, Hagåtña, Guam 96910 • www.guamlegislature.com E-mail: roryforguam@gmail.com • Tel: (671)472-7679 • Fax: (671)472-3547

Senator Rory J. Respicio **CHAIRPERSON** MAJORITY LEADER

Senator

## Me

Thomas C. Ada VICE CHAIRPERSON ASSISTANT MAJORITY LEADER

Senator Vicente (Ben) C. Pangelinan Member

Speaker Judith T.P. Won Pat, Ed.D. Member

Senator Dennis G. Rodriguez, Jr. Member

> Vice-Speaker Benjamin J.F. Cruz Member

Legislative Secretary Tina Rose Muña Barnes Member

> Senator Frank Blas Aguon, Jr. Member

Senator Michael F.Q. San Nicolas Member

> Senator V. Anthony Ada Member **MINORITY LEADER**

Senator Aline Yamashita Member

June 19, 2013		
<u>Memorandum</u>		õ
То:	<b>Rennae Meno</b> Clerk of the Legislature	~
From:	Senator Rory J. Respicio Majority Leader & Rules Chair	
Subject:	Fiscal Notes	
Hafa Adai!		
+	se find the waiver for the bill numbers li at the fiscal notes, or waivers, are issu	
<u>FISCAL NC</u> Bill Nos. 89-	<u>)TE:</u> 32(COR), 108-32COR), 118-32(COR), an	d 129-32(COR)

WAIVER: Bill No. 99-32(COR)

Please forward the same to MIS for posting on our website. Please contact our office should you have any questions regarding this matter.

Si Yu'os ma'åse'!

BUREAU OF BUDGET & MANAGEMENT RESEARCH

OFFICE OF THE GOVERNOR Post Office Box 2950, Hagåtña Guam 96932

EDDIE BAZA CALVO GOVERNOR JOHN A. RIOS DIRECTOR

RAY TENORIO LIEUTENANT GOVERNOR

## JUN 172013

Senator Rory J. Respicio Chairperson, Committee on Rules I Mina'trentai Unu na Liheslaturan Guåhan The 31<sup>st</sup> Guam Legislature 155 Hesler Place Hagåtna, Guam 96932

Hafa Adai Senator Respicio:

Transmitted herewith is Fiscal Note on the following Bill Nos.: <u>108-32(COR)</u>, <u>118-32(COR)</u>, <u>and</u> Fiscal Note Waiver on the following Bill Nos.: <u>99-32(COR)</u>.

If you have any question(s), please do not hesitate to call the office at 475-9412/9106.

JOHN A. RIOS Director

Enclosures

cc: Senator Vicente (ben) Pangelinan

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#### Bureau of Budget & Management Research Fiscal Note of Bill No. 118-32 (COR)

AN ACT RELATIVE TO ALCOHOL BEVERAGE CONTROL TO BE KNOWN AS "THE RESPONSIBLE ALCOHOL SALES AND SERVICE ACT", BY ADDING NEW §§ 3123, 3124 AND 3125 TO ARTICLE 1, CHAPTER 3, 11 GCA; TO AMEND § 3207, AND ADD §§ 3212.1, 3230, 3231, AND 3232 TO ARTICLE 2, CHAPTER 3, 11 GCA; TO AMEND 11 GCA §3613; AND, ADD A NEW (h) TO §2105, ADD A NEW (c) TO § 2106, ADD A NEW (c) TO §2107, AND ADD A NEW §2111, ALL OF 3 GAR CHAPTER 2 [ALCOHOLIC BEVERAGE CONTROL BOARD].

Department/Agency Ap	propriation Information	
Dept./Agency Affected: Department of Revenue & Taxation	Dept./Agency Head: John P. Camacho	
Department's General Fund (GF) appropriation(s) to date:		9,164,180
Department's Other Fund (Specify) appropriation(s) to date: Tax C Public Service Fund (\$1,390,554)	ollection Enhancement Fund (\$686,717) / Better	2,077,271
Total Department/Agency Appropriation(s) to date:		\$11,241,451

Fund Source Information of Proposed Appropriation				
	General Fund:	(Specify Special Fund):	Total:	
FY 2012 Unreserved Fund Balance <sup>1</sup>		\$0	\$0	
FY 2013 Adopted Revenues	\$561,985,725	\$0	\$561,985,725	
FY 2013 Appro. (P.L. 31-233)	(\$548,971,874)	\$0	(\$548,971.874)	
Sub-total:	\$13,013,851	\$0	\$13,013,851	
Less appropriation in Bill	\$0	\$0	\$0	
Total:	\$13,013,851	\$0	\$13,013,851	

Estimated Fiscal Impact of Bill						
	One Full Fiscal Year	For Remainder of FY 2013 (if applicable)	FY 2014	FY 2015	FY 2016	FY 2017
General Fund	\$0	\$0	\$0	50	SO	\$0
(Specify Special Fund)	\$0	\$0	\$0	\$0	SO	\$0
Total	<u>\$0</u>	<u>50</u>	<u>\$0</u>	<u>\$0</u>	<u>S0</u>	<u>\$0</u>

1. Does the bill contain "revenue generating" provisions?	/x / Yes	/ / No
If Yes, see attachment		
2. Is amount appropriated adequate to fund the intent of the appropriation? /x/ N/A	/ / Yes	/ / No
If no, what is the additional amount required? \$ / x / N/A		
3. Does the Bill establish a new program/agency?	/ / Yes	/ x / No
If yes, will the program duplicate existing programs/agencies? / x / N/A	/ / Yes	/ / No
Is there a federal mandate to establish the program/agency?	/ / Yes	/ x / No
4. Will the enactment of this Bill require new physical facilities?	/ / Yes	/ x / No
5. Was Fiscal Note coordinated with the affected dept/agency? If no, indicate reason:	/x / Yes	/ / No
/ x / Requested agency comments not received as of the due date / / Other:		1

A Play

Analyst: \_\_\_\_\_ Date: 4/13/13 Director: 2023 DatejUN 1 4 2013 Michael M. Aflague, B&M Analyst IV

See attachment for revenue generating provisions.

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## Bureau of Budget & Management Research Attachment to Fiscal Note Bill No. 118-32 (COR) (for revenue generating provisions)

Projected Multi-Year Revenues					
	Year 1	Year 2	Year 3	Year 4	Year 5
General Fund	1/	\$0	\$0	\$0	\$0
Special Fund [various - see comments]	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>so</u>	<u>\$0</u>
Total	1/	\$0	\$0	\$0	\$0

Comments:

1/ The Bureau anticipates increases to the government's revenue base through applicable fees established by § 3207 of Article 2, Chapter 3, Title 12 and penalties per Sect 3613(d) of Article 6, Chapter 3, Title 11 GCA. The projected impact involves the number of businesses requiring training and applicable licenses relative to the number of employees/mangers employed by such business less tuition fee. The Bureau has contacted the Department of Revenue and Taxation; however, information has not been received as of these comments.



# **COMMITTEE ON RULES**

*I Mina'trentai Dos na Liheslaturan Guåhan* • The 32nd Guam Legislature 155 Hesler Place, Hagåtña, Guam 96910 • *www.guamlegislature.com* E-mail: *roryforguam@gmail.com* • Tel: (671)472-7679 • Fax: (671)472-3547

Senator Rory J. Respicio Chairperson Majority Leader

May 21, 2013

VIA E-MAIL

Senator Thomas C. Ada Vice Chairperson Assistant Majority Leader

Senator Vicente (Ben) C. Pangelinan Member

Speaker Judith T.P. Won Pat, Ed.D. Member

Senator Dennis G. Rodriguez, Jr. Member

> Vice-Speaker Benjamin J.F. Cruz Member

Legislative Secretary Tina Rose Muña Barnes Member

Senator Frank Blas Aguon, Jr. Member

Senator Michael F.Q. San Nicolas Member

> Senator V. Anthony Ada Member MINORITY LEADER

Senator Aline Yamashita Member john.rios@bbmr.guam.gov

John A. Rios Director Bureau of Budget & Management Research P.O. Box 2950 Hagåtña, Guam 96910

RE: Request for Fiscal Note- Bill Nos. 117-119 (COR)

Hafa Adai Mr. Rios:

Transmitted herewith is a listing of *I Mina'trentai Dos na Liheslaturan Guåhan's* most recently introduced bills. Pursuant to 2 GCA §9103, I respectfully request the preparation of fiscal notes for the referenced bills.

Si Yu'os ma'åse' for your attention to this matter.

Very Truly Yours,

1 Comy J. Respicio

**Senator Rory J. Respicio** *Chairperson, Committee on Rules* 

Attachments (1)

Cc: Clerk of the Legislature

Bill Nos.	Sponsor	Title
117-32 (COR)	T.R. Muña Barnes, J.T. Won Pat, Ed.D., Brant T. McCreadie	AN ACT TO AMEND §§3705 AND 3707 OF ARTICLE 7, CHAPTER 3, TITLE 16 GCA, RELATIVE TO EXPANDING THE DUTIES OF THE GUAM POLICE DEPARTMENT COMMUNITY ASSISTED POLICING EFFORT (CAPE) VOLUNTEERS TO INCLUDE ENFORCEMENT OF THE HANDBILLING ACT, AND FOR OTHER PURPOSES
118-32 (COR)	D.G. Rodriguez,Jr. B.J.F. Cruz V. Anthony Ada Michael F.Q. San Nicolas J.T. Won Pat, Ed.D Rory J. Respicio Brant McCredie Thomas Morrison Tina Muna-Barnes	AN ACT RELATIVE TO ALCOHOL BEVERAGE CONTROL, TO BE KNOWN AS "THE RESPONSIBLE ALCOHOL SALES AND SERVICE ACT", BY ADDING NEW §§ 3123, 3124 AND 3125 TO ARTICLE 1, CHAPTER 3, 11 GCA; TO AMEND § 3207, AND ADD §§ 3212.1, 3230, 3231, AND 3232 TO ARTICLE 2, CHAPTER 3, 11 GCA; TO AMEND 11 GCA §3613; AND, ADD A NEW (h) TO §2105, ADD A NEW (c) TO § 2106, ADD A NEW (c) TO §2107, AND ADD A NEW §2111, ALL OF 3 GAR CHAPTER 2 [ALCOHOLIC BEVERAGE CONTROL BOARD].
119-32 (COR)	V. Anthony Ada Chris M.Duenas	AN ADD A NEW ARTICLE 2 TO CHAPTER 37 OF 9 GCA RELATIVE TO HOME INVASION.



### COMMITTEE ON RULES I Mina'trentai Dos na Liheslaturan Guåhan • The 32nd Guam Legislature

155 Hesler Place, Hagåtña, Guam 96910 • www.guamlegislature.com E-mail: roryforguam@gmail.com • Tel: (671)472-7679 • Fax: (671)472-3547

Senator Rory J. Respicio Chairperson Majority Leader

May 16, 2013

Senator Thomas C. Ada Vice Chairperson Assistant Majority Leader

Senator Vicente (Ben) C. Pangelinan Member

Speaker Judith T.P. Won Pat, Ed.D. Member

Senator Dennis G. Rodriguez, Jr. Member

> Vice-Speaker Benjamin J.F. Cruz Member

Legislative Secretary Tina Rose Muña Barnes Member

Senator Frank Blas Aguon, Jr. Member

Senator Michael F.Q. San Nicolas Member

> Senator V. Anthony Ada Member **M**INORITY LEADER

Senator Aline Yamashita Member

## **MEMORANDUM**

To: Rennae Meno Clerk of the Legislature

> **Attorney Therese M. Terlaje** *Legislative Legal Counsel*

From:Senator Rory J. RespicioMajority Leader & Rules Chair

#### Subject: Referral of Bill No. 118-32(COR)

As the Chairperson of the Committee on Rules, I am forwarding my referral of **Bill No. 118-32(COR)**.

Please ensure that the subject bill is referred, in my name, to the respective committee, as shown on the attachment. I also request that the same be forwarded to all members of *I Mina'trentai Dos na Liheslaturan Guåhan*.

Should you have any questions, please feel free to contact our office at 472-7679.

Si Yu'os Ma'åse!

Attachment

### I MINA'TRENTAI DOS NA LIHESLATURAN GUÅHAN 2013 (FIRST) Regular Session

Bill No. 1/8-32 (cop)

**Introduced by:** 

D. G. Rodriguez, Jr. B.J.F. Cruz V. Anthony Ada Michael F.Q. San Nicolas J.T. Won Pat, Ed.D Rory J. Respiciour Brant McCredie Thomas Morrison Tina Muna-Barnes

AN ACT RELATIVE TO ALCOHOL BEVERAGE CONTROL, TO BE KNOWN AS "THE RESPONSIBLE ALCOHOL SALES AND SERVICE ACT", BY ADDING NEW §§ 3123, 3124 AND 3125 TO ARTICLE 1, CHAPTER 3, 11 GCA; TO AMEND § 3207, AND ADD §§ 3212.1, 3230, 3231, AND 3232 TO ARTICLE 2, CHAPTER 3, 11 GCA; TO AMEND 11 GCA §3613; AND, ADD A NEW (h) TO §2105, ADD A NEW (c) TO § 2106, ADD A NEW (c) TO §2107, AND ADD A NEW §2111, ALL OF 3 GAR CHAPTER 2 [ALCOHOLIC BEVERAGE CONTROL BOARD].

#### **BE IT ENACTED BY THE PEOPLE OF GUAM:**

Section 1. Legislative findings and intent. *I Mina'Trentai DOS Na Liheslaturan Guåhan* finds hundreds of bars, clubs, stores and restaurants across the island engage in the sale and service of alcoholic beverages thousands of times a day. For the individuals and corporations that hold these licenses the sale of alcoholic beverages can be a very lucrative business. These license holders also play a key role in the hospitality and retailing sectors of Guam's economy, providing jobs for our people and tax revenue for the government.

9 The privilege to sell alcoholic beverages also comes with certain 10 responsibilities, including adherence to the rules and regulations the government 11 enacts to protect the health and safety of all who live and visit here. *I Mina'Trentai DOS Na Liheslaturan Guåhan* also finds that there is abundant statistical and anecdotal evidence pointing to unacceptably high levels of criminal and societal problems that can be traced to the irresponsible sale, service, and consumption of alcoholic beverages.

5 This evidence includes arrest data provided by GPD and the published 6 results of unannounced and undercover enforcement actions conducted by ABC 7 that shows there are many employees, both those who are licensed by ABC and 8 those who are not ABC licensed, who routinely ignore, willfully violate, or fail to 9 understand their legal responsibilities when serving or selling alcoholic beverages.

High on the list of complaints are service to minors, after hour sales, and sales to intoxicated individuals at both on-premises and off-premises licensed establishments.

*I Mina'Trentai DOS Na Liheslaturan Guåhan* finds further that there is growing public impatience with people who consume alcoholic beverages outside off-premise licensed stores and other public places where the consumption of alcoholic beverages is prohibited at all hours of the night and day.

*I Mina'Trentai DOS Na Liheslaturan Guåhan* finds that there is also great public concern about persistent problems such as drunk drivers who injure and/or kill people on our roadways; intoxicated individuals involved in assaults, fights, stabbings, shootings or other crimes, and underage drinking; including instances where these problems can be traced back to the irresponsible sale and service of alcoholic beverages.

There have been many efforts to combat these problems from both the public and private sectors of the community, but yet these problems still negatively impact the quality of life for those who live here and tarnish our island's reputation in the eyes of Guam's visitors.

*I Mina'Trentai DOS Na Liheslaturan Guåhan* finds that generally these alcohol enforcement programs overlook the importance of the proper education

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and training of point of sale employees, both servers and sellers, their supervisors
 and managers.

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It is the intent of *I Mina'Trentai DOS Na Liheslaturan Guåhan* to provide for the proper education and training of point of sale employees, both servers and sellers, their supervisors and managers, by joining the dozens of other U.S. states that mandate responsible server/seller training for workers who serve or sell alcoholic beverages, their supervisors, and managers.

9 It is the intent of *I Mina'Trentai DOS Na Liheslaturan Guåhan* to ensure that 10 those licensed to serve or sell alcoholic beverages to the public, in particular 11 holders of Class 4, 5, 6, 7, 9, 10, 11, and 12 ABC Licenses, have in their employ 12 servers and sellers appropriately trained to comply with Guam's ABC laws.

Under the law employees who serve or sell alcoholic beverages have legally mandated responsibilities including but not limited to preventing the sale of alcoholic beverages to persons under 21 years of age, recognizing falsified identification documents, denial of service to intoxicated or unruly person, and the enforcement of hours of service and sale of alcoholic beverages.

The legislature recognizes that these employees are being asked to fill a vitally important role in keeping our island safe and the transactions they conduct can have extraordinary and even tragic consequences at the point of sale and beyond, yet there is no standardized classroom training to help them understand and execute their responsibilities or keep them mindful of the key role they play in the upholding of community standards related to the service and sale of alcoholic beverages.

It is the intent of *I Mina'Trentai DOS Na Liheslaturan Guåhan* for standardized server/seller classroom training to be widely available and easily accessible for employees who serve or sell alcoholic beverages workers and for that training to be a requirement for obtaining an ABC Alcohol Employee License.

Section 2. A new §3123, §3124, and §3125 are added to Article 1 of
 Chapter 3, Title 11, Guam Code Annotated, to read:

"§ 3123. Alcohol Employee. Alcohol employee means a person employed by any on-sale or off-sale Licensee who sells or serves alcoholic beverages, or who supervises others who sell or serve alcoholic beverages. This includes, but is not limited to, bartenders, waitresses, waiters, hostesses, hosts, cashiers, check out clerks, supervisors, and managers of holders of Class 4, 5, 6, 7, 9, 10, 11, and 12 ABC Licenses.

§ 3124. Alcohol Server/Seller Training Program. Alcohol server/seller training program means a classroom only educational program offered by a nonprofit organization, community college, or university that has been approved by the Administrator under regulations promulgated by the Board. Such regulations *shall* include, but not be limited to, the content of the program, certification of instructors of the program, and testing and methods of presentation, and as further provided pursuant to 3 GAR Chapter 2 [Alcoholic Beverage Control Board].

- §3124.1. Delegation of Authority. (a) The Administrator, with the
   approval of the Board, may enter into an agreement with a non-profit organization,
   community college, or university with an authorized program to conduct an
   Alcohol Server/Seller Training program on behalf of the Board, to include:
- 20

(1) Verification of qualifications;

- (2) The collection of fees for application and licensure, as provided
   pursuant to §3207 of this Chapter, on behalf of the Board,
   notwithstanding 3 GAR §2101(d), which shall not be applicable in
   this instance;
- (3) The issuance of a Guam Alcohol Beverage Control Alcohol
   Employee License on behalf of the Board; and

(4) The accounting and remittance of requisite fees collected for
 application and licensure, the full sum of which shall be paid to the
 Treasurer of Guam; the act of which shall be deemed compliant
 with 11 GCA, Chapter 3, §3515.

5 (b) Prior to remitting the fees collected pursuant to this Section to the 6 Treasurer, the authorized non-profit organization, community college, or university 7 *shall* deduct and retain from the fees collected the cost for tuition for the Alcohol 8 Server/Seller Training program.

§ 3125. Manager. All class 4 on-sale establishments shall have a duly
registered manager or assistant manager on the premises, in the absence of the
licensee, and during the hours from 7:00 p.m. daily until closing.

Section 3: §3207 of Article 2, Chapter 3, Title 12, Guam Code Annotated, is
 amended, to read:

14 "§ 3207. Licenses: Classes and Fees. The Board is authorized to issue the
 15 following classes and kinds of licenses at the following fees:

16	Class 1. Manufacturer's license	\$100.00 per year
17	Class 2. Agent's license	\$250.00 per year
18	Class 3. Wholesale dealer's license	\$1,000.00 per year
19	Class 4. Retail dealer's on-sale license:	
20	Beer	\$300.00 per year
21	General	\$1,000.00 per year
22	Wine	\$300.00 per year
23	Manager/Assistant Manager	\$60.00 per 3 years
24		\$25.00 per year
25	Alcohol Employee Waiter/Waitress	\$60.00 per 3 years
26		<del>\$15.00 per year</del>

1	Class 5. Retail dealer's off-sale license:				
2	Beer	\$200.00 per year			
3	General	\$1,000.00 per year			
4	Alcohol Employee	\$60.00 per 3 years			
5					
6	Class 6. Special Alcoholic license	\$25.00 per year			
7	Alcohol Employee	<u>\$60.00 per 3 years</u>			
8	Class 7. Temporary beer license	\$ 20.00 per day			
9	but not to exceed	\$100.00 per event			
10	Alcohol Employee	\$60.00 per 3 years			
11 12	Class 8. Public warehousemen's license	\$50.00 per year			
13	Class 9. Club license	\$500.00 per year			
14	Alcohol Employee	\$60.00 per 3 years			
15	Class 10. Temporary general license \$ 50.00 per o	day, but not to exceed			
16	\$100.00 per event				
17	Alcohol Employee	\$60.00 per 3 years			
18	Class 11. Microbrewery-pub restaurant license \$1,00	Class 11. Microbrewery-pub restaurant license \$1,000.00 per year			
19	Alcohol Employee	\$60.00 per 3 years			
20	Class 12. Mobile License:				
21	(a) Limousine Service – per vehicle	\$ 750.00 per year			
22	(b) Charter Vehicle (Vans) – per vehicle				
23	Up to ten (10) passengers	\$500.00 per year			
24	(c) Charter Vehicle (Vans) - per vehicle				
25	More than ten (10) passengers	\$750.00 per year			
26	(d) Buses -per vehicle	\$1,000.00 per year			
27	(e) <u>Alcohol Employee</u>	\$60.00 per 3 years			
28	Class 13. Alcohol Server/Seller training program	\$200.00 per year			

- Section 4: A new §3212.1 is added to Article 2, Chapter 3, Title 12, Guam
   Code Annotated, is hereby amended, to read:
- 3 "§ 3212.1. Alcohol Employee License, Term and Portability. Except as
  4 otherwise provided for a violation as provided pursuant to this Chapter, the License
  5 for an Alcohol Employee *shall*:
- (a) be valid for a term of three (3) years;
  (b) be portable to additional or other premises;
  (c) be on the employee at all times when performing any duties involving the service or sale of any class of alcohol, as provided for and defined pursuant to Article 1 of this Chapter; and
  (d) include the declaration that the holder has completed ABC Board approved "Alcohol Server/Seller Training Program.
- (e) be issued by the Alcohol Server/Seller training program."
- 14 Section 5: A new §3230, §3231, and §3232 are added to Article 2 of 15 Chapter 3, Title 12, Guam Code Annotated, to read:
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### "§ 3230. Alcohol Employee License.

(a) An Alcohol Employee License shall be required for all persons who sell
or serve, supervise or manage those who sell or serve alcoholic beverages for
holders of Class 4, 5, 6, 7, 9, 10, 11, and 12 ABC Licenses.

- (b) A Temporary Alcohol Employee License may be issued to an employee
  who has registered for an ABC Board approved Alcohol Server/Seller Training
  Program. The Temporary Alcohol Employee License expires in 30 days or when
  the Alcohol Employee License is issued, whichever is sooner.
- (1) A dated receipt from an ABC Board approved Alcohol
   Server/Seller Training Program indicating enrollment and the scheduled
   date, time, and location of training shall be considered a Temporary Alcohol

Employee License. The receipt shall also state, "Temporary Alcohol Employee License", and shall contain the notation that the temporary license *shall* expire within thirty (30) days of issuance.

§ 3231. Manager License. An Alcohol Beverage Control Manager License 4 shall be required for all managers or assistant managers. Every manager must 5 provide the Board and their employer with proof that the manager has successfully 6 completed an ABC Board approved Alcohol Server/Seller Training Program. 7 Proof for the employer shall be satisfied by the possession of a valid Alcohol 8 Beverage Control Manager or Assistant Manager License which shall include the 9 declaration, that the holder has completed and ABC Board approved "Alcohol 10 Server/Seller Training Program. 11

§ 3232. Alcohol Server/Seller Training Program License. An Alcohol Server/Seller training program license shall be issued to alcohol server/seller training programs that have been approved by the administrator."

SECTION 6: Section 3613 of Article 6, Chapter 3, Title 11, Guam Code
 Annotated, is amended, to read:

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"§ 3613. Same: Hearing.

(a) The Board, upon receipt of the report required in §3612, shall, as soon
as practicable, hold a hearing on such report.

(b) The Board shall impose a penalty on a licensee found guilty of violating any of the provisions of this Chapter amounting to One Thousand Five Hundred Dollars (\$1,500.00) for the first offense; Two Thousand Five Hundred Dollars (\$2,500.00) for the second offense; and Three Thousand Five Hundred Dollars (\$3,500.00) for the third offense. For the fourth offense, the Board may impose a penalty of up to Ten Thousand Dollars (\$10,000.00), but not less than

Five Thousand Dollars (\$5,000.00), and a forty-eight (48) hour suspension for every subsequent offense. Contingent on the severity of any violation, the Board may use its discretion and suspend or revoke the license of any licensee found guilty of violating any of the provisions of this Chapter.

5 (c) In addition to the aforementioned fines in Subsection (b) of this 6 Section, the Board shall suspend, for a period of not less than thirty (30) days and 7 no more than ninety (90) days, the license of any licensee found guilty of violating 8 §3413 of this Chapter as a second offense. The Board shall revoke the license of 9 any licensee found guilty of violating §3413 of this Chapter as a third offense.

(d) The Board shall suspend an Alcohol Employee's license for Seven (7)
 days for a first offense of §3212.1(c) and (d), and/or §3230, of this Chapter; A
 suspension of an Alcohol Employee's license for Fourteen (14) days and a One
 Hundred Fifty Dollar (\$150) fine for a second offense, and revocation of the
 employee's Alcohol Employee Server/Seller Training Card for the third offense."

Section 7: A new Subsection (h) is added to §2105 of Chapter 2, Title 3,
 Guam Administrative Rules and Regulations, to read:

"(h) Class 4, class 5, class 6, class 7, class 9, class 10, class 11 and class 12
 ABC Licensees shall not hire or employ any employee that does not have a valid
 Alcohol Employee License from an approved server/seller training program twelve
 (12) months from the effective date of this act, provided, however:

(1) a current, ABC License shall continue to be valid until expiration,
but licensee shall be required to have taken the Alcohol Server/Seller
training program prior to license renewal. Further, the unexpired license *shall not* be portable, as provided pursuant to §3212.1(b) which only applies
to a licensee who has passed an Alcohol Server/Seller training program."

Section 8: A new subsection (c) is added to §2107 of Chapter 2, Title 3,
 Guam Administrative Rules and Regulations, to read:

<sup>3</sup> "(c) The Administrator shall approve classroom alcohol server/seller <sup>4</sup> training programs that meet the regulations duly promulgated by the Board <sup>5</sup> pursuant to 11 GCA §3124."

Section 9: A new Section §2111 is added to Chapter 2, Title 3, Guam
Administrative Rules and Regulations, to read:

8

# "§ 2111. Responsibilities of Alcohol Server/Seller Training Programs.

9 (a) No alcohol server/seller training program will offer or conduct alcohol 10 server/seller training classes until they have received written approval from the 11 administrator.

(b) All alcohol server/seller training programs shall apply for approval from
 the Administrator by submitting their complete program, including instructor
 manuals, student manuals, videos and any other materials that are used for the
 program.

(c) The curriculum of an alcohol server/seller training program *shall* include, at a minimum, all of the following topics:

18 (1) The ethics and responsibilities of serving alcoholic beverages;

- 19 (2) Basic alcohol content among different types of drinks containing
   20 alcoholic liquor;
- (3) The clinical effects of alcohol including the identification of
   progressive stages of intoxication and the visible signs associated with
   each stage;
- (4) Variables associated with visible intoxication, including the rate of
   drinking, tolerance, weight, food consumption, gender, and use of
   other drugs;

- 1 (5) Procedures for monitoring consumption, personal skills to prevent 2 intoxication and intervention procedures;
- 3 (6) Acceptable forms of personal identification, techniques for
   4 determining the validity of identification, and procedures for dealing
   5 with fraudulent identification;
- 6 (8) Personal skills to assess the need to ask for identification based on
   7 appearance and company policy;
- 8 (9) The identification of potential third-party sales and furnishing of 9 alcoholic liquor to minors by persons 21 years of age or over;
- 10 (10) The understanding of possible legal, civil, and administrative 11 consequences of violations of this act, the rules of the Board, and 12 other pertinent laws;
- (11) How to identify at place of employment spirits and other alcoholic
   beverage products not intended for resale or commercial distribution
   outside of military bases, and procedures for reporting these violations
   to the Board;
- (12) The understanding of Guam laws pertaining to minors attempting to
   purchase, minors in possession, and third-party sales or furnishing of
   alcoholic liquor by adults to minors;
- 20 (13) Knowledge of the legal hours of alcohol service and occupancy;
- (14) Identification of signs of prohibited activities, such as gambling,
   solicitation for prostitution and drug sales; and
- 23 24
- (15) Any other pertinent laws as determined by the Board or Administrator;

(d) The alcohol server/seller training program shall administer a comprehensive examination to participants of the program, including individuals seeking certification as instructors within the program. The examination shall thoroughly test the individual's knowledge and competency in the curriculum

topics required by the board. The minimum passing grade for the examination
participants in the program shall be not less than 75%. For instructor certification
the passing grade shall be not less than 85% for instructors. All tests shall be
approved by the Administrator.

(e) The alcohol server/seller training program shall issue an Alcohol
Employee License to the student who successfully completes the program.
Certification shall be valid for term of three (3) years.

8 (f) The alcohol server/seller training program shall issue an instructor 9 certification card to all instructors that have been certified by the program that shall 10 be valid for a term of three (3) years and shall notify the board or administrator. 11 The alcohol server/seller training program shall immediately notify the Board or 12 Administrator when an instructor is no longer certified by the program.

(g) The alcohol server/seller training program shall make available all
records of students, instructors and certifications to the Board and the
Administrator.

(h) The Administrator may rescind approval of any server/seller training
 program that fails to continue to meet these requirements or fails to pay the yearly
 fee set by the Board.

(i) The administrator shall not approve online alcohol server/seller trainingprograms."

Section 10: Effective Date. This act *shall* become immediately effective
 upon enactment.

23 Section 11. Phased implementation for enforcement. (a) The Board *shall* 24 promulgate the requisite rules and regulations mandated pursuant to 11GCA §3124

1 within one-hundred-fifty (150) calendar days of the effective date of this Act.

2 (b) With the approval by the Administrator of a classroom alcohol 3 server/seller training program, and the actual commencement of program 4 availability, *then*, the compliance, enforcement and penalty provisions of this Act 5 *shall* become effective within twelve (12) calendar months of the effective date of 6 this act.

Section 12: Severability. *If* any provision of this Act or its application to any person or circumstance is found to be invalid or contrary to law, such invalidity shall *not* affect other provisions or applications of this Law which can be given effect without the invalid provisions or applications, and to this end the provisions of this Law are severable.